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Ashley, Mark E.; Thompson, Allen L., Jr.

Monterey, California. Naval Postgraduate School

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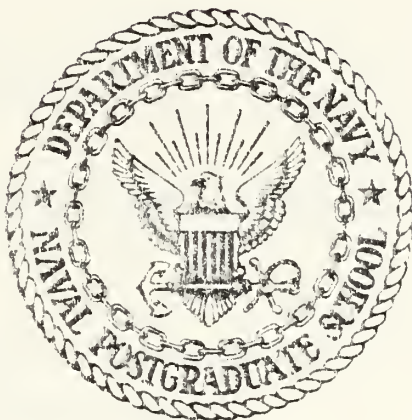
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THESIS

INSPECTION OF U.S. FLAG VESSELS IN FOREIGN COUNTRIES:
AN APPLICATION OF COST EFFECTIVENESS ANALYSIS

by

Mark E. Ashley

Allen L. Thompson, Jr.

December 1983

Thesis Advisor:

Earl R. Brubaker

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Inspection of U. S. Flag Vessels in Foreign Countries:
An Application of Cost Effectiveness Analysis

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Submitted in partial fulfillment of the
requirements for the degree of

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December 1983

ABSTRACT

During the 1970's, the Coast Guard opened several overseas offices to carry out the increasing Commercial Vessel Safety activities occurring chiefly in Europe and the Far East. These offices were closed in April of 1982, to reduce operating expenses in response to political pressure and administrative initiatives to cut the federal budget. Overseas Commercial Vessel Safety activities are currently performed by U.S. based personnel travelling on temporary additional duty orders.

This thesis begins with a review of the Coast Guard's Commercial Vessel Safety program. Procedures involving cost effectiveness analysis are reviewed and applied in an analysis of whether or not the overseas offices should be reopened. The analysis is intended to provide information to internal program managers that is useful in the decision making process.

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I. INTRODUCTION

This chapter begins with a brief summary of the purpose of this analysis and the methodology employed. The second section looks at the program history, objectives and various concerns that have emerged over the past decade with special emphasis on those dealing with overseas inspection. The third part of this chapter discusses the other major parties the Coast Guard interacts with in carrying out its Commercial Vessel Safety responsibilities and concentrates on some key interests of these parties.

A. PURPOSE AND METHODOLOGY

It is the purpose of this thesis to provide information and analysis which may be useful to Commercial Vessel Safety (CVS) program planners and managers regarding the inspection of U.S. flag vessels in foreign countries. The Coast Guard has historically been engaged in the enforcement of laws and regulations pertaining to maritime safety. Jurisdictional authority over U.S. flag merchant vessels is generally not constrained by the geographical area in which a vessel operates. Several overseas inspection offices were opened during the past decade in response to increasing overseas activities on the part of the U.S. fleet. Substantial participation in the offshore petroleum industry and

increased competition from foreign shipyards have greatly influenced this trend.

Closure of the CVS facilities located in Europe and the Far East in April of 1982 affected the method of conducting operations in those areas. The closures were essentially carried out as a means to expeditiously reduce operating expenses during a period of political pressure and administrative initiatives to cut the federal budget. We have been unable to find a formal analysis conducted at the time of the closures concerning changes in the comparative cost and effectiveness of inspections.

Two basic alternatives are compared in this analysis. Other possible alternatives are identified. The first alternative involves the continuation of present operations wherein all overseas activities are carried out by U.S. based personnel, travelling under temporary additional duty orders (TAD). The second alternative involves reopening the same facilities which were closed in 1982. Due to workload and the number of foreign based personnel, a major participation of U.S. based personnel remains necessary under this alternative. Under each alternative, a constant level of program personnel is assumed. A rather unique aspect of this analysis is that both alternatives have been in operation in the recent past. For this reason, actual cost and effectiveness data have been collected and compared. This

empirical orientation provides for a compelling evaluation of on-going programs.

Several factors related to effectiveness are identified. These factors include: vessel inspection quality, the availability of personnel travelling overseas, inspection consistency and cohesiveness, logistics and morale. Conceptually, the closures have raised the possibility of several problems in these areas. Of the factors identified, vessel inspection quality is considered to be more directly related to the attainment of safety of life and property goals. The effectiveness model is therefore focused on the collection of quantifiable data that is considered relevant to the measurement of inspection quality. Data samples were obtained from inspection records on file at Marine Inspection Office, New York and Marine Safety Office, Honolulu. Unequal amounts of both cost and effectiveness are anticipated for each of the alternatives. The criterion applied therefore involves minimization of the ratio of cost to effectiveness measures.

Costs that are incurred by the Coast Guard and attributable to overseas CVS activities are considered relevant to this analysis. These costs are classified under five categories: (1) overseas office operating costs, (2) incremental personnel moving costs, (3) incremental living allowances, (4) lost time to travel costs and (5) billing lag time costs. Travel and billing costs are attributable to

alternative one, the present operating mode. Costs are incurred in all five categories under the second alternative.

Data concerning overseas office operating costs were obtained from internal Coast Guard accounting reports. Figures include expenses incurred in the rental, utilities, supply and maintenance of overseas facilities. Estimates of incremental moving expenses for an overseas billet are computed as the difference between the average OUTCONUS recurring cost per billet and the average INCONUS recurring cost. These figures were obtained from 1982 Standard Personnel Cost data. Incremental living expenses include a living (COLA) and housing (HOLA) allowance paid to overseas personnel in excess of the amount paid to personnel stationed within the Continental U.S. Average per person figures used in estimating these expenses are based on actual fiscal year 1982 cost data compiled by the planning and evaluation staff under the Office of Personnel at Coast Guard headquarters. Lost time to travel costs are computed in a formula in which the sum of travel manhours, converted to manyears, is multiplied by an annual standard personnel cost for a particular rank. Data concerning TAD manhours attributed to travel are contained in the travel claims submitted by inspectors. Standard personnel costs are listed annually in Commandant Notice 7100. Billing lag time costs are computed in a formula used to estimate the cost of money that is

imputed as a result of normal administrative delays in billing customers for overseas services. A delay is defined as the number of days between the date of departure on overseas duty and the date a vessel's owner or operator pays the bill for reimbursement of travel and subsistence expenses.

The remaining sections of this chapter provide general information concerning the Coast Guard Commercial Vessel Safety program. A discussion of the literature concerning cost effectiveness analysis procedures is contained in the following chapter. Readers knowledgeable in these areas may proceed to chapter three where the formal analysis undertaken in this thesis is initiated. In addition to the formal analysis of quantified cost and effectiveness factors, a discussion concerning the significance of other nonquantified factors is included. A conclusion to continue present operations is made, in chapter seven based on the evaluation of cost-effectiveness ratios for each alternative that are arranged in a quarterly format. Several recommendations are offered, based on information gained through the analysis and the assessment of the other performance factors.

B. DESCRIPTION OF COAST GUARD COMMERCIAL VESSEL SAFETY PROGRAM

1. Program History

a. General Program

The Commercial Vessel Safety program, hereafter called CVS, is the major component of the Coast Guard marine safety mission which is the largest of the Service's regulatory functions. The CVS program drew its first breath in the early 1800's as a result of a series of boiler explosions with subsequent loss of life. This led to the enactment of the first CVS law providing for periodic inspection of the hull and boilers of steam vessels.

The early CVS or inspection laws were administered under the Treasury Department, then the Department of Commerce and subsequently transferred with the Bureau of Marine Inspection and Navigation to the U.S. Coast Guard. A 1962 U.S. Coast Guard Roles and Mission Study recommended that a single Federal Agency be designated as the prime agent for maritime safety in the United States. This recommendation was approved and the Coast Guard has performed in that capacity since.

The coverage and intensity of the CVS program has increased drastically over the years as a result of major ship disasters, public concern for maritime safety and environmental protection, and maritime safety matters being included in international agreements. Congress responded to

this concern by enacting numerous statutes to ensure the safety of U.S. vessels, their crews and passengers. This legislation, coupled with international agreements which were ratified into law, greatly enhances the size and complexity of the CVS program. Incorporating safety matters into international agreement carries the added benefit for U.S. Commerce in that U.S. Flag Carriers are not disadvantaged by foreign competition adhering to lower safety standards. The CVS program is responsible for assuring the safety of life, property and the environment in and on waters subject to U.S. jurisdiction. The operating budget for carrying out the CVS functions as noted by the Coast Guard's Roles and Missions Study of 1982 totalled \$79.2 million in fiscal year 1982 or 5.7% of the Coast Guard budget.

Most of the CVS laws mandate that an activity be performed but in most cases leave the level of performance to the Coast Guard to establish. The specific level of performance is contained in the annual Coast Guard's Operating Plan. The development and enforcement of safety standards form the benchmark for the level of Coast Guard performance. The Coast Guard's Marine Inspection Offices (MIO's), Marine Safety Offices (MSO's) and their designated subunits are the operating units which enforce the laws and regulations. In 1980, there were 43 Marine Safety Offices, 6

Marine Inspection Offices and 3 overseas marine inspection activities.

b. Overseas Program

The approval of a vessel's plans and initial inspections are the primary tools used by the Coast Guard for enforcing safety standards. Not performing plan review and initial inspection would place the burden of exposing any inherent unacceptable safety compromise due to design, improper material construction or equipment installation on the periodic in-service inspection or on failure in operation. Such a system most likely would result in catastrophe or at least involve substantial remedial costs. This concept is of vital importance in the context of Commercial Vessel Safety and should be pursued whether the construction of U.S. vessels is undertaken at home or abroad. Rear Admiral Clyde T. Lusk, current Chief, Office of Merchant Marine Safety, indicated his views during a personal interview in July of this year, by stating: "U.S. Flag Vessels under construction in foreign shipyards should receive the same attention given to those vessels built in the United States."

Beginning in the 1970's the Coast Guard began permanently assigning personnel in certain overseas locations to carry out Commercial Vessel Safety activities. Offices were established in Guam, Kobe, Japan, Singapore and Rotterdam, Netherlands. These offices cover new construction

conversions and periodic inspections in Europe, Africa, the Middle East and the Far East. The overseas program generally consisted of marine safety personnel attached to the U.S. Embassies in the particular areas with the exception of some brief temporary additional duty (TAD) inspectors responding to an increase in overseas workload.

In April, 1982, as a result of significant budgetary restraints, the overseas offices in the Netherlands, Japan, Singapore and Guam were closed.

"Closure of these offices were effected during FY 1982 in order to realize personnel and dollar savings. The intent in closing these overseas offices was not for the purpose of giving up our overseas inspection program, but rather to markedly change the way we do it." (Commercial Vessel Safety Operating Plan, FY 85-94, U.S.C.G.)

Public Law 96-376 also played a significant role in the decision to close the foreign offices. Public Law 96-376 granted the Coast Guard statutory authority to require owners to reimburse the Coast Guard for travel and subsistence expenses incurred for overseas inspections and examinations.

The workload and area of responsibility of the closed offices were transferred as follows:

MSO Honolulu____ Inspection activities in the Far East,
Pacific Basin, Indian Ocean as far as
the Arabian Sea.

MIO Seattle_____ Inspection activities in Western Canada.

MSO San Diego_____ Inspection activities in Western Mexico
above 20 degrees North latitude.

MIO New Orleans_____ Inspection activities in South and Central
America, Western Coast of Mexico below 20
degrees North latitude.

MIO New York_____ Inspection activities in Europe, Red Sea,
Mediterranean Sea, Arabian Sea, Persian
Gulf, and all of Africa.

MSO Boston_____ Inspection activities in Eastern Canada.

(Federal Register/V0147,N055/Monday, March 22,1982)

There still remains a heavy demand for CVS services in the foreign arena. Several factors account for this demand. The continuing search for increased sources of petroleum and the discovery of the North Sea fields has produced a sizeable U.S. maritime presence based overseas. These vessels are not returning to the U.S. for required safety inspections. Another factor involves the keen competitive structure of the foreign shipyards in relationship to U.S. shipyards for similar construction and/or repairs.

2. Program Objective

Marine Safety is one mission of the Coast Guard. The intent of this mission has been to benefit society as a whole, even though there are some benefits which accrue specifically to the owners, operators and crews of the vessels. The mission has historically been funded in the

form of general tax revenues. CVS is a program within that mission and vessel inspection is a function of that program.

The objective of the Commercial Vessel Safety program as outlined by the Coast Guard in testimony in 1981 before the Subcommittee on Coast Guard and Navigation, U.S. House of Representatives, is stated as: "the prevention of deaths, personal injuries, and property loss associated with vessels and other facilities engaged in commercial or scientific activity in the marine environment."

The objective is pursued, as noted in the 1982 Coast Guard's Roles and Mission Study, through the administration of the following functions:

- a) Review and approve new vessel construction plans to ensure that the vessel is of seaworthy design and in keeping with Federal construction standards;

- b) Periodically inspect vessels to ensure that they are being maintained and repaired properly, carry proper lifesaving equipment and in general remain seaworthy;

- c) License and certificate the personnel that operate U.S. vessels to ensure that they are competent, trained, and physically qualified to serve at sea;

- d) Investigate marine casualties to establish the cause of the casualty, recommend remedial procedures to limit their reoccurrence, and, if necessary recommend punitive action against personnel in violation of U.S. maritime law; and,

e) Admeasure and document U.S. vessels to facilitate their use in international trade and provide evidence of ownership for identification and financial relationships.

3. Problems and Concerns with the Program

Several studies were undertaken in the late 1970's as a result of:

a) Several major marine casualties resulting in loss of life and property and environmental damage in or near U.S. waters,

b) Greater concern voiced by the public for ecological and cost consideration,

c) Greater Congressional interest in the effectiveness of Coast Guard resources allocation.

A study which drew a significant reaction from the Coast Guard and the maritime industry was the General Accounting Office Report titled "How Effective is the Coast Guard in Carrying Out Its Commercial Vessel Safety Responsibilities?" dated May 25, 1979. The study indicated that the Coast Guard should make improvements in the following areas of the CVS program:

1. Expand in-house training, establish standards for qualifying inspectors, establish an inspection job classification, and extend the inspectors' tour of duty.

2. Reexamine the possibility of transferring some aspects of the U.S. vessel inspection program to the American Bureau of Shipping.

3. Provide comprehensive direction for boardings and examinations, improve follow-up on tankship safety deficiencies, expedite the development of the Marine Safety Information System, adopt an aggressive penalty policy, and emphasize the boarding and examination of uninspected U.S. Commercial vessels.

4. Require a demonstration of competency for issuance or renewal of marine industry personnel licences, establish medical standards for determining the physical fitness of maritime personnel, seek jurisdiction over state pilots and abolish the shipping commissioner functions.

5. Study the staffing needed to carry out activities in the Coast Guard's commercial and international safety activities.

The Coast Guard rejected several broad indictments but was in substantial agreement with the study's basic tenets. The idea of delegation of services continues to be an issue concerning inspection functions of the CVS program. "The most prominent question which emerged during the Subcommittee's Oversight hearing was whether or not some of the functions now being performed by the Coast Guard can be undertaken with equal competence and at less cost to the Federal Government by classification societies such as the American Bureau of Shipping or similar U.S. organizations."

(Subcommittee on Coast Guard and Navigation, U.S. House of Representatives, November 1981)

A particular benefit of a non-governmental agency is that costs will be borne by the private sectors. Another strength is that inspections now performed by non-governmental entities will not be duplicated by Federal inspections except on a spot-check basis.

A weakness of involving a non-governmental agency in the enforcement of laws and regulation is the potential for conflict of interests. Another weakness is the lack of enforcement authority of non-governmental organizations and the lack of control by the Federal agency which is ultimately responsible for enforcement.

Studies and Congressional hearings similar to the ones named, especially during times of strongly perceived budgetary constraints, and initiatives to minimize regulatory impact will continue to require critical review of traditional legislatively mandated CVS functions.

C. OTHER PARTY INTERESTS

There are many organizations in both the Federal and private sectors that have an impact on the U.S. maritime industry and in particular the Commercial Vessel Safety program. These organizations and the Coast Guard interact over a wide range of functions. This interaction influences all sectors of the industry such as the financial

institutions which provide capital for ship construction; the marine insurance industry, classification societies, cargo bureaus, standard setting organizations which provide a basis for quality control; the maritime training and education establishment and the great variety of businesses which build, maintain, supply and operate vessels.

This section will describe briefly several organizations that have a more pervasive impact.

1. The American Bureau of Shipping

The American Bureau of Shipping (ABS) was created in 1862 by the New York Legislature as a non-profit, international ship classification society. ABS has a primary function of certifying the soundness and seaworthiness of merchant ships and other marine structures. ABS is entirely supported by the fees charged to shipowners who request classification services. Just as the Coast Guard sets vessel safety standards to meet national safety objectives, ABS sets standards, known as rules for the purpose of placing a vessel in class, principally for gauging its insurability.

As of June 1983, there were 15,580 vessels totalling approximately 191,076,014 deadweight tons under classification by ABS. The society is represented in 94 countries with a work force of 1655 exclusive employees, in 140 exclusive offices worldwide. An exclusive employee is one who works full time for the organization.

A strong driving force has emerged in the past several years for transferring or delegating some functions of the CVS program to ABS. This force led to the passage of Public Law 97-136 which provides authority for the Coast Guard to delegate vessel inspection or examination duties to the American Bureau of Shipping or similar American Classification Society to the maximum extent practicable. It should be noted that ABS is the only American classification society currently chartered in the United States. This law further provides specific authority for the Coast Guard to utilize ABS or a similar American classification society for review and approval of vessel hull, machinery, piping and electrical plans.

Discussion between ABS and the Coast Guard resulted in a Memorandum of Understanding (MOU) dated June 9, 1981, which addressed the basic guidelines for cooperation, plan review and inspection of vessels under construction which are to be classed by ABS and certified by the Coast Guard. This MOU, which is referred to as MOU I, was relatively limited in scope but served as a useful tool for further discussions and agreements resulting in a second MOU (MOU II).

MOU II, dated 27 April 1982, superseded and expanded upon MOU I by providing for further areas of plan review and Coast Guard acceptance of inspection tasks associated with construction of new vessels and major conversions built to ABS classification rules and certified by the Coast Guard.

MOU II also provided instruction to the industry on plan submittal procedures, areas of responsibility between ABS and the Coast Guard and provisions for Coast Guard oversight and general administration.

The Coast Guard initially projected a 15.5% reduction in new construction workload resulting from the MOUs. It is felt that a reduction occurred but not of the magnitude initially projected. At present, the actual effectiveness of the delegation of services to ABS has not been evaluated as noted in the required Annual Report to Congress concerning such delegation.

"Since implementation of Mou I (1 August 1981) and MOU II (June 1983), 663 vessels have come under the term of the agreements. During this period 422 vessels were completed under one of the MOUs. A comparison of Coast Guard man-hours devoted to vessels coming under plan review and inspection guidelines of the MOUs and those entirely under Coast Guard inspection presently does not provide meaningful information. Efforts will be made to track man-hours and the impact of the MOUs on Coast Guard technical and inspection resources and costs, and compare them with the certification program involving vessels not classed with ABS. (Annual Report to Congress, G-MP/24, U.S. Coast Guard, June, 1983)

The report also noted that the Coast Guard is moving hesitantly concerning the delegation of other services.

"As to ABS performing vessel inspection and re-inspection functions other than at new construction, we considered this to be a very long term option which will require further negotiations and considerable discussion. We currently do not support this additional delegation since the present MOUs have not been fully implemented to the extent possible, nor have we determined the true benefits/ costs of the on-going program. (Annual Report to Congress, G-MP/24, U.S. Coast Guard, June 1983)

2. Other Federal Agencies

"Other Federal agencies such as the Maritime Administration (MARAD) and the Occupational Safety and Health Administration (OSHA) also perform inspections and review certain safety aspects for vessels. MARAD has the role of owner/financier/promoter for vessels it subsidizes, while OSHA oversees the work place environment. For many maritime issues, Coast Guard regulations directly affect employee working conditions and thereby preempt OSHA's standards for these same conditions." (Coast Guard Roles and Mission Study, 1982)

MARAD requirements to inspect U.S. flag vessels are related only to compliance with construction constraints involving the construction differential subsidy and the inclusion of national defense design features.

The International Maritime Organization (IMO), formerly named Inter-Government Maritime Consultative Organization, was established in 1958 under the auspices of the United Nations. It has served as a focal point for international deliberation on marine safety since that time. IMO has expanded to 121 member countries from the chartered 21 members.

The Coast Guard has been officially delegated to represent the U.S. interest in IMO since its inception. CVS program personnel participate at all levels of the organization.

3. The Maritime Industry

a. Shipping Companies

The U.S. shipping industry is a very complex industry which consists of many segments, each structured

differently. The privately-owned U.S. fleet is divided according to whether a shipping firm is engaged in international ocean shipping or in lakes, rivers, coastwise or intracoastal domestic shipping. These areas are more commonly referred to as engagement in foreign or domestic trade respectively. U.S. ocean shipping is further divided by mode of operation, namely liners or tramps. Domestic shipping is classed geographically according to the area of operations; Great Lakes, rivers, coastwise, or intracoastal shipping.

A primary concern for the shipping companies is the extent to which the burden of CVS regulation can be passed on to the consumer. In the Maritime Administration Study dated December 1979, cost of compliance with Federal regulations were estimated to be approximately one percent of total construction and operating cost.

There is a distinct difference in the market structure facing the foreign and domestic trade sectors. In the foreign trade, U.S. vessels (documented vessels of the United States) must compete with foreign and U.S. firms operating ships registered in foreign countries and manned by non-U.S. crews. In the domestic trade, only U.S. vessels are allowed to participate. CVS regulation, with its main focus on safety, should not add a crippling cost disadvantage on the U.S. Ocean fleet.

b. Shipyards

The vigor of U.S. commercial ship building and repair yards rests heavily on the strength of the nation's Merchant Marine and the Government policies on the size of its public fleet (i.e. Navy, Coast Guard, and U.S. Army Corps of Engineers).

"Shipbuilding and repair activities are under extreme and constant pressure from highly competitive foreign shipyards, which offer to build vessels at extremely low prices with assurance of support from their governments. Based on this government support, and to ensure their survival during this time of depression, overseas yards are quoting prices on construction of new ships at 20 to 40 percent below actual costs. This places an awesome burden on U.S. shipbuilders competing in a worldwide market." (Critical Issues in Maritime Transportation, 1981)

This pricing strategy has tended to increase the Coast Guard workload in overseas inspections.

"In 1979, two major U.S. ship operators signed letters of intent or contracts with Japanese or Korean shipyards for construction of 24 large containerships at an average cost of about \$33 million each. It is expected that the total cost of these vessels if contracted for in the U.S. yards would have been not \$800 million, but two and one half times-to-three times that amount. During 1979, at least one major U.S. shipyard closed its doors on shipbuilding, leaving a 225,000-ton tanker and a number of other vessels incompleated." (Critical Issues in Maritime Transportation, 1981)

The particular cases noted above led to the establishment of Marine Inspection Office, Kobe, Japan, in the fall of 1979.

It is projected in the CVS operating program for FY 85-94 that a major shipping bill will pass Congress in the near future. In addition to providing a framework for the

revitalization of the American Merchant Marine, it is likely that this bill will increase the foreign construction of American flag vessels.

II. COST EFFECTIVENESS ANALYSIS PROCEDURES

A. INTRODUCTION

This chapter will focus on procedures and tools used in the area of cost effectiveness analysis. Anyone attempting to conduct a study of this nature should first have a working knowledge of the theory involved so a plan of attack can be devised that will produce valid results that are acceptable to users of the information. "Too often, the tendency is to plunge directly into gathering data and estimating benefits and costs with the hope that it will all fit together at the end. In an undertaking as complex as CBA, this is not a wise course. Much effort is wasted and much remains undone when precise plans do not guide the analysis." (Sassone, Schaffer, 1978) Since our thesis deals with the analysis of a government activity, we will often concentrate on the applications of theory in this area.

1. Definitions

Several terms are used in the literature to label analysis of this nature. They include cost benefit analysis, cost effectiveness analysis, economic analysis, performance evaluation, policy analysis and systems analysis. There appears to be wide-spread disagreement among authors and

theorists regarding the definition of these terms and the placement of appropriate theoretical boundaries between them.

"Numerous other terms--operations analysis, operations research, systems engineering, cost utility analysis--might also be used, depending on the context, and, to different people, they might imply some subtle distinction. But they all convey the same general meaning. Moreover, there exist among them no distinctions in principle. Whatever differences may be found are simply matters of degree, emphasis, and context. What is important, therefore, are the characteristics they have in common. These include an effort to make comparisons systematically in quantitative terms, using a logical sequence of steps that can be retracted and verified by others." (Quade, 1967)

In his introduction to Cost-Effectiveness Analysis, author Edward S. Quade defines an analysis as one involving a comparison of alternative courses of action in terms of their cost and their effectiveness in attaining some specific objective. For the sake of consistency, we will continue to use the term cost-effectiveness in referring to this area of analysis.

2. Steps

The basic steps involved in a cost-effectiveness analysis include: a definition of the problem at hand and the objective of the analysis, a listing of alternatives, a means or criteria of choice used in evaluating the alternatives, the determination of costs and benefits of each alternative and the evaluation of the alternatives based on the criterion selected. Each of these will be discussed in the following sections of this chapter. These basic steps are normally included in an analysis but the form and content of each may

differ greatly due to the wide range and scope of problems addressed.

B. PROBLEM DEFINITION

1. The First Step

The first major step in undertaking a cost-effectiveness analysis is to define the problem at hand and to state the objective of the analysis. In The Decision Maker's Handbook, author Alexander H. Cornell states that the existence of a bona-fide problem is necessary before a decision (with or without the aid of analysis) can be made.

"Within any system or subsystem structure, a condition must exist that presents a decision maker with the opportunity to make a decision. Additionally, the situation should offer alternative courses of action to resolve the decision situation. Again it is appropriate to repeat an earlier observation: if there is no decision-making situation there can be no decision, no alternatives. ...At the other extreme, it is good to remember that a decision not to make a decision even where a decision situation exists is a decision in itself." (Cornell, 1980)

In many cases, the decision maker or user of the information and the analyst or provider of the information are not the same person. In these situations, the definition of the problem involves communication between the decision maker and the analyst as to what constitutes the problem. "The decision maker's input to the analyst will affect the analyst's output to the decision maker. The better the problem is specified, the more useful will be the final report to the decision maker." (Sassone, Schaffer, 1978)

Following the excerpt, authors Peter G. Sassone and William A. Schaffer then explain that this first step provides direction for the remainder of the analysis. "It is here that the decision maker plays a crucial role, communicating to the analyst precisely what he wishes to be done. It is the analyst's task to record these desires, and elicit whatever information is needed to exactly define the problem. While each project has its own unique features, many aspects of problem definition are common to most, and , although such a listing can never be complete, it forms a basic checklist for both the analyst and the decision maker." (Sassone, Schaffer, 1978)

2. Applications

Analysis, as stated in the preceding section, can be applied over a wide range of problem situations. In Analysis for Public Decisions, author Edward S. Quade lists four major applications of analysis pertaining to governmental programs. "Analyses are needed for such tasks as: (1) fairly routine evaluations of ongoing or proposed programs or projects with a view to changing the resource allocation or to improving operations with the same allocation; (2) comparisons of the costs and benefits of proposed programs; (3) the investigation of special issues or problems not associated with proposed or established programs but which someone inside or outside the government brings to notice; and (4) detailed preparation of new programs." (Quade, 1975) This

inherent diversity in applications reinforces the importance of a rather precise problem definition pointed out in the preceeding paragraph. This is not to say that once a problem has been defined it cannot be altered, refined or updated at some point during the analysis. The approach taken is often described as an iterative process.

3. Assumptions

A final point that relates to the problem definition stage concerns assumptions which are also related to the entire process. In the following excerpts, author Alexander H. Cornell describes the use of assumptions in an analysis.

"Assumptions are not only embodied in the formulation phase, they are necessary throughout the entire analytic study. ... Assumptions are used to limit the scope of a problem or opportunity, and to limit the scope of objectives and alternatives. Care must be exercised in this last application, for unduly restrictive assumptions will rule out some potentially significant objectives or alternatives. ...The best guide is to try to limit assumptions to those areas in which it simply is not possible to obtain facts. This last problem is greatly affected by resources and the time to gather information." (Cornell, 1980)

Cornell also points out that assumptions are inevitable, that they should be reasonable and that they be explicitly identified within the analysis.

C. LISTING OF ALTERNATIVES

Once the problem has been specified and defined, various alternatives or possible solutions are sought and identified. The number and diversity of alternatives are often influenced

by the nature of the problem, which, according to Sassone and Schaffer, takes one of the following three forms: (1) one project is to be accepted or rejected, (2) one of several projects is to be accepted, (3) several of many projects are to be accepted. The analyst's abilities and available resources also influence the quality and quantity of alternatives.

In Analysis for Public Decisions, Edward S. Quade offers the following comments concerning the search for alternatives.

"The generation of alternatives is, or should be, a creative act. ...Genuinely new alternatives are hard to come by simply because it is very difficult for the human mind to think of things someone has not thought of before. ...The process of searching for alternatives also includes a certain amount of evaluation, for in so doing the grossly inferior ones are implicitly screened out by simple tests for dominance or acceptability. Sometimes these tests are based more on similarity to alternatives found acceptable in the past than on estimates of their actual effectiveness. This is simply a reflection of the fact that similarity is often an efficient screening device. Possibly too much so; it is seldom that a radically unfamiliar alternative will appear useful because the screener, with coordination in mind, will tend to eliminate an alternative that does not appear to fit in with other areas of his organization. The familiar alternatives that change only incrementally have at least that virtue of fitting within the organization." (Quade, 1975)

Alexander H. Cornell identifies several potential sources of alternatives, each having a varying degree of analytic ability. These include someone with intuition, and expert, a group of experts and a committee. Other methods of obtaining alternatives include brainstorming, the Delphi technique and modeling. Even though arguments can be made for or against any of these sources or methods, they may be useful in

obtaining a workable set of alternatives. The number of alternatives should be manageable. This depends on the scope of the problem and the resources available for solving it. There is always the possibility that the theoretically "best" alternative was never uncovered and therefore was not chosen as the solution.

D. CRITERIA OF CHOICE

During this stage of the analysis, the criterion or decision rule to use in selecting an alternative over others is specified. There are two main levels at which criteria are applied, depending on the scope of the problem. One generally involves social or governmental decisions at the microeconomic level while the other is applied in less far reaching decisions at the organization or sub-organization level.

1. Economic Efficiency

The first and more general level involves the concept of economic or allocative efficiency. Economic efficiency exists within an economic system when it is impossible to increase general welfare with a given amount of resources and level of technology. Static efficiency is the term used for economic efficiency within a short time span where resources and technology are fixed. The term dynamic efficiency applies to an extended period of time where resources and technology are allowed to vary. "Economists, one might

think, could simply apply the optimization principle to the economy's present allocation of resources and goods: they could ask themselves whether the marginal benefit of any potential reallocation of resources or goods just equaled the marginal cost. If this marginal benefit did not equal this marginal cost, the present allocation would not be the best one." (Kohler, 1982) Unfortunately, this is not an easy process to undertake.

Economist Vilfredo Pareto was a pioneer in developing the concept of economic efficiency. He established a number of marginal conditions that should be met for a system to achieve economic efficiency. "If a reallocation of resources or goods left some individuals, in their own estimation, equally well off but others better off, social welfare had increased. If some felt equally well off but others worse off, social welfare had decreased. If some were better off and others worse off, the situation could not be evaluated by economic science-unless, that is, the gainers actually compensated the losers to the losers' full satisfaction and were still better off." (Kohler, 1982) Closely related to the Pareto conditions is the Kaldor-Hicks principle. This less stringent indicator of economic efficiency is referred to by author Edward M. Gramlich in Benefit-Cost Analysis of Government Programs. "The Kaldor-Hicks principle is that situation A is preferred to situation

B if the gainers could compensate the losers and still be better off. Notice that the Kaldor-Hicks principle does not require that the gainers actually do compensate the losers and so does not deal with the distributive consequences of policy changes." (Gramlich, 1981) Although the concepts of economic efficiency are theoretically preferred in the evaluation of projects or alternatives affecting general public welfare, practical application is usually difficult. Often a somewhat more specific criterion will be applied.

2. Lower level Criteria

The second level of criteria normally is applied in analysis at the organization level and in making decisions concerning programs at the agency level in government. There are three general criteria which are normally used. "The analyst may rank alternatives by one of three general criteria. These criteria conform to the three basic types of cost/benefit relationships: Unequal Cost/Equal Effectiveness, Equal Cost/Unequal Effectiveness, and Unequal Cost/Unequal Effectiveness. The three criteria are: (a) Least cost for a given level of effectiveness, (b) Most effectiveness for a given cost constraint, (c) Largest ratio of effectiveness to cost." (D.E.A.C., 2nd Ed.) There are also several criteria that are used to evaluate projects from a financial perspective. These include net present value, internal rate of return and payback period and are normally applied when

the costs and benefits of a project are more easily quantified in monetary terms.

E. DETERMINATION OF COSTS

There are several perspectives which may be taken in the process of determining the costs of the various alternatives. Each may be preferred under different circumstances. These perspectives include: (1) static costing and time phased costing, (2) incremental costing and (3) life-cycle costing.

1. Static and Time Phased Costing

Static and time phased costing methods are discussed by author Harry P. Hatry in "The Use of Cost Estimates." In this contribution, he states that static cost analysis is normally applied in system configuration or system comparison study and the costs commonly take one of the following three forms: (a) acquisition cost plus operating costs for a specified number of years, (b) acquisition cost less residual value plus operating costs for a number of years, (c) either of these two forms discounted to the present. Time phased costing typically takes one of these forms: (a) annual funding requirements, (b) cumulative funding requirements, (c) either of the two streams discounted to the present. This method is often applied in budgeting, particularly in the public sector. "To the extent that such considerations exist as annual funding constraints or the desirability of smoothing out annual funding, then the

display of the annual funding requirements will be of importance to planners. (As a practical matter, the major interest of Government planners is, of course, in the current and next budget years' requirements.)" (Hatry, 1967)

2. Incremental Costing

The incremental costing approach is not entirely independent from the methods already mentioned. This approach is commonly used in capital budgeting decisions in the area of managerial accounting. It is also related to the concept of marginal costing and the problem of deciding which cost are relevant.

"Cost analysis, like systems analysis which it serves, can be viewed as an application of the economic concept of marginal analysis. The analysis must always move from some base that represents the existing capability and the existing resource base. The problem is to determine how much additional resources are needed to acquire some specific additional capability, or, conversely, how much additional effectiveness would result from some additional expenditure. It is, therefore, the incremental cost that is relevant. Sunk costs are not included, and inherited assets are not costed." (McCullough, 1967)

Edward S. Quade points out that some costs may not be considered relevant for another reason that pertains to whether costs are considered internal or external.

"Costs may be relevant but they may not concern us. For example, costs falling upon hostile nations may not concern us in the same way as costs falling upon our own population. External costs are those costs of a program or decision that fall outside the boundaries of the decision maker's interest or beyond the scope of his organization. Whether a given cost is internal or external thus depends on where in the decision-making hierarchy the decisionmaker happens to be and how comprehensive his concern." (Quade, 1975)

3. Life-Cycle Costing

Following his discussion concerning incremental costing, author James D. McCullough also comments on the perspective of life-cycle costing in his contribution "Estimating Systems Costs." It is related to the time phased costing approach in that it attempts to measure a program's total cost impact over time. "Life-cycle costing results from the principle that the funds necessary to undertake a program are not the primary consideration, nor are the funds required in any particular time period, but a decision to undertake a particular course of action should take into account its total cost impact over time. The cost of developing the system must be accounted for, and the cost of procuring the system, and also the cost of operating it as a component of the force, must be taken into consideration." (McCullough, 1967)

4. Choosing a Discount Rate

To conclude this section, some attention to the choice of interest or discount rate applied in accounting for the cost of money is necessary. Several rationales concerning the choice of an appropriate rate exist and, as noted in the following excerpts, there has been no particular method that is universally accepted. "The Department of Defense currently has a 10% discount rate established by DoDI 7041.3

to be used in all economic analyses of proposed Defense investments." (D.E.A.C., 2nd Ed.) "The rationale behind the discounting process is to allow for differences in the timing of cash flow, but not for risk, and this argues for the use of a risk free or time preference interest rate. The obvious problem here is the definition and identification of a 'risk free' rate of discount." (Corti, 1973) "But, in fact, knowing what rate to use is quite a trick, one that has taken the attention of literally hundreds of economists over the past 30 years." (Gramlich, 1981) The use of judgement in the choice of a proper discount rate has led Dr. Nicholas A. Ashford to offer the following words of caution concerning regulatory decision making. The comments, however, also apply elsewhere. "Further, since the consequences of many regulatory actions may be to impose compliance costs today in order to bring about health benefits far into the future, the choice of discount rate can make one regulatory option look better or worse than an alternative. Since there is no consensus on what that rate should be, the policymaker's preference for a particular regulatory option can be hidden in the choice of a discount rate." (Ashford, 1980)

F. DETERMINATION OF BENEFITS

The next step involves identification and measurement of the benefits of the various alternatives. Most people dealing with this subject agree that measuring effectiveness

is normally more difficult than measuring costs, especially in nonprofit, government or service oriented programs or projects. In their article for The Accounting Review, authors James E. Sorensen and Hugh D. Grove point out that the literature in this area is somewhat lacking. "A widespread literature focused upon profit-oriented organizations has left the accounting literature with few operational techniques which are responsive to nonprofit service performance evaluations." (Sorensen, Grove, 1977)

In "Organizational Effectiveness: Some dilemmas of Perspective," author Robert Dubin indicates that a dichotomy exists between the use of operating efficiency and output effectiveness measures. "This distinction between social utility of output and operating efficiency is one that pervades the economy. The counterpoint of internal efficiency and social utility of output is so fundamental that almost all contemporary social problems involving organizations can be analyzed from the standpoint of this dilemma. Indeed, whenever an organization comes under attack from the outside, its leaders will defend it on grounds of organizational effectiveness quite opposite from those used as the basis of the attack." (Dubin, 1976) In his contribution titled "Measures of Effectiveness," William A. Niskanen offers two necessary characteristics of an effectiveness measure.

"The choice of these measures is the most difficult, unique problem of cost-effectiveness analysis. The appropriate measure should have two characteristics: First, and most important, it must be relevant; preferable, but less important, it should be measureable. These objectives are often conflicting. The most relevant are often very difficult to measure and vice versa. The analyst's first challenge, therefore, is to choose a better combination of relevance and arithmetic than that exhibited by most political strategists, and, for that matter, by all too many operations analysts." (Niskanen, 1967)

Probably one of the most widely respected authorities concerning management of nonprofit organizations is Dr. Robert N. Anthony. In his text Management Control in Nonprofit Organizations done in collaboration with Professor Regina E. Herzlinger, the distinction between efficiency and effectiveness measures is more reconciliatory than that proposed by Professor Dubin. They also point out the difficulty in making such measurements.

"Output information is needed for two purposes: (1) to measure efficiency, which is the ratio of outputs to inputs (i.e., expenses); and (2) to measure effectiveness, which is the extent to which actual output corresponds to the organization's goals and objectives. In a profit-oriented organization, gross margin or net income are measures that are useful for both these purposes. In a nonprofit organization, no such monetary measure exists because...revenues do not reflect true output in the same sense as a profit-oriented company. ...In the absence of a profit measure, neither efficiency nor effectiveness can be analyzed unless an adequate nonmonetary substitute can be found." (Anthony, Herzlinger, 1980)

In their text, they define three basic measurement categories which may be used in the area of nonprofit or service oriented activities. The first are called results measures. "A results measure is a measure of output expressed in terms that are supposedly related to an

organization's objectives. In the ideal situation, the objective is stated in measurable terms, and the output measure is stated in these same terms. When this relationship is not feasible, as is often the case, the output measure represents the closest feasible way of measuring the accomplishment of an objective that cannot itself be expressed quantitatively. Such a measure is called a surrogate or a proxy." (Anthony, Herzlinger, 1980) The second is called a process measure. "A process measure relates to an activity carried on by the organization. ... The essential difference between a results measure and a process measure is that the former is ends-oriented, while the latter is means-oriented. An ends-oriented indicator is a direct measure of success in achieving an objective. A means-oriented indicator is a measure of what a responsibility center or an individual does." (Anthony, Herzlinger, 1980) The third type of measure is called a social indicator. These are often applied when a program or project is being evaluated from the standpoint of economic efficiency discussed in the section regarding criteria. "A social indicator is a broad measure of output which is significantly the result of the work of the organization. Unfortunately, few social indicators can be related to the work of a single organization because in almost all cases they are affected by exogenous forces, that is, forces other

than those of the organization being measured." (Anthony, Herzlinger, 1980)

The literature brings out two important points: that there are several means which may be used in measuring benefits; and that one normally encounters difficulty in any means applied. The analyst's choice of method normally will involve judgement with regard to applicability, convenience and availability of data.

G. COMPARISON OF ALTERNATIVES

1. Purpose of Evaluation

Once the costs and benefits of the alternatives have been identified, measured and recorded, a comparison or evaluation of the alternatives can be performed. The final outcome is a choice or ranking of the alternatives under the guidelines specified in the criterion for doing so. In the chapter of Analysis for Public Decisions which deals with evaluation of government programs, Quade applies the term evaluation as a means of measuring the accomplishments of an on-going or sometimes completed program in comparison to anticipated results. Such evaluations are used to propose changes in resource allocation, to improve operations and often aid in planning future activities. This type of evaluation directly pertains to the subject matter of this thesis.

a. Evaluation To Affect Resource Allocation

"Evaluation to affect resource allocation is designed to assess the worth or effectiveness of an on-going program or project in order to help determine the funds (or possibly other resources) it should be assigned. It sometimes involves a choice between using funds to continue or to end a program, but more often the decision is resource allocation at the margin--adding a little to the programs that seem to be doing well and cutting back, or not increasing, the others." (Quade, 1975)

b. Evaluation To Improve Operations

"Evaluation to improve operations is frequently done internally since its purpose is to investigate possible changes in the program with a view to improving performance, not to see how the program is doing in comparison with similar programs or in any absolute sense." (Quade, 1975) He further states that the type of data used in this area of analysis is often low-level, routine and short-range in nature.

2. Techniques

In their work Practical Program Evaluation for State and Local Governments, Harry P. Hatry and his associates offer five approaches to program evaluation. These are:

a. Before vs. after program comparison.

b. Time trend projection of pre-program data vs. actual post-program data.

c. Comparisons with jurisdictions or population segments not served by the program.

d. Controlled experimentation.

e. Comparisons of planned vs. actual performance.

The method of evaluation applied may be specified within the problem statement as a mandate of the decision maker or, again, it may be outlined in the criteria. When the choice is made by the analyst, it usually depends on the type of problem to be analyzed and the influence of time and resource constraints.

3. Guidelines

With regard to preferred evaluation techniques, and while drawing from the works of other contributors, Sorensen and Grove offer the following research guidelines.

a. The results of the program should be observable.

b. In any comparison of populations, samples must be created by random or systematic allocation of individuals to groups.

c. Analysis of improvements of a specific target group must be supported by comparison with similar groups which may have received different interventions.

d. Evaluation instruments must be assessed for reliability, especially for inter-rater agreement, for validity.

e. Observed differences are often small. New programs usually create only modest effects and large 'slambang' effects will be few.

When a comparison of alternatives is actually conducted, the use of a graphic format is recommended by the Defense Economic Analysis Council in their publication titled Economic Analysis Handbook.

"The proposed method of comparison of alternatives employs a graphic format. It should be emphasized that graphic analysis is not necessarily a substitute for mathematical calculations which rank the proposals. Rather, this format serves to display the results of computations in a manner which is easily understood when we have a continuum of cost and effectiveness measures. Using graphs serves two functions. First, the graphs may suggest the appropriate ranking of the alternatives over a given range of time or effectiveness, thus performing an analytic function. Second, the use of a graph allows the decision maker to see at a glance all the information which may become lost in a tabular maze." (D.E.A.C. 2nd Ed.)

This format is inherently helpful in the process of sensitivity analysis because, as mentioned, the alternatives may be compared graphically over a given range of one or more variables.

4. Sensitivity Analysis

Sensitivity analysis is, in itself, an important part of the cost effectiveness analysis process. It provides information of a dynamic nature to both the analyst and the decision maker on the acceptability of the alternatives. In the following excerpt, author G. Corti explains the use of sensitivity analysis in a financial investment context. Like

breakeven analysis, it is often helpful to display sensitivity analysis in a graphic format.

"Sensitivity analysis is a desirable first step in the appraisal of risk and uncertainty. As is well known, this is a method of testing the sensitivity of the merit of an investment. It involves revising estimates of uncertain assumptions and variables and ascertaining how such revision affects the expected profitability of a project. The idea is that management must become aware of the financial consequences of all likely outcomes before being able to make a reasoned evaluation of the worth of a project." (Corti, 1973)

5. The Final Report

To conclude an analysis, the analyst conveys his or her findings and recommendations to the decision maker by submitting a report. The final report is, of course, the end product of the analysis. It documents and communicates the work done by the analyst to the decision maker. It should therefore contain a logical representation of the analysis performed and provide understandable findings. The report should also be detailed and complete.

"'Documentation' is essential. If numbers are arrived at or critical sources used, then by all means document the work already laboriously done. The time spent in having numbers, equations, models, or judgements which have been omitted from a report explained fully to a manager is one of the most wasteful kind of 'drills', about which I know only too well. Endless hours of discussion and clarification can be avoided by including them." (Cornell, 1980)

H. PROBLEMS AND CONCLUSIONS

1. Problems in Cost Effectiveness Analysis

Before concluding on the subject, we consider it appropriate to discuss some of the more common problems or misgivings concerning cost effectiveness analysis. These include: (a) time and resource constraints, (b) the presence of judgement, (c) quantifiability of factors, (d) political constraints, and (e) uncertainty.

a. Time And Resource Constraints

The effects of time and resource constraints pervade an analysis. These constraints greatly affect the validity and completeness of information used within the analysis. They also may result in the use of judgement which poses a problem in itself.

"Time money and other costs obviously place severe limits on how far any inquiry can be carried. The very fact that time moves on means that a correct choice today may soon be outdated by events and that goals set down at the start may not be final. This is particularly important in public policy analysis, for usually the decision-maker can only wait a very limited time for an answer. The costs of delay may be of more consequence than the benefits of further inquiry because the time at which the decisions can be made successfully may pass rapidly." (Quade, 1975)

b. The Presence of Judgement

"Human judgement is used in designing the analysis, in deciding what alternatives to consider, what factors are relevant, what the interrelations between these factors are, and what numerical values to choose, and in interpreting the results of the analysis. This fact--that

judgement and intuition permeate all analysis--should be remembered when we examine the results that come, with apparent high precision, from analysis." (Quade, 1967) Whenever judgement is used, there is also the possibility that either willful or unconscious bias may be present.

c. Quantifiability Of Factors

Professor Alan Williams uses the following comments to answer the question: Is cost benefit analysis precise? "...such is the strength of the influence of the scientific sub-culture with our society, that quantifiable things tend to take precedence over non-quantifiable things, and hence undue weight tends to be given to the insignificant things that CBA is able to measure with precision, while the crucial unmeasurables get neglected." (Williams, 1973) This problem particularly presents itself in the process of measuring effectiveness when measurable proxies are used in the place of more meaningful factors. "However, if some of the important factors can be reduced to quantitative terms, it is often better to do so than not to do so. The resulting analysis narrows the area within which management judgement is required, even though it does not eliminate the need for judgement." (Anthony, Herzlinger, 1980)

d. Political Constraints

When analysis is applied in the area of governmental activities, there is the additional problem of the influence of politics. "Public policy is made in a

political environment. It affects, to a greater or less degree, what problems are analyzed, who does it, how it is done, what decisions are made as a consequence, and how those decisions are implemented. Policy analysis must thus cope with politics." (Quade, 1975)

e. Uncertainty

Again, we turn to comments made by Edward G. Quade in his text Analysis for Public Decisions regarding uncertainty. He states the major pitfall is to neglect uncertainty by assuming it away and presenting an over simplified problem as one of certainty. "It is also not enough just to acknowledge that uncertainties exist and to warn the user that some things have been left out of a study because of the lack of information. We must have high confidence that the omissions do not have critical a (sic) effect on the final outcome of the study. The user, if not the analyst, has to come to grips with these omitted factors or issues and he needs to know what their effects are likely to be, how likely they are, when he can expect them, and what he might be able to do about them." (Quade, 1975) Sensitivity analysis is often applied, along with regression analysis and other statistical techniques, to show the effects of changing assumptions or conditions on the acceptability of alternatives under uncertainty.

2. Conclusions

In our concluding remarks, we first wish to make the brief point that an analyst should not be prevented from making his or her own conclusions and recommendations in an analysis. "It is important for the analyst to distinguish carefully between what a study actually shows and the recommendations he or she may make on the basis of what he or she thinks the study implies. But, having clarified that point, the analyst should not be prevented from making recommendations or, at the very least, from drawing some conclusions." (Cornell, 1980)

The purpose of this chapter has been to discuss the procedures and techniques applied in cost effectiveness analysis and to identify some of its inherent problems. What is cost effectiveness analysis? It involves practical application of scientific methods. It is a mixture of, on the one hand, objectivity, traceability through proper documentation and a logical sequence of steps; on the other hand, it involves subjectivity, judgements and real world constraints. It is a social science and may often result in suboptimizing instead of the ideal of optimization.

The techniques and procedure outlined in the review of the literature will provide the foundation for the analysis that follows. Because problems and the techniques used to solve them differ greatly in their nature and scope, not all analysis can be conducted and documented in one

precise fashion. That is why the literature often provides general guidelines rather than a more precise methodology. Within the process, however, we will attempt to follow the logical step by step format and adhere to the guidelines that are given in this chapter where they apply. In this regard, we shall first identify the specific problem, the alternatives and criterion in the following chapter. Within that chapter, the relevance of the distinction made between criteria that involve economic efficiency issues and other, lower level criteria will become evident in the discussion concerning the scope of the problem and selection of the criterion. The measurement phase, which includes the process of identifying and measuring cost and effectiveness factors will then be documented in chapters four and five respectively. The evaluation phase will be displayed in the following chapters. The process will then culminate in the last chapter, which contains our findings and recommendations.

III. SPECIFICATION OF PROBLEM, ALTERNATIVES AND CRITERION

A. INTRODUCTION

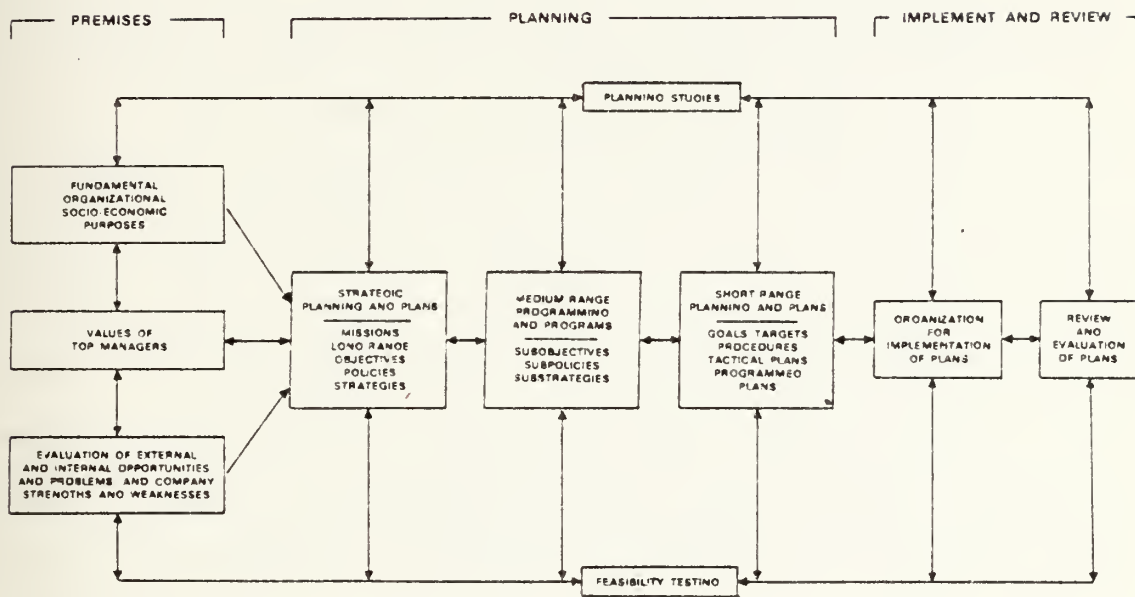
This chapter will provide a discussion of the actual problem situation as we perceive it, an identification of the alternatives and the criterion to be employed during the evaluation phase of our analysis. Given the general information contained in chapter one concerning the background and purpose of the Coast Guard's CVS program, and the basic cost effectiveness analysis methodology discussed in chapter two, we can now direct our attention to the more specific factors involved in this analysis.

1. Purpose

This and other types of analysis are classified as "planning studies" by author George A. Steiner in his conceptual model of planning which is reproduced in figure III-1. The figure indicates how planning studies interact with other planning activities. These studies provide various types of information to management and "are usually basic premises which are of high significance in guiding the planning process." (Steiner, 1969)

The concept of a planning study is similar to, but more general than, that of program evaluation referred to in chapter two. Although both are management tools used in the planning process, a program evaluation more specifically

FIGURE III-1
Structure and Process of Business Planning



Source: Top Management Planning by George A. Steiner, 1969

deals with measuring the accomplishments of an ongoing or completed program. In a letter of promulgation dated 5 November, 1968, the then Coast Guard Commandant, Admiral W. J. Smith indicated his view concerning the purpose of what he called special analytic studies. "Special Analytic Studies form an integral part of our Planning, Programming, and Budgeting System. These studies analyze feasible alternative policies and procedures for conducting old programs or for solving new problems. In this way they provide top management at Headquarters with a sound analytical base for decisions which allocate resources, control relative program emphasis, and direct the Coast Guard's course into the future." (Smith, 1968) It is the purpose of this thesis to provide information and analysis which may be useful to CVS program planners and managers with regard to the inspection of U.S. flag vessels in foreign countries as an ongoing Coast Guard function.

2. Scope

In order to understand the relative scope of this particular analysis, it may be helpful to look at some recent studies that have dealt with the cost and/or effectiveness aspects of government or Coast Guard regulation. An analysis titled "A Study of Costs, Benefits, Effectiveness of the Merchant Marine Safety Program" which was conducted by the Coast Guard and published in 1968 focused on program

effectiveness. This analysis compared in-house program costs including both vessel inspection and personnel licensing functions versus estimates of lives saved as a result of these functions. Among other things, the study group concluded that the CVS program is highly effective in preventing a significant amount of deaths, injuries and property damage. In a study titled "How Effective is the Coast Guard in Carrying Out its Commercial Vessel Safety Responsibilities?" which was submitted to Congress by the General Accounting Office in 1979, an evaluation of CVS program efficiency and effectiveness was conducted with a number of recommendations made to correct current problems and effect general improvements in operations. The general problems are referred to in chapter one. The scope of this study is somewhat similar to the Coast Guard analysis in that the latter considered several functions within the CVS program including inspection, licensing, efforts to comply with international agreements, and in-house training and staffing. There was, however, little emphasis on the identification and measurement of program costs in this study. A study of similar scope but with an emphasis on costs titled "Commercial Vessel Safety Economic Costs" was published later in 1979 by the Planning Research Corporation Systems Services Company. This study was concerned with a broad economic assessment of the costs and cost impacts of Coast Guard regulations. Together with the follow-on reports

submitted in 1980 concerning an economic assessment of benefits, it is probably the broadest in scope regarding evaluation of costs and benefits of the studies herein being referred to. It is also similar to one by author John Cameron which was submitted by Ernst and Whinney to the U.S. Maritime Administration of the same year. The work titled "Cost Impact of U.S. Government Regulations on U.S. Flag Ocean Carriers" contains an evaluation of the cost impacts of federal regulations on the U.S. shipping industry rather than the economy as a whole. It does however consider other agency regulations in addition to those enforced by the Coast Guard.

An interagency study by the Department of Transportation, Coast Guard and the office of Management and Budget was completed in March, 1982, titled "Coast Guard Roles and Missions". It contains a comprehensive review of Coast Guard programs including commercial vessel safety with emphasis on functions that the study group concluded should be performed, reduced, eliminated or delegated to other agencies or private organizations. It is considered rather broad in scope in that it deals with overall strategies concerning the CoastGuard in the future.

Compared to the other studies, our analysis is of relatively limited scope. We are dealing with a problem which pertains to a particular aspect concerning one of the

major functions within one Coast Guard program. The analysis focuses on vessel inspections in overseas locations. Our concern therefore is not total program cost effectiveness due to the limited nature of the problem. A study of this nature is more like an internal analysis concerned with a rather specific, mid-level problem that is conducted by staff personnel to provide information used in decision making.

B. PROBLEM SPECIFICATION

The essential problem addressed in this thesis will be formally introduced in this section. The Coast Guard performs CVS duties involving U.S. flag vessels wherever these vessels may be located on a continuing basis. Activities include new construction, conversion, periodic inspections, drydock examinations and shop tests of safety equipment. During the past decade, the Coast Guard opened several overseas inspection offices having permanently assigned personnel to carry out these activities in particular areas. The areas assigned to these offices included Europe, Africa, the Middle East and Far East. Other areas have been the responsibility of offices located in the United States except for activities in Puerto Rico and the Virgin Islands. During April of 1982, all of the major overseas offices were closed as a result of federal budget cuts carried out during that period. Offices or detachments in Rotterdam Netherlands, Yokohama and Kobe Japan, Singapore

and Guam were closed, and most of the personnel billets were discontinued in the effort to expeditiously cut costs. The activities previously carried out by those offices were assigned by geographic area to various offices located throughout the United States as noted in chapter one.

Conceptually, the closures have raised the possibility of several related problems, the most important and general one being a decrease in the level of effectiveness in the performance of CVS functions overseas. It should be made clear at this point that changes in effectiveness are perceived to be a potential problem only. Due to the closures and with the continuation of user fees, requiring reimbursement of travel and subsistence expenses, the Coast Guard has, on the other hand, enjoyed some savings in cost. The cost savings however, may or may not have compensated for changes in effectiveness. The level of effectiveness is related to several factors including:

1. Quality of vessel inspections performed overseas. Of the factors included, this is considered to be the most important because it is most directly related to the attainment of safety of life and property goals.
2. With an increase in the amount of travel, there is an increase in manhours attributable to unproductive travel time. This reduces the availability of personnel both at their permanent station and overseas. Personnel may be

especially unavailable for overseas emergencies on short notice.

3. Performance of duties by personnel on a temporary duty status has made the duration of visits more short-term in nature. As a result, there is a strong possibility for less consistency and cohesiveness in long-term jobs such as vessel construction because several persons may become involved. The importance of this factor has decreased as a result of delegation of new construction duties to the American Bureau of Shipping.

4. Planning and scheduling is required both of the local Coast Guard office managers and vessel owners and operators due to lead times involved. This itself takes time and effort.

5. On-the-job training of personnel is affected by the office closures because only qualified personnel should now be sent overseas where they work under rather autonomous conditions. The resulting effect, however, depends on the amount of training conducted at the overseas offices while in operation.

6. Morale is affected because personnel are sometimes separated by great distances from their families at short notice and for extended periods.

Of particular importance is the fact that an analysis was not conducted at the time of the overseas office closures for

the prediction of changes in cost and effectiveness. The problem therefore stems from the existence of uncertainty concerning the effects of the closures on CVS program cost and effectiveness. It is our objective to provide comparisons, of both cost and effectiveness under two significantly different methods of operation and to determine if effectiveness remains within reasonable limits.

C. THE ALTERNATIVES

Although there may conceivably be an infinite number of alternatives that could be considered, we have elected to compare what we consider to be the two basic alternatives that have fostered the uncertainty discussed in the preceding section. Other alternatives will be identified but will not be evaluated due to the specific nature of the problem and due to time, data and resource constraints. The two general alternatives that will be considered in this analysis are listed below. Other alternatives that may be considered feasible include factors such as the opening of a greater or lesser number of overseas offices than had been in operation, the placement of offices in different locations and the employment of a different number or rank structure of personnel that had been stationed overseas. Whether or not user fees should be charged is another issue affecting the range of alternatives. Solving complex problems having a large number of alternatives normally involves the use of

operations research techniques. One alternative that is considered infeasible involves the discontinuance of overseas functions altogether. The Coast Guard must enforce the laws that are passed by Congress and assigned as its responsibility. This is an assumed legal constraint.

1. Continue Present Operations

The basic process begins with a request from a vessel's owner or operator for an inspection overseas. A person stationed within the United States at the office responsible for the particular area is then assigned. Personnel are sent overseas to perform individual or a small number of inspections over periods of usually six weeks or less. They are issued temporary additional duty (TAD) orders and normally draw a portion of their travel and subsistence funds in advance with any additional funds reimbursed after the trip. Under this alternative, the overseas offices would remain closed. The present user fee system would remain in effect. This particular user fee system requires reimbursement of an inspector's allowable travel and subsistence expenses by a vessel's owner or operator. Its establishment in 1980 was based on the premise that those who most directly benefit from government services should pay for all or part of the costs incurred.

2. Reopen the Overseas Offices

This alternative involves the reopening of the same offices that were closed in 1982 and the continuance of the

present system of user fees applying also to alternative one. The type of facilities, their size, location and staffing levels would be equal to that which was employed just prior to the closures.

D. CRITERION

As discussed earlier in this chapter, the scope of this analysis is considered to be somewhat below the conceptual level normally calling for an economic efficiency criterion. The purpose of a criterion, as noted in chapter two, is to make an objective comparison between alternatives under specific decision rules. Because we anticipate unequal amounts of both cost and effectiveness to be measured under each alternative, the more common fixed cost/maximum effectiveness or fixed effectiveness/minimum cost criteria cannot be applied. The criterion used in this analysis involves minimization of the ratio of cost to effectiveness for each alternative. The level of effectiveness attributable to each alternative should itself be evaluated so it can be determined whether or not it lies within acceptable limits. Evaluation of the alternatives is documented in chapter seven. In the following two chapters, the cost and effectiveness of each alternative will be identified and measured.

IV. DESCRIPTION AND MEASUREMENT OF COSTS

A. INTRODUCTION

The purpose of this chapter is first to identify and classify the various costs that pertain to the Coast Guard Commercial Vessel Safety program operations overseas which are relevant to the alternatives. A description of the several categories of costs is contained in the following section. The costs will then be tabulated in section C of this chapter so that they may subsequently be used in the evaluation of the alternatives. We have elected to tabulate costs on a quarterly basis within the fiscal years for two reasons. Firstly, because the overseas offices were effectively closed in April of 1982, which is near the mid-point of the fiscal year, the cost and effectiveness results attributable to the period would be significantly affected by factors contained in both alternatives. A clear separation of the costs and effectiveness attributed to each alternative is necessary for a meaningful comparison or evaluation to be conducted. Secondly, a quarterly breakdown may prove helpful in the identification of recent trends which may otherwise not be apparent in an annual or semi-annual breakdown unless data is available that spans a number of years.

It is often the case that cost effectiveness analysis is applied to situations where the choice of a new project or program is contemplated. This means that alternative courses of action have not yet been put into operation, and the analysis is therefore future oriented. In these situations, costs are normally estimates of future costs which would be incurred if a particular alternative were instituted. Estimates of future costs are, of course, often based on historical data. There is however a unique feature of the present problem. Our analysis compares two alternatives that have already been in operation in the recent past. The various overseas marine inspection offices were in operation until April, 1982. Since that time, all overseas Commercial Vessel Safety duties have been carried out by inspection personnel travelling TAD from offices located in the United States. We have therefore chosen to base the determination of costs of the alternatives on data derived from operations occurring in fiscal 1981, 1982 and the first two quarters of 1983, and to consistently use a past rather than future orientation. This orientation is sometimes used in situations, like this one, that evaluate on-going programs for the purpose of improving either program efficiency or effectiveness. There are two advantages in adopting this orientation within the context of our analysis: (1) actual and standard cost data is available that pertains to both alternatives, and (2) data pertaining to the effectiveness of

the alternatives has also been obtained within the same time frame.

It should also be pointed out that only those costs incurred by the Coast Guard and attributable to the CVS program are of primary concern here. There may be other costs indirectly incurred by other agencies which could be affected by the alternatives. An example is a change in State Department costs of an overseas embassy due to the administration of government personnel stationed there. The costs incurred by the various shipping companies that are our customers and which pay for the services they receive via user fees are very significant but will not be considered within the basic evaluation. Shipping companies that receive Coast Guard services in foreign countries under the Commercial Vessel Safety program have been required by law to reimburse the government for travel and subsistence expenses incurred by the Coast Guard. This requirement was first contained in 46 US Code 3826-1 which became effective October 3, 1980, and subsequently recodified under 46 USC 3317 (b) with passage of Public Law 98-89 in 1983. In closing, there are a number of assumptions made that are related to the identification and measurement of costs in this chapter. These assumptions are identified and explained in the following section.

B. CLASSIFICATION OF COSTS

There are five major categories of costs which pertain to the alternatives. Each will be discussed separately within this section.

1. Overseas Offices Operating Costs (OOOC)

The first category of costs are those that were regularly incurred to operate the various Commercial Vessel Safety units located in foreign countries prior to their closure. Under the premise that this has been an on-going program, any startup costs that may have occurred in the past are not included. Nonrecurring costs that may have been incurred for the actual closure of the overseas offices are also not considered to be relevant. For this reason, only the actual quarterly operating costs reported prior to the formal closing date of the overseas offices will be used. Under this category of costs, actual operating expenses obtained from internal Coast Guard comptroller division reports will be utilized within the separate time compared. These costs are only pertinent to alternative 2.

2. Incremental Personnel Moving Costs (IPMC)

This category includes the incremental costs incurred to permanently transfer personnel to and from the United States over and above the cost for an equal number of transfers made completely within the United States. A form of average costs will be used in this category because we

believe a computation attempting to measure actual costs would be difficult and cumbersome. For any particular transfer, actual moving costs are affected by a person's rank, distance travelled, and number of dependents. It is therefore more practical to use standardized costs within this category.

Given the billet structure that existed for the overseas offices prior to their closure, the incremental moving costs will be computed based on the following assumptions: (1) that each tour of duty is three years in duration, (2) even though the overseas offices were closed so that savings could be realized through elimination of the personnel billets, we are assuming a constant force level. In this regard, it is assumed that the personnel and billets that existed in the far east were reassigned to the Marine Safety Office, Honolulu, and the personnel and billets at the Rotterdam office were reassigned to Marine Inspection Office, New York. Standard moving costs are computed under two basic categories, INCONUS and OUTCONUS (referring to moves that occur within the Continental U.S. or not). Under the Coast Guard's system of Standard Costing, savings in moving costs are only realized where CVS personnel that had been stationed overseas are relocated within the Continental United States. The incremental costs are the difference between the costs

computed for overseas and domestic transfers and only pertain to alternative 2.

3. Incremental Living Allowances (ILA)

The incremental living allowances are those paid by the Coast Guard to personnel stationed overseas over and above any such allowances that are paid to personnel stationed within the United States. Like moving costs, these allowances are affected by a number of factors including rank, number of dependents and location of duty. Due to the complexity of computing actual costs, a form of standardized costs will be used to compute the differential in living allowances paid to overseas personnel. The assumption listed above concerning relocation of overseas billets and the savings realized under the standard cost system will also be applied within this category. These costs would only be incurred under alternative 2.

4. Lost Time To Travel Cost (LTTC)

There is a significant amount of time spent travelling in almost every overseas CVS function performed except for those that occur in the local area of an overseas office. Even the personnel that were stationed overseas spent a considerable amount of time travelling to distant locations that were within the particular geographical jurisdiction of their office. If one considers the time spent travelling beyond a local area as unproductive, then there is a cost attributable to this lost time. It is considered

an opportunity cost because the time could have been spent in the actual performance of commercial vessel safety duties. We are not necessarily trying to say that this travel time should be minimized merely because it is labeled unproductive, but one must realize that there is a cost involved. Many organizations grapple with problems of this nature when attempting to allocate their resources in an optimal manner. A Marine Inspection Office in every port and near every shipyard would definitely cut down on lost time due to travel, but the operating costs of these offices would be enormous. On the other hand, sending personnel from the United States on a temporary duty status to conduct all commercial vessel safety functions overseas greatly increases the costs attributed to unproductive travel time while decreasing operating costs. A trade-off between these costs is an essential part of the decision making process.

Travel time costs are computed using two factors: actual manhours lost to travel and standard personnel costs. A travel claim is normally submitted in every case that requires personnel, stationed overseas or in the United States, to perform commercial vessel safety duties that involve travel outside a local area. The entire amount of time spent during temporary additional duty is accounted for in the standard travel claim under various categories. The time that is coded TDY in a claim is considered the amount

of time actually available for the performance of duties and is labeled manhours available for work or MHAW within the data we have assembled. A portion of this time may be considered "unproductive" such as meal time and regular off hours but it does not pertain to lost time due to travel which concerns us here. For each claim submitted, the manhours lost to travel or MHLT is computed by subtracting the time available for work from the total time reported not including time on leave status. The lost time to travel can then be aggregated under a particular fiscal period by rank. This is converted to an equivalent amount of manyears and multiplied by the standard personnel cost for a particular rank. The lost time costs for the various ranks are then summed to determine the total cost under a particular time period. These costs are pertinent to both alternatives because both domestic and foreign personnel submit travel claims for overseas inspections although in different amounts. The standard personnel costs are listed in table IV-1.

The formula used to compute LTTC for a particular rank and within a particular quarter is:

$$LTTC_{\text{rank}} = ((\sum_{\text{qtr}} MHLT_{\text{rank}}) / 1688) (SPC_{\text{rank}})$$

The total LTTC for a particular quarter is :

$$TLTTC_{\text{qtr}} = \sum_{\text{rank}} LTTC_{\text{qtr}}$$

where:

LTTC = lost time to travel cost.

MHLT = manhours lost to travel.

1688 = a factor used by the Coast Guard in projecting its CVS staffing requirements that is based on a 211 day work year of 8 hours per day (after accounting for leave, holidays, etc). This factor is used to convert manhours to manyears.

SPC = the standard personnel cost computed for each fiscal year by rank. These figures are listed annually in Commandant Notice 7100, Standard Personnel Costs.

Table IV-1

Standard Personnel Costs (SPC)

RANK	FY81 \$	FY82 \$	FY83 \$
E-7	22,100	26,600	27,800
E-8	25,000	30,100	31,500
E-9	28,600	34,500	36,100
W-2	24,000	27,700	29,000
W-3	28,000	32,300	33,800
W-4	33,000	38,100	40,000
ENS.	17,400	20,100	21,100
LTJG.	24,000	27,700	29,000
LT.	29,300	33,900	35,600
LCDR.	35,000	40,600	42,500
CDR.	41,300	47,900	50,300
CAPT.	49,800	57,700	60,500
GS-11	22,800	23,900	24,600
GS-12	26,951	28,245	29,374
GS-13	32,200	33,800	34,900

Source: Commandant Notice 7100, Standard Personnel Costs, distributed annually.

5. Billing Lag Time Costs (BLTC)

The final category involves the cost of money to the Coast Guard that is imputed as a result of normal administrative delays in billing customers for our overseas CVS services and in the receipt of payments. Four assumptions are applied in the computation of these costs. The assumptions are: (1) that all personnel receive advance per-diem and travel funds just prior to their departure on temporary duty, (2) that the advances in funds are equal to the actual funds payable, (3) that the Coast Guard receives payment for their services 34 days after the date of a bill, (4) that the appropriate interest rate to apply in the computation is the same rate applied by the Coast Guard in a particular time period for overdue payments.

It is not very difficult to argue that persons going on TAD (temporary additional duty) receive advances of at least a major portion of the estimated funds authorized for a trip. This normally includes the purchase of an airline ticket. Whether the advances actually equal the amounts authorized is much less certain. The second assumption however is necessary to allow a workable estimation of the billing time costs. The 34 day time lag is assumed for two reasons. First, there is an incentive for customers to pay a bill exactly 30 days after receipt. The Coast Guard specifies on

the bill that the amount is due within 30 days of receipt and charges interest thereafter. There is, therefore, no incentive to pay earlier than within the 30 days allotted but there is a strong incentive not to go beyond this limit. The additional 4 days are attributed to the time it takes to deliver or mail a bill to a customer. Billing dates are known but the date a customer receives the bill is not known. Because the date of receipt is used to begin the 30 day payment period, a reasonable amount of time to deliver the bills must be assumed. The problem of choosing an appropriate interest rate in computing the cost of money was discussed earlier in Chapter II. We believe the rate applied by the Coast Guard in charging for overdue bills is appropriate. These rates are current in that they are published by the Treasury Department on a quarterly basis and they are the same rates that the Coast Guard would realize in the collection of past due amounts. The applicable interest rates are listed in table IV-2.

TABLE IV-2

Quarterly Interest Rates

Qtr	Rate		Qtr	Rate
1-81	13.14		2-82	14.39
2-81	13.14		3-82	13.22
3-81	17.64		4-82	14.26
4-81	16.20		1-83	12.00
1-82	18.35		2-83	13.00

Applying the assumptions is just mentioned, billing time costs can be computed as follows: The funds pertaining to any particular bill are considered to be "out of pocket" from the date an overseas trip is begun until a customer's payment is received. The amount of time used in the computation regarding each amount billed is then the number of days between the date of departure and the bill date plus 34 days. The total time lag aggregated in a particular fiscal period is converted to an equivalent amount of years and multiplied by the interest rate matched with that period to obtain a billing time cost. Due to the fact that bills are issued for overseas services provided by either domestic or foreign based personnel, billing time costs can be attributed to both alternatives before the overseas offices were closed and to alternative 1 subsequent to the closures.

The formula used to compute BLTC for a particular quarter is:

$$BLTC = \left[\sum_{qtr} ((BDBD+34) / 365)(AMTB_{qtr}) \right] (IRATE_{qtr})$$

where:

BLTC = billing lag time cost

BDBD= the number of days between an inspector's departure date and the date of the bill concerning a particular trip.

365 = a factor used to convert the number of days to an equivalent amount of years.

IRATE = the interest rate used within a particular fiscal quarter.

AMTB = the dollar amount billed for reimbursement of a particular overseas inspection within a particular fiscal quarter.

A major item of cost, that of basic personnel salaries and allowances, is considered to be irrelevant because those persons performing commercial vessel safety duties overseas would continue to be paid this amount whether they are stationed in the United States or overseas. This implicitly assumes the number of personnel within the program is equal for each alternative. Any field level personnel reductions that may have occurred at about the same time the overseas offices were closed can be attributed to projected decreases in workload due to the delegation of inspection duties to the American Bureau of Shipping. Program administrative costs are also assumed to be irrelevant because, although program administration may entail differing functions under each alternative, total costs are considered to be approximately equal. In support of this assumption, we found no evidence of administrative personnel reductions or increases at the headquarters or district level that directly resulted from the closure of the overseas offices in 1982. The computations of relevant costs that have been identified in this section will be displayed in the following section.

C. DETERMINATION OF COSTS OF THE ALTERNATIVES

In this section, the costs attributable to each of the alternatives will be tabulated under the five categories of costs identified in the preceeding section. The quarterly

(fiscal) totals will then be summarized in 1982 dollars to facilitate comparisons of the alternatives in chapter seven.

1. Overseas Office Operating Costs, By Quarter (000C)

Table IV-3

Overseas Office Operating Costs, by Quarter (000C)

	1-81	2-81	3-81	4-81	1-82	2-82
Rotterdam:	19845	29197	13888	11569	8454(1)	12439
Kobe:	20463	17943	19764	12981	21187(1)	18578
Singapore:						
actual	--	106	120555	20133	27323(2)	27323
yr. aver. (3)	35198	35199	35198	35199	27323	27323
Guam:						
total	15465	11934	10637	6585	9248(1)	7167
CVS port. (4)	6240	4836	4292	2657	3732	2892
TOTAL:						
(rows 1,2 4,6)	81746	87175	73142	62406	60696	61232

(Source: Coast Guard Reports "Operating Costs of Coast Guard Marine Safety Offices")

NOTES TO TABLE IV-3:

(1) Because the individual first quarter FY82 figures are not available, the amounts were extracted from the second quarter cumulative figures at the same ratio that exists between the two quarters in FY81 for each office except Singapore.

(2) Because the first quarter FY82 figure was not available, and due to the irregular FY81 cost pattern, the amounts

listed are one half of the second quarter FY82 cumulative total.

(3) Due to the irregular pattern of expenses reported for the Singapore office, the actual amounts for FY81 are averaged.

(4) Because the Marine Safety Office in Guam had other than CVS duties assigned to it, only a portion of the total costs are allocated to the CVS program. The 40.35% allocation rate is found in the Coast Guard's "distribution of resources" table tabulated by the budget division for 1981 for allocating costs of an average Marine Safety Office to the CVS program.

(5) These costs apply only to alternative 2.

2. Incremental Personnel Moving Costs, by Quarter (IPMC)

Given the actual billets assigned to the overseas offices as of 31 January 1982 that are listed below in table IV-5, and applying the assumption that only personnel billets assigned to Rotterdam would be relocated in the Continental U.S. as discussed in the previous section, an estimation of the incremental personnel moving costs can be made. The average quarterly cost is shown below in table IV-4 computed in 1982 dollars. The average incremental cost per billet listed in column three is the difference between the average OUTCONUS recurring cost per billet and the average INCONUS recurring cost by billet type which were taken from the 1982 Coast Guard Standard Personnel Cost data. Only 1982 average

figures are used because later cost comparisons will be made in 1982 dollars, and because the 1981 figures were not based on actual cost data but were merely earlier figures projected forward with inflation factors applied.

Table IV-4

Average Quarterly Incremental Moving Cost

Billet type	Number of billets	Avg. Incremental cost per billet	Annual cost	Quarterly cost
-----	-----	-----	-----	-----
Officers	8	5562.00	44,496.00	11,124.00
Civilians	2	560.00	1,120.00	280.00
IPMC total				
per quarter				<u>11,404.00</u>

NOTE: The cost only applies to alternative 2.

Table IV-5

Overseas CVS Billets

	Number	Rank
Rotterdam:	1	Commander
	2	Lieutenant Commander
	3	Lieutenant
	2	Warrant Officer (W4)
	2	Civilian (GS-1)
Singapore:	1	Commander
	1	Lieutenant Commander
	1	Lieutenant
	1	Warrant Officer (W4)
Kobe:	1	Captain
	1	Lieutenant Commander
	1	Lieutenant
	1	Lieutenant (jg)
	2	Warrant Officer (W4)
	1	Yeoman Chief (YNC)
	1	Petty Officer (SKI)
Yokohama:	1	Lieutenant Commander
Guam:	1	Lieutenant Commander
	1	Lieutenant (jg)
	1	Yeoman Chief (YNC)

3. Incremental Living Allowances by Quarter (ILA)

The incremental amount of living allowances is that amount paid to overseas personnel which exceeds the amount paid to personnel stationed within the Continental U.S. Two types of allowances are paid to military personnel stationed outside the Continental U.S. These are a cost of living allowance (COLA) and a housing allowance (HOLA). Our estimate of these costs is tabulated below in table IV-6 using 1982 annual average figures for officers taken from the consolidated monthly reports of COLA and HOLA allowances overseas, form CG-3376. The average per person figures for 1982 are based on actual 1982 cost data compiled by the planning and evaluation staff under the Office of Personnel at Coast Guard headquarters. The assumption that only Rotterdam billets are relocated within the Continental U.S. under alternative one is again being applied as it was in estimating incremental moving allowances.

Table IV-6
Average Quarterly Incremental Living Allowance

Billet type	Officers	
Average COLA per person per month		191.00
Average HOLA per person per month		413.00
Total per month per person		<u>604.00</u>
Total per Quarter per person		1812.00
Number of officers		8
Total ILA per quarter		<u>14,496.00</u>

NOTE: This cost applies only to alternative 2.

Table IV-7
Lost Time Due to Travel Cost by Quarter

RANK	ALTERNATIVE 2 ←						→ ALTERNATIVE 1			
	FISCAL QUARTER									
	181	281	381	481	182	282	382	482	183	283
E-7	-	-	-	-	-	-	670	698	571	3,297
E-8	-	-	-	-	-	-	-	-	-	-
E-9	-	-	-	-	-	-	-	-	-	-
W-2	145	2,342	5,781	2,741	4,895	1,936	3,542	22,023	7,961	11,095
W-3	893	383	620	6,306	2,051	2,448	6,025	880	4,605	1,352
W-4	1,056	1,185	3,182	607	2,601	1,258	576	4,010	16,357	3,406
O-1	-	-	-	-	-	-	-	738	2,228	725
O-2	562	3,850	526	6,286	3,724	1,410	4,769	17,644	14,158	13,289
O-3	12,144	17,435	22,097	12,600	25,482	31,469	16,764	35,150	49,513	49,735
O-4	2,703	5,796	17,490	17,332	12,814	24,645	10,594	13,707	7,009	11,823
O-5	2,525	954	7,897	28,772	4,032	6,945	894	-	-	3,476
O-6	1,077	643	-	1,906	4,273	3,846	-	2,801	-	-
GS-11	-	1,459	-	-	-	-	-	-	-	-
GS-12	319	-	1,381	-	-	841	-	1,027	-	976
GS-13	-	-	-	-	-	-	-	-	1,473	-
TOTAL	21,424	34,048	58,974	76,550	59,872	74,798	43,834	98,678	103,875	99,174

4. Lost Time Due to Travel Cost (LTTC) by Quarter

The actual costs attributed to travel time under overseas inspections were computed using the formula identified in section B of this chapter and are tabulated below in table IV-7. These quarterly costs were computed by personnel rank and are in current dollars.

5. Billing Lag Time Costs (BLTC) by Quarters

The imputed costs attributed to administrative billing lag time were computed using the formula identified in section B of this chapter. These costs are tabulated below in table IV-8 for each of the two alternatives on a quarterly basis.

Table IV-8

Billing Lag Time Costs by Quarter

Fiscal Quarter	ALT 1	ALT 2
1-81	—	3901
2-81	—	6821
3-81	—	15060
4-81	—	12560
1-82	—	7037
2-82	—	7258
3-82	5857	—
4-82	11083	—
1-83	8726	—
2-83	8251	—

NOTES: (1) Figures are uncorrected for inflation.
(2) Figures are rounded to nearest dollar.

6. Total Operating Costs Under Each Alternative,
by Quarter

The following table contains the totals of the five costs attributable to each alternative per quarter. For alternative 1, total costs consist of the sum of LTTC and BLTC. For alternative 2, total costs consist of the sum of all five categories of cost, OOC, IPMC, ILA, LTTC, and BLTC. These totals have been converted to second fiscal quarter dollars using the implicit price deflators for gross national product that are computed by the Federal Reserve Bank of St. Louis and published monthly in the magazine "National Economic Trends." These deflators are compounded annual rates of change computed on a quarterly basis.

Table IV-9

Total Operating Costs For Each Alternative by Quarter

Fiscal Quarter	ALT 1	ALT 2
1-81	—	143,343
2-81	—	164,874
3-81	—	186,057
4-81	—	189,125
1-82	—	160,106
2-82	—	169,188
3-82	46,908	—
4-82	104,712	—
1-83	107,647	—
2-83	102,483	—

V. DESCRIPTION AND MEASUREMENT OF EFFECTIVENESS

A. THE EFFECTIVENESS MODEL

In this chapter, we will attempt to provide a measure of the effectiveness of each alternative that is both objective and meaningful. Some of the common problems associated with measuring effectiveness were discussed in chapter two. In that chapter, we referred to Anthony's definition of effectiveness which is the extent to which actual output corresponds to the organization's goals and objectives. It is especially difficult to measure effectiveness in a service oriented or non-profit organization such as the Coast Guard.

Regarding output, the Coast Guard routinely meets the objective of carrying out one hundred percent of its CVS duties in the area of U.S. flag vessel inspections that are required by law. This output level does not include inspections of the courtesy or "spot check" type or the effects of routine time lags in scheduling a particular inspection. Given that actual output quantity is at or near one hundred percent of the expected amount, we should therefore be concerned with the quality of that output. It is the objective of the effectiveness model to measure inspection quality. In this process, Niskanen's recommended characteristics of an effectiveness measure should be

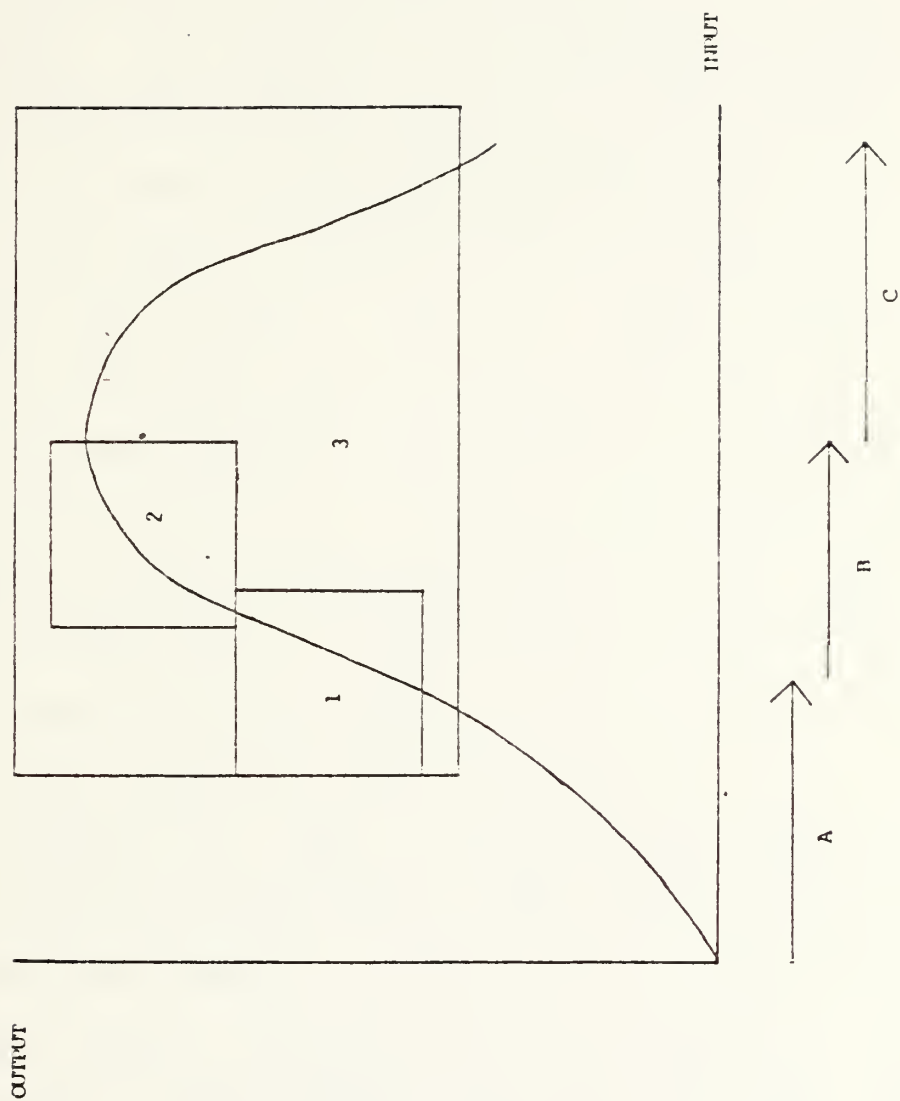


Figure V-1

remembered. He recommends that an effectiveness measure be both relevant and quantifiable. Objectivity is also desired in any measure that is employed.

Our method of measuring effectiveness involves the use of a mathematical model that is predicated on the economic law of diminishing returns. Any output requires the employment of some input. An example of the general relationship between input and output, which is often called the production function, is depicted in figure V-1. In this graph are three distinct conceptual relationships that exist between input and output. The first range, labelled A, corresponds to the theory of increasing returns to a variable input. The range labelled B, corresponds to a diminishing but positive return to a variable input and range C corresponds to a diminishing and negative return. When more than one input is involved, each usually has its own unique functional relationship within a relevant range. Not all curve forms will therefore look exactly alike.

We have chosen four input oriented factors that will be used in the effectiveness model. These factors are included for the following reasons: (1) We consider these factors to have a direct impact on the outcome being measured. (2) The necessary data is quantifiable, reasonably available and is objective in nature. The four factors are: (1) inspection manhours, (2) personnel rank, (3) the number of personnel involved in an inspection and (4) the number of formal

requirements issued at an inspection for outstanding deficiencies. These are called CG-835's. The effectiveness model (formula 1) is given below to indicate how these factors are applied. Three variations of this model are included (for a total of four formulas) so that the sensitivity of the relationship between alternatives can be evaluated. In formulas 2 and 4, the assigned weights for each factor are substituted with equal weights. In formulas 3 and 4, standard inspection manhours are used in the place of average manhours.

Effectiveness score =

$$\begin{aligned} &100 [W [\text{LN} (\text{ACTUAL MHRS.} / \text{AVERAGE MHRS.})] \\ &+ X (\text{ACTUAL RANK} - \text{AVG. RANK}) \\ &+ Y (\text{PERSONNEL SCORE}) \\ &+ Z (\text{LOG}_{10} (\text{ACTUAL \# 835's ISSUED} / \text{AVG. \# 835's ISSUED}))] \\ &+ 100 \end{aligned}$$

Where $W+X+Y+Z=1$

Factors may have different individual relationships and thus be applied in different manners within a model because it would indeed be very difficult to conceive of such a precise orchestration of inputs that would result in uniform outcomes from a variance in each one. For example, if one desires to have a house painted, the effects of one painter versus two, of fifteen gallons of paint versus thirty, or of

twenty manhours of work versus forty, cannot all be the same on the desired outcome. Our estimation of the unique causal relationship portrayed by each of the factors was made with an application of the production function theory. Each factor's specific relationship with inspection quality was conceptualized and matched with a particular portion of the input/output curve within a predetermined relevant range. It is for this reason that the graph in figure V-1 is highlighted in the three areas labelled 1, 2 and 3.

Before discussing each factor, it should be pointed out that the overall design of the model is such that any inspection which equals the standard or average prerequisites will result in a score of one hundred. An above standard or average inspection results in a score above one hundred expressed as a percentage. A below standard or average inspection results in a percentage score below one hundred. It should also be remembered that the model is designed to measure quality only and not the efficiency within which the output is obtained from the inputs. Each factor is discussed in the order of their assumed importance.

1. Inspection Manhours

Actual inspection manhours for an individual inspection are compared to average manhours or the Coast Guard's standard manhours as a measure of inspection quality. This comparison involves the following assumptions. First, it is assumed that inspection quality varies with actual

manhours above or below the average or standard determined for that particular inspection. Average manhours are the arithmetic means derived from our sample of vessel inspection data. The data is listed in Appendix B. Coast Guard standard manhours were initially developed in 1972 from a collection of field unit data. The standards were updated during 1979-1980 through a Delphi survey taken among fifty field units due to vessel population and legislative changes. The standards were again modified in 1982 and are listed in the CVS operating program plan for fiscal years 1985-1994. Standard manhours have been determined by vessel type, (freighter, tanker, etc.) under several ranges of gross tonnage. The pertinent averages and standards are listed in table V-1. Second, it is assumed that the specific relationship between the ratio of actual to average or standard manhours and inspection quality resembles the natural log function. Under this assumption, manhours above average or standard result in higher quality that is subject to diminishing but positive returns. This functional relationship matches the portion of the curve in figure V-1 shown in box number two. When actual manhours equal the average or standard, the inspection is classified as standard by definition and a score of zero is obtained for this factor.

Table V-1

Average and Standard Inspection Manhours *

VESSEL TYPE AND SIZE	BIENNIAL		BIENNIAL & DRYDOCK	
	AVG	STD	AVG	STD
Cargo vessels less than 300 gross tons	18.17	10	31.83	16
Cargo vessels 300-19,999 gross tons	57.07	32	71.83	56
Cargo vessels of 20,000 gross tons and over	65.17	40	81.67	64
Tankships 1,000-19,999 gross tons	20.79	34	71.19	62
Tankships 20,000-39,999 gross tons	57.75	35	67.00	65
Tankships 40,000-74,999 gross tons	N/A	40	156.50	74
Tankships 75,000-124,999 gross tons	40.50	44	205.00	86
Mobile offshore drilling units	30.25	32	79.11	60
Liquified natural gas vessels	25.00	42	134.80	82

* Source of standard manhours: CVS Operating Program Plan,
FY85-94

2. Personnel Rank

In the application of this factor, the average rank resulting from our data is used as a "standard" in comparison with actual rank. An average is used because a predetermined standard has not been documented for this purpose. Rank is used here as a crude measure of a person's experience and qualifications. Concerning inspection quality, it is assumed that the higher the rank, the better the quality within a relevant range. The particular relevant range is assumed to be rather narrow because most inspectors fall within the ranks of warrant and junior officers and are exposed to an equivalent amount of basic training upon entering the program. The relatively few exceptions include chief petty officers and senior officers below flag rank. With this in mind, actual ranks have been quantified in numeric codes listed in table V-2. The codes were designed with a ten percent spread above and below the rank of W-4 warrant and O-3 lieutenant. This implies that a captain performs an inspection that is ten percent better than a lieutenant who, in turn, performs an inspection ten percent better than a chief. When more than one person is involved in an inspection, their average rank is used. The above assumption underlies our conceptualization of the relationship between rank and inspection quality. A change in rank above or below standard is believed to have a linear effect on quality.

This corresponds to the approximately linear portion of the curve in figure V-1 shown in box number one where constant returns to a variable input are realized.

Table V-2
Rank Codes

RANK	CODE	RANK	CODE
E-7	2.7	W-4	3.0
E-8		O-3	
E-9			
W-2	2.8	O-4	3.1
O-1			
W-3	2.9	O-5	3.2
O-2		O-6	3.3

3. Number of Personnel

Even though, in our data, the number of personnel involved in an inspection ranges from one to six, we assume the resulting range of effect of this input on quality to be relatively wide. In a very narrow range, usually one to three persons, inspection quality increases due to the additive effect of personal experience and expertise. Beyond a certain point, however, inspection quality would decline, even though there may be added benefits in the area of training unqualified persons. It is difficult to determine a point where diminishing and negative returns takes place due to an increase in the number of attending personnel.

Depending on the type of vessel, we have assigned various percentage scores which have been designed to quantify the relative effect a number of personnel are assumed to have on inspection quality. In this process, a score of zero signifies the "standard" and is used as a base in the determination of the other scores. We believe the inherent relationship between the number of persons and inspection quality includes both positive and negative incremental returns and therefore resembles the functional form of the curve in figure V-1 shown in box number three. The assigned personnel scores used in the model are listed in table V-3 by vessel type. Supply vessels are the equivalent of a freight vessel that is under 300 gross tons.

Table V-3
Personnel Scores

NUMBER OF PERSONS	VESSEL TYPE		
	FREIGHTER/TANKER	MODU*	SUPPLY
1	-.20	-.05	.00
2	.00	.10	.15
3	.20	.15	.10
4	.25	.05	.00
5	.10	-.10	-.15
6	-.05	-.30	-.40

* MODU stands for mobile offshore drilling unit.

4. Number of CG-835's Issued

In applying this factor, the actual number of CG-835's issued during a vessel inspection is compared to the average number obtained from the data. As with rank, an average is used because a predetermined standard is not available. Within the relevant range, we are assuming the relationship between CG-835's issued and inspection quality is similar to that of manhours in that the functional form resembles the log curve; referring again to box number two in figure V-1. The log base ten function is used instead of the natural log because we consider the effective range of this factor to be significantly smaller than that of manhours. The number of CG-835's issued above the average is considered an improvement in inspection quality, subject to diminishing positive returns. There are several underlying factors that influence the number of outstanding requirements issued. These include age of the vessel, location of vessel during an inspection (i.e. whether it is in a shipyard or near a source of repair or replacement items or not) and the style of a particular inspector. Considering the possible variability in these and other factors, we assume this factor's resulting effect to be less direct on inspection quality. We have therefore assigned it a relatively small weighting factor in the model.

5. Weighting Factors

The symbols w, x, y, and z are used in the model as multipliers of each of the four main factors so that they may be properly weighted. The magnitude of these multipliers corresponds to the relative importance we place on each of the factors within the model. In formula one, our basic model, and formula three, the weighting factors are: $w = .40$, $x = .30$, $y = .25$, and $z = .05$. In formulas number two and four, the weighting factors are equalized at $.25$.

B. DETERMINATION OF THE EFFECTIVENESS OF THE ALTERNATIVES

The effectiveness scores attributed to each of the ten fiscal quarters under consideration are listed in table V-4. These numerical scores were obtained by applying the mathematical effectiveness model and the three variations of the model to our inspection data sample. The data and the statistical package for the social sciences (SPSS) program used to process it are contained in appendix B. The best, worst and average scores for each of the two alternatives are also listed in the table under the respective time periods.

Table V-4

Effectiveness Scores

QTR	FORMULA:			
Alt. 2 (opened)	1	2	3	4
1-81	92.7	99.03	109.77	109.70
2-81	93.66	96.22	99.59	99.92
3-81	69.71	78.24	91.45	91.82
4-81	83.97	90.81	97.72	99.40
1-82	67.40	75.64	89.14	89.22
2-82	98.65	100.86	113.09	109.88
Best	98.65	100.86	113.09	109.88
Worst	67.40	75.64	89.14	89.22
Average	84.35	90.13	100.13	99.99
Alt. 1 (closed)				
3-82	110.52	99.28	123.90	107.64
4-82	112.67	93.39	99.47	100.98
1-83	102.38	99.54	111.93	105.50
2-83	93.45	94.66	107.03	103.15
Best	112.67	99.54	123.90	107.64
Worst	93.45	93.39	99.47	100.98
Average	104.75	96.72	110.58	104.32

VI. PROGRAM ASSESSMENT

Several factors in the area of overseas CVS activities will be discussed in this chapter. Even though they are indirectly related to the cost effectiveness analysis, the assessment may provide useful information and insights.

A. APPLICATION OF SPSS

Several programs were developed using the Statistical Package for the Social Science (SPSS) to analyze the data. SPSS is an integrated system of computer programs designed for the analysis of social science data. It allows a great deal of flexibility in the format of data. SPSS offers a comprehensive set of procedures for data transformation and file manipulation as well as a large number of statistical routines commonly used in the social science.

Frequencies, condenscriptive, scattergram, breakdown and regression procedures were used to analyze the data.

B. SOURCES AND DESCRIPTION OF DATA

The data for this study was collected in the two main categories of cost and effectiveness. The cost data was obtained from Coast Guard Headquarters (G-FAC) and the 14th C.G. District accounting division. These offices are responsible for processing the bills for recovery of travel and subsistence costs for the overseas CVS program. The cost

data is contained in two documents, Billing for Sale of Material or Services (CG-3621) and Travel Voucher or subvoucher (DD1351-2). The cost data is considered complete in that of the 925 bills issued during the time period studied, only one bill was not obtained. A copy of the documents and the raw data are contained in Appendix A.

The effectiveness data was collected from Coast Guard Marine Inspection Office, New York and Marine Safety Office, Honolulu. The data used in our effectiveness model were taken from completed CG-840 series inspection booklets. The vessels included in the population sampled were U.S. Flag, manned, oceangoing freightships over 100 gross tons, tankships over 1000 gross tons and Mobile Offshore Drilling Units (MODU). Vessels not included in the sample were Foreign Flag Vessels, uninspected vessels, vessels under major conversion, small passenger vessels, seagoing barges, inland or limited route vessels of any type, unmanned vessels of any type, integrated tug/barge configurations, tankships under 1000 gross tons, and freight/supply vessels under 100 gross tons, seagoing tugs, pilot boats, public vessels, ferrys, dredge barges and yachts.

The types of inspections included in the population sampled were Inspections for Certification (COI), done independently or in conjunction with a drydock exam (COI/DD). The types of inspections not included in the sample were major conversions, drydocks, repair, special inspections,

midperiods, partially completed inspections for certification and new construction inspections.

The above selection criteria were used in order to obtain a more homogeneous sample which would not be influenced by greater variability resulting from uncommon and special inspections.

The data was categorized by the variable names listed in table VI-1 and coded in accordance with table VI-2. The inspection data was assembled in 263 data lines.

During the entire 81 and 82 fiscal years and the first two quarters of 83, Coast Guard headquarters (GFCA) and 14th District (fca) accounting divisions issued 700 and 225 billing documents respectively. Several billing documents included billing for inspections performed in more than one time period or for several independent inspections. These were separated into a total of 1229 data lines. Inspections which covered more than one intervening month were apportioned equally during those intervening months. There were 662 travel claim data lines. The apportionment of billings and travel claims were implemented to give a more accurate account of travel and billings by time period. The cost data derived from overseas inspection billing documents and travel claims was assembled in a separate computer file. The data within this file was checked for correctness manually and with the aid of a fortran program written for

this purpose. The program is essentially a series of if statements which were designed to verify the proper format and range of variables and the consistency of variables being dependent on the values of other variables. The program was designed to check each data line independently and print a line of data if an error was detected in any one field. In running the program, twenty-one errors were detected and subsequently corrected. The program, titled Valprog Watfiv, is listed in Appendix D. The sample of inspection data used with our model to make measurements of effectiveness was validated manually. It was more practical to check the data in this manner because of its much smaller size in relation to the cost data. This data, and the SPSS program used to process it, are listed in Appendix B.

C. EVALUATION OF DATA

The data provides information about the amount and distribution of resources expended in carrying out the overseas inspection program. One important factor is the amount of manhours committed to the program in the 2-1/2 year period. The amount of actual manhours committed to the CVS program overseas is a measure of effort put forth by the Coast Guard. However the concept of evaluating the effort, or use of input and resources may or may not clearly indicate that the objectives of the programs are being met.

During the period under consideration approximately 239,670 manhours or 142 manyears were expended to the overseas inspection program. Of this total, 134.3 manyears or 94.6% was conducted by inspectors on temporary additional duty. Fiscal year manhour totals are provided in table VI-3. Because the Coast Guard lost 20 manyears due to travel, only 114.3 of the TAD manyears were actually available to conduct overseas inspections. Domestic offices had a mean loss rate of 15.3% while the overseas activities lost time to travel rate was 11.8%. The average length of an overseas trip increased 63% from 11.3 days in 1981 to 19.2 days in 1983. The length of the overseas trip in 1983 ranged from 14.6 hours to 76 days. Honolulu, a major participant which accounted for 30.8% of the allocated manhours in the first two quarters of 1983, had an average trip length of 35.1 days. The overseas offices prior to their closure accounted for 33.1% of the manhours devoted to the program. Table VI-4 lists the overseas offices contribution to the program. Based on manhours allocated in the first two quarters of each fiscal year there was a 27% increase in overseas inspection demand between 1981 and 1982 and a 15% increase between 1982 and 1983. There was a 23.8% increase between 1981 and 1982 based on the yearly allocated totals.

Table VI-1

Variables

Cost Variables:

Dist	_____	Coast Guard District or HQ unit
Yr	_____	Fiscal year of Inspection
Qtr	_____	Quarter and Fiscal Year of Inspection
Month	_____	Month and Calendar Year of Inspection
Rank	_____	Rank of Inspector
AMTB	_____	Amount billed to a particular company for a particular job
BDBD	_____	Difference between billing date and beginning date of inspection
BDCD	_____	Difference between billing date and completion date of inspection
MHAW	_____	Manhours available for work per overseas trip
MHLT	_____	Manhours lost to travel per overseas trip
MHTOT	_____	Total manhours per overseas trip

Effectiveness Variables:

TIMPD	_____	Category of data collection period
DATSO	_____	Office data was collected from (Source)
ITYPE	_____	Type of Inspection based upon office and inspection location
YRBLT	_____	Year vessel was built
GRTON	_____	Gross Tonnage of Vessel (Rounded)
VTYPE	_____	Type of vessel
ACTMH	_____	Actual manhours to perform inspection
STDMH	_____	Standard manhours projected to perform inspection
NU835	_____	Number of 835s issued
MONTH	_____	Month and Calendar Year inspection completed
YEAR	_____	Fiscal year inspection completed
NUISP	_____	Number of inspectors per inspection
STDCL	_____	Standard Class vessel inspection
INSCR	_____	Number of inspectors score

Table VI-2

Variable Codes

Month Codes:

Oct-10	Jan-01	Apr-04	Jul-07
Nov-11	Feb-02	May-05	Aug-08
Dec-12	Mar-03	Jun-06	Sep-09

Qtr. Code:

Oct-Nov-Dec	--1
Jan-Feb-Mar	--2
Apr-May-Jun	--3
Jul-Aug-Sep	--4

District/Office Codes

1st District-(Boston) - 01	14th District-(Honolulu)- 14
2nd District-(St.Louis)-02	17th District-(Juneau)- 17
3rd District-(New York)-03	Headquarters - 30
5th District-(Norfolk) -05	Rotterdam - 31
7th District-(Miami) -07	Singapore - 32
8th District-(New Orleans)-08	Kobe - 33
9th District-(Cleveland) -09	Guam - 34
11th District-(LA/Long Beach)-11	Yokohama - 35
12th District-(San Francisco)-12	Rio Kaje - 36
13th District-(Seattle) -13	Rio Chiba . - 37

Rank Codes:

Ens - 01	E-7 - 17	GS-11 - 11
LTjg - 02	E-8 - 18	GS-12 - 12
Lt - 03	E-9 - 19	GS-13 - 13
LCDR - 04	CW02 - 22	
CDR - 05	CW03 - 23	
CAPT - 06	CW04 - 24	

TIMPD Codes:

Aug 79-Nov 80	___ 1
Dec 80-Mar 82	___ 2
Apr 82-Jul 83	___ 3

Datso Codes:

New York	___ 3
Honolulu	___ 4

Table VI-2 (cont)

ITYPE Codes:

Foreign Inspection/Foreign Personnel - 1
 Domestic Inspection/Domestic Personnel - 2
 Foreign Inspection/Domestic Personnel - 3

VTYPE Codes:

Supply Vessel - 1
 Freight ship - 2
 Tankship - 3
 Modu - 4
 Liquified natural gas carrier (LNG) - 5

Standard Class Code:

Vessel Type/Size	Inspection for Certification	Inspection for Certification (w) Drydock
Supply/freightship <300 gt	10	11
Freightship > 300-19,999 gt	20	21
Freightship > 20,000 gt	22	23
Tankship > 1000-19,999 gt	32	33
Tankship > 20,000-39,999 gt	34	35
Tankship > 40,000-74,999 gt	36	37
Tankship > 75,000-124,999 gt	38	39
MODU	40	41
LNG Vessels	50	51

Table VI-3

Allocation to Overseas Program

FY	TAD (MH)	TAD(2) (MY)	Projected(3) local (MH)	Projected local (MY)	Total (MH)	Total (MY)
81	70723.5	41.9	9582.4	5.7	80305.9	47.6
82	87520.5	51.8	3335.5	2.0	90856.0	53.8
83	68508.5	40.6	---	---	68508.5	40.6

(1) 83 comprised only of 1st and 2nd quarters

(2) Manhours / 1698 = manyears

(3) Standard amount of time spent while not on TAD

Table VI-4

Overseas Office Contributions

FY	TAD (MH)	TAD Local(2) (MY)	Local (MH)	Local (MY)	Total (MH)	Total (MY)	% Total	% of Total TAD
81	25865.7	15.3	9582.4	5.7	35448.1	21	44.1	36.5
82	17933.3	10.6	3335.5	2.0	21268.8	12.6	23.4	20.5
83	-----	----	-----	---	-----	----	----	----

(1) Overseas Activities closed in April 1982

(2) Standard amount of time spent while not on TAD

There is an apparent relationship between the length of an overseas trip and the availability ratio (MHAW/MHTOT). As trip length increases, this factor also increases up to a point of 21.9 days after which it levels off. This relationship is illustrated in the graph contained in Figure VI-1. The overall rating of 85.1% (Table VI-5) compares with the overseas activities rating of 88.2%.

In our sample of inspection data we found the actual manhours expended by inspectors an average of 160% greater than the standard manhours listed by the Coast Guard for the particular inspections (Table VI-6). There was also a significant decrease in the rank of the persons conducting the inspections over time. In 1981, 73.3% of the persons conducting the overseas inspections were Lieutenants (O-3) and above. However in 1983 only 48.9% of the inspectors fell in this range. The average time between the completion date of an inspection and the date the company gets billed has decreased from 181.8 days in 1981 to 160 days in 1983.

Finally, it was noted that the Far East was the area most visited by Coast Guard inspectors in carrying out the overseas inspection program. This area accounted for 54.6% or 626 overseas visits. See table VI-7 for a breakdown of visits by major geographic area. Additional tables and charts are contained in Appendix C.

Table VI-5

Availability Ratio (MHAW/MHTCT) By District Offices (%)

Dist/office	Mean	Dist/office	Mean
Boston -----	91.6	Honolulu -----	87.2
St Louis -----	93.4	Juneau -----	82.3
New York -----	83.6	Headquarters ----	88.0
Norfolk -----	89.3	Rotterdam -----	81.2
Miami -----	81.2	Singapore -----	66.1
New Orleans --	71.9	Kobe -----	91.1
Cleveland ----	84.7	Guam -----	88.8
LA/Long Beach -	88.5	Rio Kaje -----	94.3
San Francisco -	86.9	Rio Chiba -----	68.0
Seattle -----	75.3		

Entire Population -- 85.1

Figure VI-1

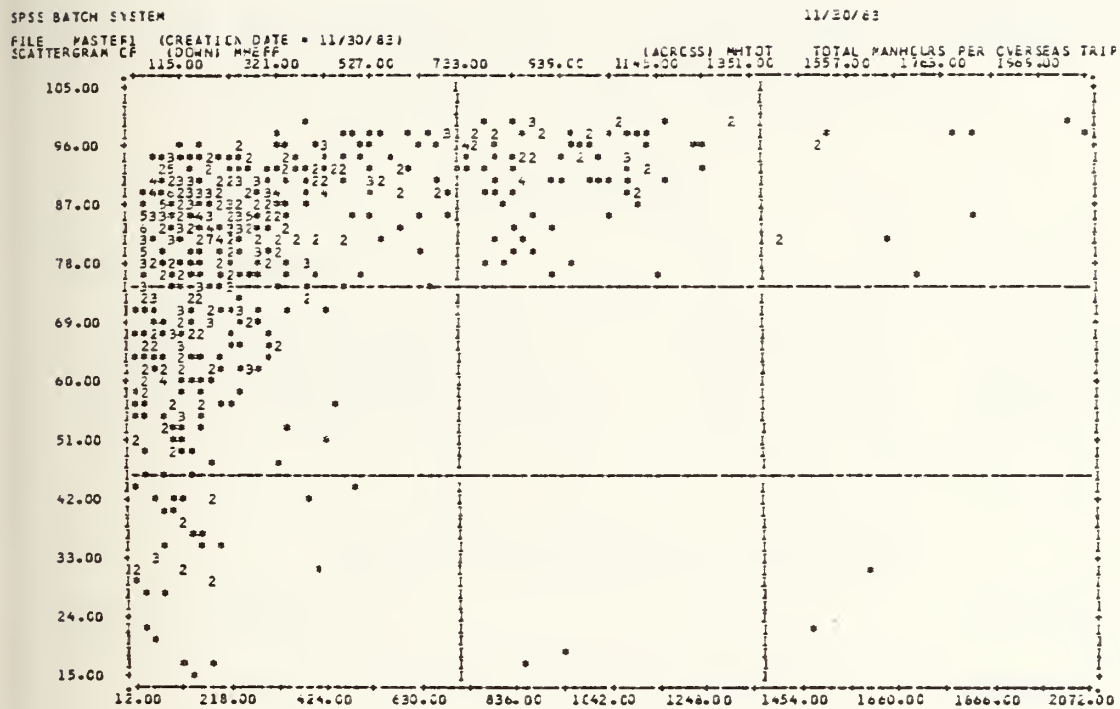


Table VI-6

Mean Actual Manhours as
Percentage of Standard Manhours

Cargo Vessels

Type of Inspection:	COI	COI/DD
< 300 gross tons	181.7% (18)	198.9% (46)
300-19,999 gross tons	178.3% (46)	128.3% (12)
20,000 gross tons	162.9% (12)	127.6% (3)

Tank Vessels

Type of Inspection:	COI	COI/DD
1000-19,999 gross tons	61.0% (12)	114% (8)
20,000-39,999 gross tons	165.0% (2)	103.1% (3)
40,000-74,999 gross tons	* (0)	211.5% (1)
75,000-124,999 gross tons	92.0% (1)	238.4% (1)

Mobile Offshore Drilling Units

Type of Inspection:	COI	COI/DD
	94.5% (8)	123.9% (9)

Liquified Natural Gas Carriers

Type of Inspection:	COI	COI/DD
	59.5% (1)	134.8% (5)

NOTE:

Number in parenthesis represents actual number of vessels in that particular category in sample population.

Table VI-7
Inspections Location

Area	Number of visits	% Total
Africa	34	7.3
Europe	196	17.3
Far East	626	54.6
North America	92	8.0
South America	94	8.2
Mideast	21	1.8
Others	33	2.9

Table VI-8

Mean Trip Length by Districts/Offices

Dist/Office	FY81 MTL (days)	FY82 MTL (days)	FY83 MTL (days)
Boston	13.3	13.9	6.3
St Louis	---	14.5	40.6
New York	8.8	12.0	16.4
Norfolk	20.8	36.4	32.1
Miami	8.8	12.8	42.7
New Orleans	10.6	9.6	8.5
Cleveland	4.8	---	26.7
LA/Long Beach	21.8	54.2	49.6
San Francisco	25.0	17.5	21.6
Seattle	33.1	16.4	13.9
Honolulu	8.8	28.9	35.1
Juneau	18.6	---	24.0
Headquarters	19.2	14.3	---
Rotterdam	4.9	6.8	---
Singapore	3.5	3.7	---
Kobe	9.0	13.6	---
Guam	---	3.5	---
Rio Kaje	42.4	15.6	---
Rio Chiba	---	2.4	---
Entire Population	11.8	13.8	19.2

Table VI-9

TAD Manhours Allocated by Districts/Offices

Dist/Offices	FY 81	%	FY 82	%	FY 83*	%
Boston	6367.8	9.0	3343.4	3.8	2409.4	3.5
St Louis	-----	0.0	348.0	0.4	2925.0	4.3
New York	2739.6	3.9	12977.9	14.8	23170.2	33.8
Norfolk	3001.1	4.2	873.0	1.0	1539.8	2.2
Miami	2112.3	3.0	1534.4	1.7	1024.9	1.5
New Orleans	5077.2	7.2	12495.5	14.3	4092.5	6.0
Cleveland	230.5	0.3	-----	0.0	1283.5	1.9
LA/Long Beach	3666.5	5.2	3900.0	4.5	3573.0	5.2
San Francisco	14418.1	20.4	10919.1	12.5	2589.1	3.8
Seattle	1591.0	2.2	2754.5	3.1	3661.0	5.3
Honolulu	632.8	0.9	19408.9	12.5	21087.5	30.8
Juneau	1339.1	1.9	-----	0.0	1152.0	1.7
Headquarters	3681.9	5.2	1032.5	1.1	-----	---
Rotterdam	8331.0	11.8	4885.9	5.6	-----	---
Singapore	170.0	0.2	353.8	0.4	-----	---
Kobe	11260.0	15.9	9787.5	11.2	-----	---
Guam	-----	0.0	84.3	0.1	-----	---
Rio Koje	6104.6	8.6	2247.3	2.6	-----	---
Rio Chiba	-----	0.0	574.5	0.7	-----	---
Total	70723.5	99.9	87520.5	100	68508.5	100

* only first two quarters of 83 analyzed

Table VI-10

Comparison of Quarterly TAD Manhours

MIO New York:

Qtr	Total Manhours	% of Total	% Change
3-82	7281.6	44.7	2930.8
4-82	5062.6	17.3	-30.5
1-83	10519.0	28.3	107.0
2-83	12651.2	40.5	20.3

MSC Honolulu:

Qtr	Total Manhours	% of Total	% Change
3-82	2491.1	15.3	-42.3
4-82	10012.8	34.2	302.0
1-83	11796.1	31.7	17.8
2-83	9291.5	29.7	-21.2

Table VI-10 shows the recent quarterly TAD manhours expended by the two major offices participating in overseas CVS inspections. While there are significant fluctuations in the quarterly amounts for both offices, the fluctuations are greater under MIO New York. Fluctuations in demand within a period of one year to the extent indicated in this table pose scheduling and planning problems and make it difficult to project necessary force levels at these units.

VII. EVALUATION OF ALTERNATIVES

A. EVALUATION OF QUANTIFIED FACTORS

The ratios of cost to effectiveness for each alternative; under their respective fiscal quarters and for the effectiveness model and each of the three variations included; are listed in table VII-1. The quarterly operating costs are taken from table IV-9. The effectiveness scores are taken from table V-4. In evaluating these ratios, it should be noted that numbers of smaller magnitude are desired. Referring to the table, the ratios attributable to alternative one are clearly superior to those attributable to alternative two. The best, worst and average scores obtained from each of the four formulas indicate a consistent improvement in score when the overseas offices are closed. This is true even when the unusually low values for quarter 382 are excluded.

In comparing the results of the formulas listed in table VII-1, there is a general increase in effectiveness scores and a resulting decrease in the ratios under formulas 3 and 4 where actual manhours are compared to standard rather than average manhours. This is due to the fact that standard manhours were found to be consistently lower than average manhours for similar types of inspections within the sample.

Table VII-1

Cost Effectiveness Ratios

Qtr:	Effectiveness Formula:			
Alt 2	1	2	3	4
1-81	1546	1447	1306	1307
2-81	1760	1714	1656	1650
3-81	2669	2378	2035	2026
4-81	2252	2083	1935	1903
1-82	2375	2117	1796	1705
2-82	1715	1677	1496	1540
Best	1546	1447	1306	1307
Worst	2669	2378	2035	2026
Average	2053	1903	1704	1704
Alt 1				
3-82	424	472	379	436
4-82	929	1121	1053	1037
1-83	1051	1081	962	1020
2-83	1097	1083	958	994
Best	424	472	379	436
Worst	1097	1121	1053	1037
Average	875	939	838	872

The use of equal weighting factors in formulas 2 and 4, instead of the assigned weights, also had the effect of increasing effectiveness scores, although to a lesser degree. The use of equal weighting factors in the model also decreased the variability resulting from a decrease in the weight assigned to actual manhours which was found to generate most of the variability in scores.

There are improvements in the effectiveness scores in most cases under alternative number one. The effectiveness scores for alternative one are equal to or greater than 95 in three of the four quarters measured using the basic model, and the average score of the four quarters is above 100. A score of 95 or above is assumed to be within acceptable limits. The effectiveness scores for alternative one are equal to or greater than 95 in 13 of the 16 cases measured when including the three variations of the model. This is compared to a number of 12 out of 24 cases under alternative two having a score of 95 or better.

The comparison of quantified cost and effectiveness factors therefore leads one to conclude that the overseas CVS offices should remain closed. There is, however, one factor which should be considered in the evaluation of effectiveness scores. When the overseas offices were open during fiscal 1981 and the first half of fiscal 1982, the portion of overseas TAD inspections carried out by foreign based personnel was about one third of the total performed. This

average is based on the amounts of TAD manhours available for work (MHAW) expended by personnel attached to U.S. and foreign offices during that period. The effectiveness scores for each quarter were therefore weighted in favor of the scores attributable to inspections conducted by U.S. based personnel in accordance with the mix of inspections performed during each quarter. Even though most of the manhours allotted to the foreign based personnel were spent on TAD inspections, their portion of the total inspections averaged one third of the total. This means that the closure of the overseas offices had a relatively minor effect on the overall method of conducting overseas CVS activities. This also means that the effectiveness model essentially measured the quality of overseas inspections conducted by U.S. based personnel under both alternatives. As a result, the recent improvements in effectiveness scores may be more appropriately attributed to a general improvement in the quality of inspections rather than to the closure of the overseas offices. This factor also leads to the conclusion that the level of personnel stationed overseas would have to be greatly increased if the offices were to be reopened and if they were to be expected to accomplish a more substantial portion of the workload. In closing, we feel it is important to note that there were some substantial differences in effectiveness scores obtained under alternative two between

inspections conducted by U.S. and foreign based personnel. In quarters 3-81 and 1-82 the scores for inspections conducted by foreign based personnel were 100.2 and 92.11 respectively. The scores for inspections conducted by U.S. based personnel for the same quarters and using formula one were 56.32 and 52.25 respectively, a decrease of over 40%. There was also one quarter where a score of 100 for inspections performed by U.S. based personnel was almost 15% better than that of inspections by foreign based personnel.

E. ASSESSMENT OF NON-QUANTIFIABLE FACTORS

As discussed in chapter two, quantifiable factors tend to take precedence over non-quantifiable factors. Decisions are sometimes based on insignificant factors that can be measured with precision, while the crucial unmeasurables are neglected. It is the purpose of this section to address some of the non-quantifiable issues that have an impact on the cost-effectiveness of overseas inspection alternatives.

Information gathered by headquarters planning personnel from several major inspection/safety offices highlighted several key areas:

- 1) Personal Safety - Safety and security are day by day watchwords. Respect for human life, especially in the Far East, is considerably less than in Western nations. No formal procedures are currently in place to handle medical emergencies for TAD inspectors.

2) Logistics - The workplace for the inspectors is as diverse as can be imagined. Each area has its own language, culture, standard of living, transportation and communication problems. The "Fly American Policy" increases the complexity of scheduling and increases the lost time due to travel.

3) Language and Culture Differences - Inspectors experience numerous problems due to unfamiliarity with laws of country as well as customs. Several countries do not allow unaccompanied women. This is a sensitive issue that reduces the options available to office managers and creates inequitable distribution of assignments in offices with female inspectors.

4) Personal Financial Burden - There is a problem in drawing sufficient amounts of advance for travel and per diem. The maximum limits vary from \$250 to \$500. Our data indicated that the mean amounts billed are substantially higher than these limits. It is considered that per diem rates are sufficient in the large cities where higher rates have been established. In the towns near the shipyards rates have often not been established so the minimum rate of \$50 a day is in effect. This is usually insufficient to cover expenses.

The above issues, coupled with longer durations of overseas trips and erratic separation in some instances from dependents, are likely to have an adverse effect on morale.

During August of 1983, a total of 43 letters were sent to various maritime organizations which were found to have a number of recurring overseas inspections. The letters were designed to solicit narrative remarks in several broad areas concerning effects on operations resulting from the recent delegation of authority to the American Bureau of Shipping, and the closure of the overseas CVS offices. A total of 12 companies responded to our letter. Of the 12, four are involved in the operation of offshore supply vessels, five own or operate mobile offshore drilling units and three own or operate freightships or tankships engaged in overseas shipping.

While all of the respondents indicated that the closure of the overseas offices did not have an effect on the amount of periodic inspections requested overseas, there were some misgivings concerning the recent changes. In our discussion of the responses, several comments made by responding companies will be quoted. The type of company will be described, but we feel the identity of a company need not be disclosed.

The respondents which own or operate offshore supply vessels identified the cost of the reimbursements made to the Coast Guard for overseas inspections as an economic hardship. One company remarked: "The main disadvantages we have discovered since the closing of the U.S.C.G. overseas offices, have been economic in nature, with the high cost of

travel, per diem and related expenses topping the list." Another company referred to problems involving costs and inspector consistency.

"Obviously the closure has had an adverse financial impact and has created problems that affect our satisfaction with inspection functions. One significant problem has been in inspector consistency. Many offices have had to draw inspectors from wherever they could find them. A number of these individuals were inexperienced and not adequately prepared to operate alone in a remote location. This indicated to us that the Coast Guard was operating in an overload condition."

One of the five respondents which own or operate mobile offshore drilling units cited problems in scheduling for TAD inspectors while the other four reported no significant delays or problems in this area. Two of the five companies identified problems involving the competency of travelling inspectors. One of these companies remarked that their level of satisfaction had decreased since the closure of the overseas offices. "...the overseas offices, particularly Rotterdam and Singapore, were staffed with personnel experienced in the offshore drilling industry. They understood the vast differences between a drilling rig and a ship. They were also familiar with problems particular to overseas operations." A second company stated: "There seems to be fewer competent inspectors, and the inspectors that are available are generally stretched so thin they cannot devote the time necessary for each vessel." This company also pointed out that communications between an inspector and his home office, which are sometimes needed to resolve problems

or disputes, are adversely affected by the long distances travelled. They recommended that the Coast Guard should reopen the foreign offices or delegate more functional authority to the American Bureau of Shipping or other agencies that are more available overseas. A third company replied that continuity in foreign shipyards is now practically nonexistent. It is interesting to note that companies involved in the operation of offshore supply vessels were concerned with the costs of inspections, while companies involved in the operation of mobile offshore drilling units were more concerned with the competency of the inspectors.

Another problem pointed out by several of the respondents involved the nonavailability of inspectors for special inspections to correct prior deficiencies or for shop inspections of approved safety or life-saving equipment overseas. One company made the following comments in this area:

"Liferaft servicing/inspections are a major problem in some areas. Since we cannot afford to shuttle Coast Guard personnel around the world, we have tried to use the approved third party inspection procedure. However, many of the areas in which we operate do not have U.S.C.G. approved facilities. We are, therefore faced with the choice of keeping rafts onboard past the inspection date or shipping them out of the country which takes from 3 to 6 months. As regards outstanding deficiencies, the item would have to be extremely grave to warrant the cost of a second inspection trip. We try to assure the cognizant OCMI via written confirmation of compliance. To date, we have received a fair response to this procedure."

The responses generally indicated that from the perspective of these companies, several problem areas have arisen as a result of the closure of the overseas offices. Problem areas include such factors as scheduling, availability of inspectors, the competency of TAD inspectors, communications and the continuity of enforcement policies. These same problem areas have concerned CVS program managers. It is, of course, not known whether the perceptions of those companies who did not respond, and others, would substantiate the comments received or not.

C. PENDING LEGISLATION

There are several bills before Congress that if enacted will have significant impact upon overseas inspection activities. One of these bills is the Merchant Marine Act of 1983, an administration bill, to amend the Merchant Marine Act of 1936 to extend to U.S. flagship operators authority to construct, reconstruct, or acquire ships outside the U.S. without forfeit of eligibility for operating differential subsidies. If implemented it will most likely increase the manhours allocated to overseas inspections. Charles I. Hiltzheimer, Chairman and Chief Executive Officer, of Sea-Land Industries Investment Inc., during congressional testimony, suggested a revision to the act that would permit non-subsidized U.S. flag operators to use tax deferred capital construction funds for acquisition of foreign-built

vessels. This revision would tend to increase the size of the U.S. fleet.

Finally, implementation of the Cargo Preference Act would require Federal agencies engaged in commodity export and import by ship to transport at least 50% of cargoes by U.S. flag vessels. The short term impact of this bill is dependent upon the utilization of existing capacity. The long term impact would be an increase in workload concerning periodic inspections of the U.S. fleet.

D. RECOMMENDATIONS

The following recommendations are offered as a result of this analysis:

1. That further research be conducted in the area of estimating, measuring and evaluating the effectiveness of CVS activities. This includes the formulation of relevant effectiveness models or measures such as the one used in this analysis and the design of proper procedures to validate them. Contrary to the views expressed in the CVS Operating Program Plan for fiscal years 85-94 that there are no accurate quantitative measures of effectiveness; and that effectiveness must be inferred from changes in accident rates; we feel that workable methods of measuring effectiveness can be devised that are not necessarily predicated on safety records.

2. The Coast Guard should formulate a strategy, goals and objectives that are more specifically tailored to overseas CVS activities. In this effort, a projection of future demands for our services, the impacts of pending legislation and the desires of internal decision-makers and our constituents should be considered. Costs resulting from travel time and billing delays are to a great extent dependent upon overseas workload. Substantial increases in future workloads due to changes in the legal or economic environment could result in significant increases in these costs and, therefore, increase the desirability of reopening some level of overseas facilities.

3. In the event that the overseas offices are reopened, alternative methods of recovering operating expenses incurred should be explored. An equitable means of allocating office operating expenses to the parties that more directly benefit from their services would be an area of concern.

4. The Coast Guard should evaluate whether or not it would be beneficial to provide some level of language training for CVS personnel. This training could be designed to acquaint an inspector with some of the basic language and cultural differences and better prepare these personnel for situations involving medical and other emergencies.

5. The policies concerning limits on the amounts of advance funds which may be drawn by inspectors should be reevaluated. Essentially, this would involve an effort to remove financial

burdens which in some cases are placed on personnel in situations involving especially long trips or trips to high cost areas. Appropriate policies in this area are increasingly important because both the number and length of overseas trips have increased since 1981.

APPENDIX A: COST AND MANHOUR DATA AND COMPUTER PROGRAM

FILE: MASTER2 SPSS APPENDIX A

FILE NAME MASTER1
 VARIABLE LIST DIST,YR,CTR,MCNTH,RANK,AMTB,CUMA,BDBC,BDCD,
 MHAM,MHLT,MHICT,CUMB
 INPUT FORMAT FIXED (F2.0,2X,F2.0,2X,F3.0,2X,F4.0,2X,F2.0,2X,F6.2,1X,
 F1.0,1X,F3.0,1X,F3.0,2X,F7.2,2X,F7.2,2X,F7.2,2X,F1.0)
 N OF CASES 1283
 INPUT METHOD CARD
 VAR LABELS DIST,COAST GUARD DISTRICT/
 YR,FISCAL YEAR OF INSPECTION/
 CTR,QUARTER AND FISCAL YEAR/
 MCNTH,MONTH AND YEAR OF INSPECTION/
 RANK,RANK OF INSPECTOR/
 AMTB,AMOUNT BILLED/
 CUMA,DUMMY VARIABLE ONE/
 BDBC,BILLING DATE BEGINNING DATE/
 BDCD,BILLING DATE COMPLETION DATE/
 MHAM,MANHOURS AVAILABLE FOR WORK/
 MHLT,MANHOURS LOST TO TRAVEL/
 MHICT,TOTAL MANHOURS PER OVERSEAS TRIP/
 CUMB,DUMMY VARIABLE TWO/

READ	INPUT	DATA										
1	1	181	1080	03	712.76	1	125	121	84.50	25.25	105.75	2
1	1	181	1180	03	2573.33	1	134	116	186.00	24.00	152.00	NN
1	1	181	1180	03	3037.90	1	114	109	161.00	44.00	205.00	NN
1	1	181	1180	03	1488.88	1	112	106	76.50	10.20	86.70	NN
1	1	181	1180	03	1723.33	1	104	100	144.20	00.00	144.20	NN
1	1	181	1180	03	1337.33	1	100	97	CC00.00	0000.00	CC00.00	NN
1	1	181	1180	03	572.26	1	103	102	22.00	16.80	38.80	NN
1	1	181	1180	03	615.73	1	103	96	115.00	52.00	171.00	NN
1	1	181	1280	03	760.30	1	092	87	109.25	22.75	132.00	NN
1	1	181	1280	05	2537.79	1	103	101	94.50	57.20	151.70	NN
1	1	181	1280	03	522.13	1	087	87	5.25	7.00	12.25	NN
1	1	181	1280	03	682.76	1	084	83	26.20	6.00	32.20	NN
1	1	181	1280	03	2610.18	1	095	86	159.75	4.75	204.50	NN
1	1	181	1280	03	2078.73	1	091	85	114.70	32.50	147.20	NN
1	1	181	1281	03	534.49	1	142	142	7.00	3.75	12.75	NN
1	1	181	1281	03	776.08	1	141	137	82.75	17.95	100.70	NN
1	1	181	1281	03	115.00	1	140	136	51.50	7.00	58.50	NN
1	1	181	1281	03	115.00	1	142	140	33.00	2.00	35.00	NN
1	1	181	1281	03	114.90	1	124	118	0000.00	0000.00	0000.00	NN
1	1	181	1281	03	366.92	1	113	112	30.30	6.70	37.00	NN
1	1	181	1281	03	1518.22	1	106	96	170.00	74.50	244.50	NN
1	1	181	1281	03	2661.03	1	104	94	181.50	54.00	235.50	NN
1	1	181	1281	03	141.10	1	104	94	CC00.00	0000.00	CC00.00	NN
1	1	181	1281	03	1014.75	1	111	106	111.75	0000.00	111.75	NN
1	1	181	1281	03	275.69	1	111	106	CC00.00	0000.00	CC00.00	NN
1	1	181	1281	03	80.44	1	107	106	31.00	5.50	36.50	NN
1	1	181	1281	03	576.70	1	084	075	120.50	14.75	135.25	NN
1	1	181	1281	03	2506.14	1	094	093	136.75	00.00	136.75	NN
1	1	181	1281	03	278.46	1	094	093	0000.00	0000.00	0000.00	NN
1	1	181	1281	05	586.08	1	086	081	101.50	31.75	133.25	NN
1	1	181	1281	03	560.02	1	085	080	115.50	6.00	121.50	NN
1	1	181	1281	04	80.90	1	084	083	26.50	3.25	29.75	NN
1	1	181	1281	03	700.00	1	077	076	27.75	3.25	31.00	NN
1	1	181	1281	04	493.68	1	082	079	74.50	16.00	90.50	NN
1	1	181	1281	05	417.31	1	084	084	3.75	7.25	11.00	NN
1	1	181	1381	03	2397.76	1	124	127	135.50	27.75	163.25	NN
1	1	181	1381	03	641.66	1	128	123	96.70	11.80	108.50	NN
1	1	181	1381	03	392.16	1	096	096	69.25	10.50	79.75	NN
1	1	181	1381	05	715.60	1	093	092	155.50	15.50	171.00	NN
1	1	181	1381	03	3574.33	1	067	056	67.00	129.00	196.00	NN
1	1	181	1381	03	350.63	1	093	091	192.50	49.80	242.30	NN
1	1	181	1381	03	1091.52	1	093	091	0000.00	0000.00	0000.00	NN
1	1	181	1381	03	1025.23	1	087	083	93.50	19.25	112.75	NN
1	1	181	1381	03	2191.66	1	088	076	143.50	25.00	172.50	NN
1	1	181	1381	04	895.94	1	073	066	110.4	11.40	121.80	NN
1	1	181	1381	05	1433.01	1	115	113	40.50	15.20	55.70	NN
1	1	181	1381	03	333.46	1	166	166	7.20	6.95	14.15	NN
1	1	181	1381	03	993.19	1	095	095	96.75	15.05	111.80	NN
1	1	181	1381	03	1287.34	1	085	085	184.50	82.25	266.75	NN
1	1	181	1381	03	1930.00	1	088	082	0000.00	0000.00	0000.00	NN

FILE: MASTER2 SPSS

A NAVAL POSTGRADUATE SCHOOL

07	07	28	03	161.3	63	1	085	074	226.75	41.65	266.40	22
07	07	28	03	86.6	57	1	172	168	47.50	42.00	89.50	00
07	07	48	24	214.4	33	1	200	167	633.85	177.65	811.50	00
07	07	48	24	85.7	74	1	211	180	000.00	000.00	000.00	00
07	07	48	24	133.4	26	1	213	180	000.00	000.00	000.00	00
07	07	48	24	422.6	88	1	100	093	154.00	93.00	247.00	00
07	07	48	03	52.2	00	1	100	093	000.00	000.00	000.00	00
07	07	48	03	52.2	00	1	100	093	000.00	000.00	000.00	00
08	08	18	03	24.6	88	1	181	181	33.30	73.30	106.60	00
08	08	18	04	6.8	79	1	217	198	41.50	33.30	74.80	00
08	08	18	04	25.8	77	1	217	198	000.00	000.00	000.00	00
08	08	18	03	81.0	50	1	153	133	412.50	67.25	479.75	00
08	08	18	03	162.1	00	1	153	133	000.00	000.00	000.00	00
08	08	18	03	81.0	00	1	153	133	000.00	000.00	000.00	00
08	08	18	22	252.8	18	1	193	193	161.25	68.35	229.60	00
08	08	18	04	104.4	00	1	193	193	193.90	100.00	293.90	00
08	08	18	04	104.4	00	1	193	193	000.00	000.00	000.00	00
08	08	18	04	49.9	00	1	244	206	000.00	000.00	000.00	00
08	08	18	04	24.6	74	1	244	206	000.00	000.00	000.00	00
08	08	18	04	24.6	74	1	244	206	000.00	000.00	000.00	00
08	08	18	04	85.9	92	1	143	138	47.00	74.30	121.30	00
08	08	18	03	202.2	24	1	134	134	209.00	21.25	223.25	00
08	08	18	03	51.7	25	1	130	130	485.50	107.00	592.50	00
08	08	18	04	7.0	12	1	191	186	000.00	000.00	000.00	00
08	08	18	04	93.0	04	1	191	186	47.00	75.20	122.20	00
08	08	18	03	164.6	10	1	243	231	260.00	33.00	293.00	00
08	08	18	02	12.6	60	1	146	142	89.50	20.50	103.00	00
08	08	18	03	262.2	00	1	080	072	101.00	38.90	139.90	00
08	08	18	22	265.6	22	1	146	134	233.50	66.50	300.00	00
08	08	18	22	265.6	22	1	146	134	000.00	000.00	000.00	00
08	08	18	05	79.9	33	1	302	295	108.85	54.40	163.25	00
08	08	18	05	104.3	33	1	302	295	000.00	000.00	000.00	00
08	08	18	02	7.3	00	1	120	120	47.50	81.50	129.00	00
08	08	18	03	34.6	00	1	133	127	91.25	63.50	154.75	00
08	08	28	04	16.5	39	1	125	119	205.50	30.00	235.50	00
08	08	28	04	17.4	40	1	125	119	000.00	000.00	000.00	00
08	08	28	04	14.1	33	1	146	136	43.30	11.50	54.80	00
08	08	28	03	14.0	33	1	146	136	43.30	11.50	54.80	00
08	08	28	03	14.7	36	1	146	136	165.75	65.85	221.60	00
08	08	28	04	276.6	64	1	210	194	203.20	90.70	293.90	00
08	08	28	03	577.7	40	1	242	233	203.25	19.35	222.60	00
08	08	28	03	71.1	22	1	183	178	75.00	35.50	110.50	00
08	08	28	03	44.3	38	1	194	189	000.00	000.00	000.00	00
08	08	28	03	73.9	48	1	093	084	000.00	000.00	000.00	00
08	08	28	03	22.1	86	1	093	084	268.25	41.50	269.75	00
08	08	28	04	120.0	82	1	093	070	35.00	80.00	115.00	00
08	08	28	05	145.7	46	1	122	111	161.25	95.25	256.50	00
08	08	28	05	116.7	73	1	122	111	161.25	95.25	256.50	00
08	08	28	04	72.7	96	1	122	111	000.00	000.00	000.00	00
08	08	28	04	72.9	65	1	122	111	000.00	000.00	000.00	00
08	08	28	06	180.3	06	1	130	125	71.10	43.70	114.80	00
08	08	28	04	156.0	00	1	105	066	204.70	22.80	227.50	00
08	08	28	04	48.9	00	1	105	066	40.00	22.00	62.00	00
08	08	28	03	47.9	00	1	063	054	169.70	46.80	216.50	00
08	08	28	03	58.4	44	1	063	057	132.00	15.30	147.30	00
08	08	28	22	24.3	08	1	123	117	93.50	44.50	138.00	00
08	08	28	22	27.9	50	1	167	167	306.00	34.00	340.00	00
08	08	28	04	190.2	98	1	072	067	97.50	63.50	161.00	00
08	08	28	04	107.5	77	1	316	309	108.85	54.40	163.25	00
08	08	28	04	81.1	35	1	316	309	000.00	000.00	000.00	00
08	08	28	03	100.6	49	1	224	211	298.00	24.75	322.75	00
08	08	28	03	119.8	94	1	211	202	165.00	53.50	218.50	00
08	08	28	04	119.8	94	1	193	185	141.00	47.00	188.00	00
08	08	28	04	81.6	96	1	159	150	182.00	35.00	217.00	00
08	08	28	04	81.6	96	1	159	150	000.00	000.00	000.00	00
08	08	28	03	162.7	00	1	087	074	234.65	68.85	303.50	00
08	08	28	03	91.5	38	1	087	074	000.00	000.00	000.00	00
08	08	28	03	42.2	92	1	102	091	224.25	44.75	269.00	00
08	08	28	03	220.2	92	1	131	146	64.00	47.30	111.30	00
08	08	28	22	222.7	10	1	116	120	289.50	66.50	356.00	00
08	08	28	22	294.2	21	1	116	120	261.50	66.45	327.95	00
08	08	28	22	213.0	5	1	123	110	000.00	000.00	000.00	00

13	25	1	706.42	3	74
13	12	1	706.41	3	73
01	76	1	78.80	1	75
01	61	1	61.80	1	57
01	128	1	592.00	1	6
01	47	1	90.75	1	108
01	49	1	113.25	1	127
01	63	1	71.80	1	84
01	227	1	88.80	1	57
01	45	1	112.00	1	1
01	51	1	163.00	1	7
01	55	1	98.75	1	1
01	77	1	16.80	1	1
01	54	1	118.70	1	12
01	85	1	0.00	0	0
01	47	1	0.00	0	0
01	61	1	0.00	0	0
01	24	1	0.00	0	0
01	95	1	488.50	0	54
01	47	1	0.00	0	0
01	55	1	0.00	0	0
01	93	1	1161.75	0	14
01	28	1	0.00	0	0
01	77	1	163.00	0	1
01	52	1	331.30	0	4
01	1	1	618.70	0	6
01	40	1	210.20	0	2
01	50	1	209.00	0	24
01	13	1	88.00	0	15
01	26	1	0.00	0	0
01	13	1	388.15	0	42
01	43	1	0.00	0	0
01	33	1	0.00	0	0
01	26	1	0.00	0	0
01	26	1	0.00	0	0
01	36	1	981.75	0	10
01	35	1	981.75	0	10
01	25	1	236.30	0	28
01	57	1	227.75	0	2
01	73	1	0.00	0	0
01	26	1	277.70	0	3
01	29	1	0.00	0	0
01	21	1	338.00	0	36
01	43	1	25.00	0	72
01	13	1	503.00	0	62
01	37	1	0.00	0	0
01	26	1	35.00	0	7
01	20	1	262.00	0	3
01	29	1	71.50	0	14
01	12	1	188.50	0	21
01	72	1	330.65	0	37
01	16	1	168.00	0	23
01	10	1	201.25	0	33
01	74	1	120.50	0	14
01	12	1	103.00	0	7
01	30	1	278.35	0	3
01	10	1	144.50	0	16
01	10	1	67.00	0	32
01	22	1	286.20	0	33
01	22	1	0.00	0	0
01	25	1	0.00	0	0
01	15	1	237.00	0	3
01	10	1	45.20	0	7
01	36	1	392.00	0	12
01	100	1	1158.40	0	5
01	21	1	0.00	0	0
01	14	1	0.00	0	0
01	74	1	702.50	0	9
01	87	1	0.00	0	0
01	16	1	0.00	0	0

A NAVAL POSTGRADUATE SCHOOL

139

140

141

147

FILE: MASTER2 SPSS A NAVAL POSTGRADUATE SCHOOL

14	82	382	C482	23	CCOC.00	C	CCC	00C	1084.50	29.25	1113.75	2
14	83	283	C283	01	0000.00	C	00C	00C	783.30	56.00	841.30	2
14	82	482	C882	03	000C.00	C	000	00C	1053.65	7.35	1061.00	2
14	82	482	C982	03	000C.00	C	000	00C	1053.65	7.35	1061.00	2
14	83	283	C183	03	000C.00	C	00C	000	841.75	45.00	886.75	2
14	83	183	1182	03	CCOC.00	C	CCC	00C	994.00	87.00	1081.00	2
14	83	183	1182	03	000C.00	C	00C	00C	930.10	53.85	983.95	2
14	82	382	C582	04	CCOC.00	C	000	000	88.00	30.05	118.05	2

END INPUT DATA

COMMENT CALCULATE SUMS BY YEAR

*SELECT IF (YR EQ 81)

*SELECT IF (DUMA EQ 1)

FREQUENCIES GENERAL = DIST QTR MONTH RANK

CPTIONS 3,8,9

STATISTICS ALL

*SELECT IF (YR EQ 82)

*SELECT IF (DUMA EQ 1)

FREQUENCIES GENERAL = DIST QTR MONTH RANK

CPTIONS 3,8,9

STATISTICS ALL

*SELECT IF (YR EQ 83)

*SELECT IF (DUMA EQ 1)

FREQUENCIES GENERAL = DIST QTR MONTH RANK

CPTIONS 3,8,9

STATISTICS ALL

*SELECT IF (DUMA EQ 1)

FREQUENCIES GENERAL = DIST YR QTR MONTH RANK

CPTIONS 3,8,9

STATISTICS ALL

*SELECT IF (YR EQ 81)

*SELECT IF (DUMB EQ 2)

CONDENSRIPTIVE MHAW MHLT MHTCT

CPTIONS 1,4

STATISTICS ALL

*SELECT IF (YR EQ 82)

*SELECT IF (DUMB EQ 2)

CONDENSRIPTIVE MHAW MHLT MHTCT

CPTIONS 1,4

STATISTICS ALL

*SELECT IF (YR EQ 83)

*SELECT IF (DUMB EQ 2)

CONDENSRIPTIVE MHAW MHLT MHTCT

CPTIONS 1,4

STATISTICS ALL

*SELECT IF (YR EQ 81)

*SELECT IF (DUMB EQ 2)

SCATTERGRAM MHAW,MHLT WITH MHTCT

CPTIONS 1,7,8

STATISTICS ALL

*SELECT IF (YR EQ 82)

*SELECT IF (DUMB EQ 2)

SCATTERGRAM MHAW,MHLT WITH MHTCT

CPTIONS 1,7,8

STATISTICS ALL

*SELECT IF (YR EQ 83)

*SELECT IF (DUMB EQ 2)

SCATTERGRAM MHAW,MHLT WITH MHTCT

CPTIONS 1,7,8

STATISTICS ALL

*SELECT IF (YR EQ 81)

*SELECT IF (DUMA EQ 1)

CONDENSRIPTIVE AMTB BDBC BDCC

CPTIONS 1,4

STATISTICS ALL

*SELECT IF (YR EQ 82)

*SELECT IF (DUMA EQ 1)

CONDENSRIPTIVE AMTB BDBC BDCC

CPTIONS 1,4

STATISTICS ALL

*SELECT IF (YR EQ 83)

*SELECT IF (DUMA EQ 1)

CONDENSRIPTIVE AMTB BDBC BDCC


```

CPTIONS 1,4
STATISTICS ALL
*SELECT IF (YR EQ 81)
*SELECT IF (DUMA EQ 1)
SCATTERGRAM BOBD,BOCC WITH A*19
CPTIONS 1,7,8
STATISTICS ALL
*SELECT IF (YR EQ 82)
*SELECT IF (DUMA EQ 1)
SCATTERGRAM BOBD,BOCC WITH AMTB
CPTIONS 1,7,8
STATISTICS ALL
*SELECT IF (YR EQ 83)
*SELECT IF (DUMA EQ 1)
SCATTERGRAM BOBD,BOCC WITH AMTB
CPTIONS 1,7,8
STATISTICS ALL
*SELECT IF (DUMB EQ 2)
CONDESCRPTIVE MHAW,MHLT,MHTOT
CPTIONS 1,4
STATISTICS ALL
*SELECT IF (DUMB EQ 2)
SCATTERGRAM MHAW,MHLT WITH MHTOT
CPTIONS 1,7,8
STATISTICS ALL
*SELECT IF (DUMA EQ 1)
SCATTERGRAM BOBD,BOCC WITH AMTB
CPTIONS 1,7,8
STATISTICS ALL
*IF (YR EQ 81) FLAG = 1
*IF (YR EQ 82) FLAG = 1
*IF (YR EQ 83) FLAG = 1
*SELECT IF (FLAG EQ 1)
*SELECT IF (DUMA EQ 1)
BREAKDOWN TABLES = AMTB BY QTR/AMTB BY YR/
BOBD BY QTR/BOBD BY YR/
BOCC BY QTR/BOCC BY YR/
BOBD BY DIST/BOCC BY DIST/

CPTIONS 1
STATISTICS ALL
*IF (YR EQ 81) FLAG = 1
*IF (YR EQ 82) FLAG = 1
*IF (YR EQ 83) FLAG = 1
*SELECT IF (FLAG EQ 1)
*SELECT IF (DUMB EQ 2)
BREAKDOWN TABLES = MHAW BY QTR/MHAW BY YR/MHAW BY YR BY DIST/
MHLT BY QTR/MHLT BY YR/MHLT BY YR BY DIST/
MHTOT BY QTR/MHTOT BY YR/MHTOT BY YR BY DIST/
MHAW BY QTR BY DIST/MHLT BY QTR BY DIST/
MHTOT BY QTR BY DIST/

CPTIONS 1
STATISTICS ALL
*IF (YR EQ 81) FLAG = 1
*IF (YR EQ 82) FLAG = 1
*IF (YR EQ 83) FLAG = 1
*SELECT IF (FLAG EQ 1)
*SELECT IF (DUMB EQ 2)
*COMPUTE PCTMHLT = (MHLT/MHTOT) * 100
BREAKDOWN TABLES = PCTMHLT BY QTR/PCTMHLT BY YR/
PCTMHLT BY QTR BY DIST/
PCTMHLT BY DIST BY QTR/PCTMHLT BY RANK/

CPTIONS 1
STATISTICS ALL
*IF (YR EQ 81) FLAG = 1
*IF (YR EQ 82) FLAG = 1
*IF (YR EQ 83) FLAG = 1
*SELECT IF (FLAG EQ 1)
*SELECT IF (DUMB EQ 2)
*COMPUTE PCTMHLT = (MHLT/MHTOT) * 100
SCATTERGRAM PCTMHLT WITH MHTOT/PCTMHLT WITH DIST/
CPTIONS 1,7,8
STATISTICS ALL

```



```

*IF (YR EQ 81) FLAG = 1
*IF (YR EQ 82) FLAG = 1
*IF (YR EQ 83) FLAG = 1
*SELECT IF (FLAG EQ 1)
*SELECT IF (DUMB EQ 2)
*COMPUTE MHEFF = (MHAH/MHTCT) * 100
SCATTERGRAM MHEFF WITH MHTOT
CPTIONS 1,7,8
STATISTICS ALL
*IF (YR EQ 81) FLAG = 1
*IF (YR EQ 82) FLAG = 1
*IF (YR EQ 83) FLAG = 1
*SELECT IF (FLAG EQ 1)
*SELECT IF (DUMB EQ 2)
*COMPUTE MHEFF = MHAH/MHTCT
BREAKDOWN TABLES = MHEFF BY YR/MHEFF BY QTR/MHEFF BY DIST/
CPTIONS 1
STATISTICS ALL
*SELECT IF (YR EQ 81)
*SELECT IF (RANK EQ 17)
*SELECT IF (DUMB EQ 2)
*COMPUTE LTTC = (MHLT/168E)*22100
BREAKDOWN TABLES = LTTC BY CTR BY DIST/
CPTIONS 1
STATISTICS ALL
*SELECT IF (YR EQ 81)
*SELECT IF (RANK EQ 18)
*SELECT IF (DUMB EQ 2)
*COMPUTE LTTC = (MHLT/168E)*25000
BREAKDOWN TABLES = LTTC BY CTR BY DIST/
CPTIONS 1
STATISTICS ALL
*SELECT IF (YR EQ 81)
*SELECT IF (RANK EQ 19)
*SELECT IF (DUMB EQ 2)
*COMPUTE LTTC = (MHLT/168E)*28600
BREAKDOWN TABLES = LTTC BY CTR BY DIST/
CPTIONS 1
STATISTICS ALL
*SELECT IF (YR EQ 81)
*SELECT IF (RANK EQ 22)
*SELECT IF (DUMB EQ 2)
*COMPUTE LTTC = (MHLT/168E)*24000
BREAKDOWN TABLES = LTTC BY CTR BY DIST/
CPTIONS 1
STATISTICS ALL
*SELECT IF (YR EQ 81)
*SELECT IF (RANK EQ 23)
*SELECT IF (DUMB EQ 2)
*COMPUTE LTTC = (MHLT/168E)*28000
BREAKDOWN TABLES = LTTC BY CTR BY DIST/
CPTIONS 1
STATISTICS ALL
*SELECT IF (YR EQ 81)
*SELECT IF (RANK EQ 24)
*SELECT IF (DUMB EQ 2)
*COMPUTE LTTC = (MHLT/168E)*33000
BREAKDOWN TABLES = LTTC BY CTR BY DIST/
CPTIONS 1
STATISTICS ALL
*SELECT IF (YR EQ 81)
*SELECT IF (RANK EQ 01)
*SELECT IF (DUMB EQ 2)
*COMPUTE LTTC = (MHLT/168E)*17400
BREAKDOWN TABLES = LTTC BY CTR BY DIST/
CPTIONS 1
STATISTICS ALL
*SELECT IF (YR EQ 81)
*SELECT IF (RANK EQ 02)
*SELECT IF (DUMB EQ 2)
*COMPUTE LTTC = (MHLT/168E)*24000
BREAKDOWN TABLES = LTTC BY CTR BY DIST/

```



```

ALL
(YR EQ 81)
(RANK EQ 03)
(DUMB EQ 2)
LTTC = (MHLT/168E)*29300
TABLES = LTTC BY CTR BY DIST /
1
ALL
(YR EQ 81)
(RANK EQ 04)
(DUMB EQ 2)
LTTC = (MHLT/168E)*35000
TABLES = LTTC BY CTR BY DIST /
1
ALL
(YR EQ 81)
(RANK EQ 05)
(DUMB EQ 2)
LTTC = (MHLT/168E)*41300
TABLES = LTTC BY CTR BY DIST /
1
ALL
(YR EQ 81)
(RANK EQ 06)
(DUMB EQ 2)
LTTC = (MHLT/168E)*49800
TABLES = LTTC BY CTR BY DIST /
1
ALL
(YR EQ 81)
(RANK EQ 11)
(DUMB EQ 2)
LTTC = (MHLT/168E)*22800
TABLES = LTTC BY CTR BY DIST /
1
ALL
(YR EQ 81)
(RANK EQ 12)
(DUMB EQ 2)
LTTC = (MHLT/168E)*26951
TABLES = LTTC BY CTR BY DIST /
1
ALL
(YR EQ 81)
(RANK EQ 13)
(DUMB EQ 2)
LTTC = (MHLT/168E)*32200
TABLES = LTTC BY CTR BY DIST /
1
ALL
(YR EQ 82)
(RANK EQ 17)
(DUMB EQ 2)
LTTC = (MHLT/168E)*26600
TABLES = LTTC BY CTR BY DIST /
1
ALL
(YR EQ 82)
(RANK EQ 18)
(DUMB EQ 2)
LTTC = (MHLT/168E)*30100
TABLES = LTTC BY CTR BY DIST /
1
ALL
(YR EQ 82)
(RANK EQ 19)
(DUMB EQ 2)
LTTC = (MHLT/168E)*34500
TABLES = LTTC BY CTR BY DIST /
1
ALL

```



```

*SELECT IF (YR EQ 82)
*SELECT IF (RANK EQ 22)
*SELECT IF (DUMB EQ 2)
*COMPUTE LTTC = (MHLT/1688)*27700
BREAKDOWN TABLES = LTTC BY CTR BY DIST /
CPTIONS 1
STATISTICS ALL
*SELECT IF (YR EQ 82)
*SELECT IF (RANK EQ 23)
*SELECT IF (DUMB EQ 2)
*COMPUTE LTTC = (MHLT/1688)*32200
BREAKDOWN TABLES = LTTC BY CTR BY DIST /
CPTIONS 1
STATISTICS ALL
*SELECT IF (YR EQ 82)
*SELECT IF (RANK EQ 24)
*SELECT IF (DUMB EQ 2)
*COMPUTE LTTC = (MHLT/1688)*38100
BREAKDOWN TABLES = LTTC BY CTR BY DIST /
CPTIONS 1
STATISTICS ALL
*SELECT IF (YR EQ 82)
*SELECT IF (RANK EQ C1)
*SELECT IF (DUMB EQ 2)
*COMPUTE LTTC = (MHLT/1688)*20100
BREAKDOWN TABLES = LTTC BY CTR BY DIST /
CPTIONS 1
STATISTICS ALL
*SELECT IF (YR EQ 82)
*SELECT IF (RANK EQ C2)
*SELECT IF (DUMB EQ 2)
*COMPUTE LTTC = (MHLT/1688)*27700
BREAKDOWN TABLES = LTTC BY CTR BY DIST /
CPTIONS 1
STATISTICS ALL
*SELECT IF (YR EQ 82)
*SELECT IF (RANK EQ C3)
*SELECT IF (DUMB EQ 2)
*COMPUTE LTTC = (MHLT/1688)*33900
BREAKDOWN TABLES = LTTC BY CTR BY DIST /
CPTIONS 1
STATISTICS ALL
*SELECT IF (YR EQ 82)
*SELECT IF (RANK EQ C4)
*SELECT IF (DUMB EQ 2)
*COMPUTE LTTC = (MHLT/1688)*40600
BREAKDOWN TABLES = LTTC BY CTR BY DIST /
CPTIONS 1
STATISTICS ALL
*SELECT IF (YR EQ 82)
*SELECT IF (RANK EQ C5)
*SELECT IF (DUMB EQ 2)
*COMPUTE LTTC = (MHLT/1688)*47900
BREAKDOWN TABLES = LTTC BY CTR BY DIST /
CPTIONS 1
STATISTICS ALL
*SELECT IF (YR EQ 82)
*SELECT IF (RANK EQ C6)
*SELECT IF (DUMB EQ 2)
*COMPUTE LTTC = (MHLT/1688)*57700
BREAKDOWN TABLES = LTTC BY CTR BY DIST /
CPTIONS 1
STATISTICS ALL
*SELECT IF (YR EQ 82)
*SELECT IF (RANK EQ 11)
*SELECT IF (DUMB EQ 2)
*COMPUTE LTTC = (MHLT/1688)*23900
BREAKDOWN TABLES = LTTC BY CTR BY DIST /
CPTIONS 1
STATISTICS ALL
*SELECT IF (YR EQ 82)
*SELECT IF (RANK EQ 12)

```



```

*SELECT IF      (DUMB EQ 2)
*COMPUTE        LTTC = (MHLT/1688)*28245
BREAKDOWN      TABLES = LTTC BY CTR BY DIST /
OPTIONS        1
STATISTICS     ALL
*SELECT IF      (YR EQ 82)
*SELECT IF      (RANK EQ 13)
*SELECT IF      (DUMB EQ 2)
*COMPUTE        LTTC = (MHLT/1688)*33800
BREAKDOWN      TABLES = LTTC BY CTR BY DIST /
OPTIONS        1
STATISTICS     ALL
*SELECT IF      (YR EQ 83)
*SELECT IF      (RANK EQ 17)
*SELECT IF      (DUMB EQ 2)
*COMPUTE        LTTC = (MHLT/1688)*27800
BREAKDOWN      TABLES = LTTC BY CTR BY DIST /
OPTIONS        1
STATISTICS     ALL
*SELECT IF      (YR EQ 83)
*SELECT IF      (RANK EQ 18)
*SELECT IF      (DUMB EQ 2)
*COMPUTE        LTTC = (MHLT/1688)*31500
BREAKDOWN      TABLES = LTTC BY CTR BY DIST /
OPTIONS        1
STATISTICS     ALL
*SELECT IF      (YR EQ 83)
*SELECT IF      (RANK EQ 19)
*SELECT IF      (DUMB EQ 2)
*COMPUTE        LTTC = (MHLT/1688)*36100
BREAKDOWN      TABLES = LTTC BY CTR BY DIST /
OPTIONS        1
STATISTICS     ALL
*SELECT IF      (YR EQ 83)
*SELECT IF      (RANK EQ 22)
*SELECT IF      (DUMB EQ 2)
*COMPUTE        LTTC = (MHLT/1688)*29000
BREAKDOWN      TABLES = LTTC BY CTR BY DIST /
OPTIONS        1
STATISTICS     ALL
*SELECT IF      (YR EQ 83)
*SELECT IF      (RANK EQ 23)
*SELECT IF      (DUMB EQ 2)
*COMPUTE        LTTC = (MHLT/1688)*33800
BREAKDOWN      TABLES = LTTC BY CTR BY DIST /
OPTIONS        1
STATISTICS     ALL
*SELECT IF      (YR EQ 83)
*SELECT IF      (RANK EQ 24)
*SELECT IF      (DUMB EQ 2)
*COMPUTE        LTTC = (MHLT/1688)*40000
BREAKDOWN      TABLES = LTTC BY CTR BY DIST /
OPTIONS        1
STATISTICS     ALL
*SELECT IF      (YR EQ 83)
*SELECT IF      (RANK EQ 01)
*SELECT IF      (DUMB EQ 2)
*COMPUTE        LTTC = (MHLT/1688)*21100
BREAKDOWN      TABLES = LTTC BY CTR BY DIST /
OPTIONS        1
STATISTICS     ALL
*SELECT IF      (YR EQ 83)
*SELECT IF      (RANK EQ 02)
*SELECT IF      (DUMB EQ 2)
*COMPUTE        LTTC = (MHLT/1688)*29000
BREAKDOWN      TABLES = LTTC BY CTR BY DIST /
OPTIONS        1
STATISTICS     ALL
*SELECT IF      (YR EQ 83)
*SELECT IF      (RANK EQ 03)
*SELECT IF      (DUMB EQ 2)
*COMPUTE        LTTC = (MHLT/1688)*35600

```



```

BREAKDOWN          TABLES = LTTC BY CTR BY DIST/
CPTIONS            1
STATISTICS         ALL
*SELECT IF         (YR EQ 83)
*SELECT IF         (RANK EQ 04)
*SELECT IF         (DUMB EQ 2)
*COMPUTE           LTTC = (MHLT/1688)*42500
BREAKDOWN          TABLES = LTTC BY CTR BY DIST/
CPTIONS            1
STATISTICS         ALL
*SELECT IF         (YR EQ 83)
*SELECT IF         (RANK EQ 05)
*SELECT IF         (DUMB EQ 2)
*COMPUTE           LTTC = (MHLT/1688)*56300
BREAKDOWN          TABLES = LTTC BY CTR BY DIST/
CPTIONS            1
STATISTICS         ALL
*SELECT IF         (YR EQ 83)
*SELECT IF         (RANK EQ 06)
*SELECT IF         (DUMB EQ 2)
*COMPUTE           LTTC = (MHLT/1688)*60500
BREAKDOWN          TABLES = LTTC BY CTR BY DIST/
CPTIONS            1
STATISTICS         ALL
*SELECT IF         (YR EQ 83)
*SELECT IF         (RANK EQ 11)
*SELECT IF         (DUMB EQ 2)
*COMPUTE           LTTC = (MHLT/1688)*24600
BREAKDOWN          TABLES = LTTC BY CTR BY DIST/
CPTIONS            1
STATISTICS         ALL
*SELECT IF         (YR EQ 83)
*SELECT IF         (RANK EQ 12)
*SELECT IF         (DUMB EQ 2)
*COMPUTE           LTTC = (MHLT/1688)*25374
BREAKDOWN          TABLES = LTTC BY CTR BY DIST/
CPTIONS            1
STATISTICS         ALL
*SELECT IF         (YR EQ 83)
*SELECT IF         (RANK EQ 13)
*SELECT IF         (DUMB EQ 2)
*COMPUTE           LTTC = (MHLT/1688)*24600
BREAKDOWN          TABLES = LTTC BY CTR BY DIST/
CPTIONS            1
STATISTICS         ALL
*IF                (CTR EQ 161) IRATE = .1314
*IF                (CTR EQ 281) IRATE = .1314
*IF                (CTR EQ 381) IRATE = .1764
*IF                (CTR EQ 481) IRATE = .1624
*IF                (CTR EQ 182) IRATE = .1335
*IF                (CTR EQ 282) IRATE = .1435
*IF                (CTR EQ 382) IRATE = .1322
*IF                (CTR EQ 482) IRATE = .1422
*IF                (CTR EQ 183) IRATE = .1200
*IF                (CTR EQ 283) IRATE = .1300
*IF                (YR EQ 81) FLAG = 1
*IF                (YR EQ 82) FLAG = 1
*IF                (YR EQ 83) FLAG = 1
*SELECT IF         (FLAG EQ 1)
*SELECT IF         (DUMB EQ 1)
*COMPUTE           ELTC = (BDDB + 34)/(365)*AMTB*IRATE
BREAKDOWN          TABLES = BLTC BY CTR/ELTC BY DIST/BLTC BY QTR BY DIST/
CPTIONS            1
STATISTICS         ALL
FINISH

```


DEPARTMENT OF TRANSPORTATION U.S. COAST GUARD CG-3621 (Rev. 2-76)	BILLING FOR SALE OF MATERIALS OR SERVICES	BILL NO.
BILLING UNIT		DATE
(Coast Guard Units should use a window envelope when forwarding this form.) TO: <div style="border: 1px solid black; height: 100px; width: 100%; margin-top: 10px;"></div>		FOR CG ACCOUNTING OFFICE USE ONLY
		APPROPRIATION FUND OR RECEIPT SYMBOL NO.
		A/R ACCOUNT NO.
The following materials or services were furnished to you by the U. S. Coast Guard:		
DATE SERVICES RENDERED	DESCRIPTION	AMOUNT
TOTAL		
Please mail check or money order payable to U. S. Coast Guard with a copy of this form to:		
		Signature of Billing Officer

GPO 716-563

APPENDIX B: EFFECTIVENESS DATA AND COMPUTER PROGRAM

FILE: DATAEFF SPSS APPENDIX B

FILE NAME LIST INSDAT1
 VARIABLE LIST TIMPD, DATSQ, ITYPE, YRBLT, GRTON, VTYPE, ACTMH, STDMM, ACTRK, NU835, MONTH, QTR, YEAR, NUISP, STDCL, INSCR
 INPUT FORMAT FIXED (F1.0,1X,F1.0,1X,F1.0,1X,F2.0,1X,F6.0,1X,F1.0,1X,F6.2,1X,F5.2,1X,F4.2,1X,F2.0,1X,F4.0,1X,F3.0,1X,F2.0,1X,F1.0,1X,F2.0,1X,F4.2)
 N OF CASES 244
 INPUT MEDIUM CARD
 VAR LABELS
 TIMPD, CATER CGY CF DATA COLLECTION PERIOD/
 CATSO, DATA SOURCE 3=NEW YORK 4=HONOLULU/
 ITYPE, INSPECTION TYPE 1=FF 2=DD 3=FD/
 YRBLT, YEAR VESSEL BUILT/
 GRTON, GROSS TONNAGE OF VESSEL IN WHOLE INCREMENTS/
 VTYPE, TYPE OF VESSEL 1=SUP 2=FRT 3=TNK 4=MODU 5=LNG/
 ACTMH, ACTUAL MANHOURS TO PERFORM THE INSPECTION/
 STDMM, STANDARD MANHOURS PROJECTED TO PERFORM INSP/
 ACTRK, AVERAGE RANK OF INSPECTORS PER INSPECTION/
 NU835, NUMBER OF ESSS ISSUED/
 MONTH, MCNTH AND CALENDAR YEAR INSPECTION COMP/
 QTR, QUARTER AND FISCAL YEAR INSPECTION COMP/
 YEAR, FISCAL YEAR INSPECTION COMPLETED/
 NUISP, NUMBER OF INSPECTORS PER INSPECTION/
 STDCL, STANDARD CLAS OF VESSEL/
 INSCR, NUMBER OF INSPECTORS SCORE/

READ	INPUT	DATA	1	55.00	16.00	3.00	01	1279	180	80	1	11	.00
1	1	76	1	55.00	16.00	3.00	01	1279	180	80	1	11	.00
1	1	73	1	68.00	16.00	3.00	24	0780	480	80	1	11	.00
1	1	45	14	104.00	36.00	3.00	32	0480	380	80	1	21	.20
1	1	70	1	51.00	10.00	3.00	07	0579	479	79	1	10	.00
1	1	77	21	60.00	65.00	3.00	06	1279	180	80	1	11	.00
1	1	77	1	94.00	62.00	3.00	01	0980	480	80	1	44	.33
1	1	78	6	156.00	74.00	3.00	16	1160	181	81	2	37	.00
1	1	72	5	27.00	32.00	3.00	07	0560	380	80	1	40	.00
1	1	74	1	72.00	16.00	3.00	05	0579	479	79	1	11	.00
1	1	44	15	72.00	16.00	3.00	00	0180	280	80	1	21	.00
1	1	45	17	37.00	16.00	3.00	10	0380	280	80	4	21	.00
1	1	45	18	89.00	16.00	3.00	05	0380	280	80	4	20	.00
1	1	45	13	89.00	16.00	3.00	08	0980	480	80	1	21	.00
1	1	76	21	34.00	16.00	3.00	19	1080	181	81	1	34	.00
1	1	73	1	24.00	16.00	3.00	22	0480	380	80	1	40	.00
1	1	77	18	24.00	16.00	3.00	17	0879	479	79	1	40	.00
1	1	73	5	13.00	16.00	3.00	15	0180	280	80	1	20	.00
1	1	73	18	18.00	16.00	3.00	07	1080	181	81	1	40	.00
1	1	73	1	101.00	16.00	3.00	00	0681	381	81	1	11	.00
1	1	74	1	55.00	16.00	3.00	01	0681	381	81	1	11	.00
1	1	74	1	45.00	16.00	3.00	02	0481	381	81	1	11	.00
1	1	76	1	62.00	16.00	3.00	09	0181	281	81	2	10	.00
1	1	70	1	14.00	16.00	3.00	07	0981	481	81	1	11	.00
1	1	78	1	35.00	16.00	3.00	04	1181	182	82	1	11	.00
1	1	72	1	46.00	16.00	3.00	11	0282	282	82	1	11	.00
1	1	75	1	5.00	10.00	3.00	20	0381	381	81	2	10	.00
1	1	74	1	17.00	10.00	3.00	20	0681	381	81	1	10	.00
1	1	76	1	37.00	16.00	3.00	06	0181	281	81	1	11	.00
1	1	44	13	102.00	62.00	3.00	08	0581	381	81	1	33	.00
1	1	78	1	58.00	16.00	3.00	13	0382	282	82	1	11	.00
1	1	75	1	23.00	10.00	3.00	00	0282	282	82	1	10	.00
1	1	78	1	44.00	10.00	3.00	15	1280	181	81	1	10	.00
1	1	45	18	22.00	32.00	3.00	25	0282	282	82	2	20	.00
1	1	76	4	81.00	35.00	3.00	00	0981	481	81	1	34	.00
1	1	72	4	44.00	64.00	3.00	05	1081	182	82	2	23	.00
1	1	74	1	32.00	16.00	3.00	14	0482	382	82	1	40	.00
1	1	77	1	91.00	60.00	3.00	11	0381	281	81	1	41	.00
1	1	44	18	17.00	32.00	3.00	09	0181	281	81	2	20	.00
1	1	75	5	25.00	32.00	3.00	00	0481	381	81	1	40	.00
1	1	73	1	7.00	10.00	3.00	17	0381	281	81	1	10	.00
1	1	40	1	44.00	62.00	3.00	05	0780	480	80	4	33	.25
1	1	54	1	52.00	32.00	3.00	00	0780	480	80	3	20	.20
1	1	71	1	28.00	32.00	3.00	06	0880	480	80	2	20	.00
1	1	69	1	48.00	32.00	3.00	00	0780	480	80	2	20	.00
1	1	63	1	85.00	56.00	3.00	00	0680	380	80	4	21	.25
1	1	68	1	38.00	32.00	3.00	03	0780	480	80	2	20	.00

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[illegible]

FILE: DATAEFF SPSS A NAVAL PCSTGRADUATE SCHOOL

```

*IF (ITYPE EQ 1) FLAG2 = 3
*IF (ITYPE EQ 3) FLAG2 = 3
*SELECT IF (FLAG2 EQ 2)
*SELECT IF (FLAG3 EQ 3)
*SELECT IF (FLAG EQ 1)
CONDESCRIPTIVE ACTRK, NU835, ACTMH, STDMH, NLISF, INSCR
OPTIONS 1,4
STATISTICS ALL
*IF (YEAR EQ 81) FLAG = 1
*IF (YEAR EQ 82) FLAG = 1
*IF (YEAR EQ 83) FLAG = 1
*SELECT IF (FLAG EQ 1)
*COMPUTE PCTSTD = ACTMH/STDMH * 100
BREAKDOWN TABLES = PCTSTD BY QTR/PCTSTD BY YEAR/
PCTSTD BY ITYPE/PCTSTD BY VTYPE/
PCTSTD BY DATSO/PCTSTD BY ACTRK/
PCTSTD BY TIMPD/PCTSTD BY STDCL/

OPTIONS 1
STATISTICS ALL
*IF (YEAR EQ 81) FLAG = 1
*IF (YEAR EQ 82) FLAG = 1
*IF (YEAR EQ 83) FLAG = 1
*IF (VTYPE EQ 1) FLAG2 = 2
*IF (VTYPE EQ 2) FLAG2 = 2
*IF (VTYPE EQ 3) FLAG2 = 2
*IF (VTYPE EQ 4) FLAG2 = 2
*IF (ITYPE EQ 1) FLAG3 = 3
*IF (ITYPE EQ 3) FLAG3 = 3
*SELECT IF (FLAG2 EQ 2)
*SELECT IF (FLAG3 EQ 3)
*COMPUTE PCTSTD = ACTMH/STDMH * 100
BREAKDOWN TABLES = PCTSTD BY QTR/PCTSTD BY YEAR/
PCTSTD BY ITYPE/PCTSTD BY VTYPE/
PCTSTD BY DATSO/PCTSTD BY ACTRK/
PCTSTD BY TIMPD/PCTSTD BY STDCL/

OPTIONS 1
STATISTICS ALL
*IF (STDCL EQ 10) AVGMH = 18.1667
*IF (STDCL EQ 11) AVGMH = 31.8261
*IF (STDCL EQ 20) AVGMH = 57.0633
*IF (STDCL EQ 21) AVGMH = 71.6333
*IF (STDCL EQ 22) AVGMH = 65.1667
*IF (STDCL EQ 23) AVGMH = 81.6667
*IF (STDCL EQ 32) AVGMH = 20.7917
*IF (STDCL EQ 33) AVGMH = 71.1875
*IF (STDCL EQ 34) AVGMH = 57.7500
*IF (STDCL EQ 35) AVGMH = 67.0000
*IF (STDCL EQ 37) AVGMH = 156.5000
*IF (STDCL EQ 38) AVGMH = 40.5000
*IF (STDCL EQ 39) AVGMH = 205.0000
*IF (STDCL EQ 40) AVGMH = 30.2500
*IF (STDCL EQ 41) AVGMH = 79.1111
*IF (STDCL EQ 50) AVGMH = 25.0000
*IF (STDCL EQ 51) AVGMH = 15.8000
*IF (YEAR EQ 81) FLAG = 1
*IF (YEAR EQ 82) FLAG = 1
*IF (YEAR EQ 83) FLAG = 1
*IF (VTYPE EQ 1) FLAG2 = 2
*IF (VTYPE EQ 2) FLAG2 = 2
*IF (VTYPE EQ 3) FLAG2 = 2
*IF (VTYPE EQ 4) FLAG2 = 2
*IF (ITYPE EQ 1) FLAG3 = 3
*IF (ITYPE EQ 3) FLAG3 = 3
*SELECT IF (FLAG EQ 1)
*SELECT IF (FLAG3 EQ 3)
*COMPUTE EFF = (.40*(LN(ACTMH/AVGMH)) + .30*(ACTRK-2.986)
+25*(INSCR) + .05*(LG10(NU835/5.932))) * 100
BREAKDOWN TABLES = EFF BY QTR/EFF BY YEAR/EFF BY ITYPE/
EFF BY YEAR BY VTYPE/

```



```
(FLAG2 EC 2)
DEF = ( 40*(LN(AGTMH/STDMH))
```

```

      IF (ACTMH/STDMH) + .30*(ACTRK-2.986)
      +.25*(INSCR) + .C5*(LG10(NU835/5.932))) * LOG
      TABLES = EFF BY QTR/EFF BY QTR BY ITYPE/
      EFF BY ITYPE/EFF BY YEAR/EFF BY QTR BY VTYPE/
      EFF BY YEAR BY VTYPE/
      EFF BY VTYPE/EFF BY YEAR BY ITYPE/

```

$$+ .25 * (\text{INSCR}) + .25 * (\text{LG}) + .01 (\text{NUM8})$$

TABLES = EFF BY STR/EFF BY QTR BY ITYPE/

*IF

FILE: DATAEFF SPSS A NAVAL POSTGRADUATE SCHOOL

```

*IF (YEAR EQ 83) FLAG = 1
*SELECT IF (FLAG EQ 1)
BREAKDOWN TABLES = ACTMH BY STDCL/NU835 BY VTYPE/
NU835 BY VTYPE BY STDCL/
1
CPTIONS
STATISTICS ALL
*IF (YEAR EQ 81) FLAG = 1
*IF (YEAR EQ 82) FLAG = 1
*IF (YEAR EQ 83) FLAG = 1
*IF (VTYPE EQ 1) FLAG2 = 2
*IF (VTYPE EQ 2) FLAG2 = 2
*IF (VTYPE EQ 3) FLAG2 = 2
*IF (VTYPE EQ 4) FLAG2 = 2
*IF (ITYP EQ 1) FLAG3 = 3
*IF (ITYP EQ 3) FLAG3 = 3
*SELECT IF (FLAG EQ 1)
*SELECT IF (FLAG2 EQ 2)
*SELECT IF (FLAG3 EQ 3)
BREAKDOWN TABLES = ACTMH BY STDCL/NU835 BY VTYPE/
NU835 BY VTYPE BY STDCL/
1
CPTIONS
STATISTICS ALL
*IF (STDCL EQ 10) AVGMH = 18.1667
*IF (STDCL EQ 11) AVGMH = 31.8261
*IF (STDCL EQ 20) AVGMH = 37.0692
*IF (STDCL EQ 21) AVGMH = 71.8333
*IF (STDCL EQ 22) AVGMH = 65.1667
*IF (STDCL EQ 23) AVGMH = 81.6667
*IF (STDCL EQ 32) AVGMH = 20.7917
*IF (STDCL EQ 33) AVGMH = 71.1975
*IF (STDCL EQ 34) AVGMH = 57.7500
*IF (STDCL EQ 35) AVGMH = 67.0000
*IF (STDCL EQ 37) AVGMH = 15.6500
*IF (STDCL EQ 38) AVGMH = 40.5000
*IF (STDCL EQ 39) AVGMH = 20.5000
*IF (STDCL EQ 40) AVGMH = 30.2500
*IF (STDCL EQ 41) AVGMH = 79.1111
*IF (STDCL EQ 50) AVGMH = 25.0000
*IF (STDCL EQ 51) AVGMH = 13.4800
*IF (YEAR EQ 81) FLAG = 1
*IF (YEAR EQ 82) FLAG = 1
*IF (YEAR EQ 83) FLAG = 1
*SELECT IF (FLAG EQ 1)
*COMPUTE EFF = (.40*(LN(ACTMH/AVGMH)) + .30*(ACTRK-2.956)
+ .25*(INSCR) + .05*(LG10(NU835/5.670)))*100
SCATTERGRAM EFF WITH ACTMH/EFF WITH ACTRK (2,4)/EFF WITH INSCR (-1,1)/
EFF WITH NU835/
1,7,8
CPTIONS
STATISTICS ALL
*IF (STDCL EQ 10) AVGMH = 18.1667
*IF (STDCL EQ 11) AVGMH = 31.8261
*IF (STDCL EQ 20) AVGMH = 37.0692
*IF (STDCL EQ 21) AVGMH = 71.8333
*IF (STDCL EQ 22) AVGMH = 65.1667
*IF (STDCL EQ 23) AVGMH = 81.6667
*IF (STDCL EQ 32) AVGMH = 20.7917
*IF (STDCL EQ 33) AVGMH = 71.1975
*IF (STDCL EQ 34) AVGMH = 57.7500
*IF (STDCL EQ 35) AVGMH = 67.0000
*IF (STDCL EQ 37) AVGMH = 15.6500
*IF (STDCL EQ 38) AVGMH = 40.5000
*IF (STDCL EQ 39) AVGMH = 20.5000
*IF (STDCL EQ 40) AVGMH = 30.2500
*IF (STDCL EQ 41) AVGMH = 79.1111
*IF (STDCL EQ 50) AVGMH = 25.0000
*IF (STDCL EQ 51) AVGMH = 13.4800
*IF (YEAR EQ 81) FLAG = 1
*IF (YEAR EQ 82) FLAG = 1
*IF (YEAR EQ 83) FLAG = 1
*SELECT IF (FLAG EQ 1)
*COMPUTE EFF = (LN(ACTMH/AVGMH))*100
SCATTERGRAM EFF WITH ACTMH/

```



```

CPTIONS 1,7,8
STATISTICS ALL
*IF (STDCL 10) AVGMH = 18.1667
*IF (STDCL 11) AVGMH = 31.8261
*IF (STDCL 20) AVGMH = 57.0652
*IF (STDCL 21) AVGMH = 71.8333
*IF (STDCL 22) AVGMH = 65.1667
*IF (STDCL 23) AVGMH = 81.6667
*IF (STDCL 32) AVGMH = 20.7917
*IF (STDCL 33) AVGMH = 71.1875
*IF (STDCL 34) AVGMH = 57.7500
*IF (STDCL 35) AVGMH = 67.0000
*IF (STDCL 37) AVGMH = 156.5000
*IF (STDCL 38) AVGMH = 40.5000
*IF (STDCL 39) AVGMH = 205.0000
*IF (STDCL 40) AVGMH = 30.2500
*IF (STDCL 41) AVGMH = 79.1111
*IF (STDCL 50) AVGMH = 25.0000
*IF (STDCL 51) AVGMH = 134.8000
*IF (YEAR 81) FLAG = 1
*IF (YEAR 82) FLAG = 1
*IF (YEAR 83) FLAG = 1
*SELECT IF
*COMPUTE EFF = (ACTRK - 2.956) * 100
SCATTERGRAM EFF WITH ACTRK (2,4)/
CPTIONS 1,7,8
STATISTICS ALL
*IF (STDCL 10) AVGMH = 18.1667
*IF (STDCL 11) AVGMH = 31.8261
*IF (STDCL 20) AVGMH = 57.0652
*IF (STDCL 21) AVGMH = 71.8333
*IF (STDCL 22) AVGMH = 65.1667
*IF (STDCL 23) AVGMH = 81.6667
*IF (STDCL 32) AVGMH = 20.7917
*IF (STDCL 33) AVGMH = 71.1875
*IF (STDCL 34) AVGMH = 57.7500
*IF (STDCL 35) AVGMH = 67.0000
*IF (STDCL 37) AVGMH = 156.5000
*IF (STDCL 38) AVGMH = 40.5000
*IF (STDCL 39) AVGMH = 205.0000
*IF (STDCL 40) AVGMH = 30.2500
*IF (STDCL 41) AVGMH = 79.1111
*IF (STDCL 50) AVGMH = 25.0000
*IF (STDCL 51) AVGMH = 134.8000
*IF (YEAR 81) FLAG = 1
*IF (YEAR 82) FLAG = 1
*IF (YEAR 83) FLAG = 1
*SELECT IF
*COMPUTE EFF = (INSCR - 1) * 100
SCATTERGRAM EFF WITH INSCR (-1,1)/EFF WITH NUISP (0,7)/
CPTIONS 1,7,8
STATISTICS ALL
*IF (STDCL 10) AVGMH = 18.1667
*IF (STDCL 11) AVGMH = 31.8261
*IF (STDCL 20) AVGMH = 57.0652
*IF (STDCL 21) AVGMH = 71.8333
*IF (STDCL 22) AVGMH = 65.1667
*IF (STDCL 23) AVGMH = 81.6667
*IF (STDCL 32) AVGMH = 20.7917
*IF (STDCL 33) AVGMH = 71.1875
*IF (STDCL 34) AVGMH = 57.7500
*IF (STDCL 35) AVGMH = 67.0000
*IF (STDCL 37) AVGMH = 156.5000
*IF (STDCL 38) AVGMH = 40.5000
*IF (STDCL 39) AVGMH = 205.0000
*IF (STDCL 40) AVGMH = 30.2500
*IF (STDCL 41) AVGMH = 79.1111
*IF (STDCL 50) AVGMH = 25.0000
*IF (STDCL 51) AVGMH = 134.8000
*IF (YEAR 81) FLAG = 1
*IF (YEAR 82) FLAG = 1
*IF (YEAR 83) FLAG = 1

```


FILE: DATAEFF SPSS A NAVAL POSTGRADUATE SCHOOL

```

*SELECT IF      (FLAG EQ 1)
*COMPUTE        EFF = (LG10(NU835/5.670)) *100
SCATTERGRAM    EFF WITH NU835/
OPTIONS        1,7,8
STATISTICS     ALL
*IF            (STDCL mm 10) AVGMH = 18.1667
*IF            (STDCL mm 11) AVGMH = 31.8281
*IF            (STDCL mm 20) AVGMH = 57.0652
*IF            (STDCL mm 21) AVGMH = 71.8333
*IF            (STDCL mm 22) AVGMH = 65.1667
*IF            (STDCL mm 23) AVGMH = 81.6667
*IF            (STDCL mm 32) AVGMH = 20.7917
*IF            (STDCL mm 33) AVGMH = 71.1875
*IF            (STDCL mm 34) AVGMH = 57.7500
*IF            (STDCL mm 35) AVGMH = 67.0000
*IF            (STDCL mm 37) AVGMH = 156.5000
*IF            (STDCL mm 38) AVGMH = 40.5000
*IF            (STDCL mm 39) AVGMH = 205.0000
*IF            (STDCL mm 40) AVGMH = 300.2500
*IF            (STDCL mm 41) AVGMH = 79.1111
*IF            (STDCL mm 50) AVGMH = 25.0000
*IF            (STDCL mm 51) AVGMH = 134.8000
*IF            (YEAR mm 81) FLAG = 1
*IF            (YEAR mm 82) FLAG = 1
*IF            (YEAR mm 83) FLAG = 1
*IF            (VTYPR mm 1) FLAG2 = 2
*IF            (VTYPR mm 2) FLAG2 = 2
*IF            (VTYPR mm 3) FLAG2 = 2
*IF            (VTYPR mm 4) FLAG2 = 2
*IF            (ITYPR mm 1) FLAG3 = 3
*IF            (ITYPR mm 3) FLAG3 = 3
*SELECT IF      (FLAG EQ 1)
*SELECT IF      (FLAG2 EQ 2)
*SELECT IF      (FLAG3 EQ 3)
*COMPUTE        EFF = (.40*(LN(ACTMH/AVGMH)) + .30*(ACTRK-2.986)
SCATTERGRAM    + .25*(INSCR) + .05*(LG10(NU835/5.932)))*100
EFF WITH ACTMH/EFF WITH ACTRK (2,4)/EFF WITH INSCR (-1,1)/
EFF WITH NU835/
OPTIONS        1,7,8
STATISTICS     ALL
*IF            (STDCL mm 10) AVGMH = 18.1667
*IF            (STDCL mm 11) AVGMH = 31.8281
*IF            (STDCL mm 20) AVGMH = 57.0652
*IF            (STDCL mm 21) AVGMH = 71.8333
*IF            (STDCL mm 22) AVGMH = 65.1667
*IF            (STDCL mm 23) AVGMH = 81.6667
*IF            (STDCL mm 32) AVGMH = 20.7917
*IF            (STDCL mm 33) AVGMH = 71.1875
*IF            (STDCL mm 34) AVGMH = 57.7500
*IF            (STDCL mm 35) AVGMH = 67.0000
*IF            (STDCL mm 37) AVGMH = 156.5000
*IF            (STDCL mm 38) AVGMH = 40.5000
*IF            (STDCL mm 39) AVGMH = 205.0000
*IF            (STDCL mm 40) AVGMH = 300.2500
*IF            (STDCL mm 41) AVGMH = 79.1111
*IF            (STDCL mm 50) AVGMH = 25.0000
*IF            (STDCL mm 51) AVGMH = 134.8000
*IF            (YEAR mm 81) FLAG = 1
*IF            (YEAR mm 82) FLAG = 1
*IF            (YEAR mm 83) FLAG = 1
*IF            (VTYPR mm 1) FLAG2 = 2
*IF            (VTYPR mm 2) FLAG2 = 2
*IF            (VTYPR mm 3) FLAG2 = 2
*IF            (VTYPR mm 4) FLAG2 = 2
*IF            (ITYPR mm 1) FLAG3 = 3
*IF            (ITYPR mm 3) FLAG3 = 3
*SELECT IF      (FLAG EQ 1)
*SELECT IF      (FLAG2 EQ 2)
*SELECT IF      (FLAG3 EQ 3)
*COMPUTE        EFF = (LN(ACTMH/AVGMH))*100
SCATTERGRAM    EFF WITH ACTMH/
OPTIONS        1,7,8

```



```

STATISTICS
*IF (STDCL 10) AVGMH = 18.1667
*IF (STDCL 11) AVGMH = 31.8261
*IF (STDCL 20) AVGMH = 57.0652
*IF (STDCL 21) AVGMH = 71.8333
*IF (STDCL 22) AVGMH = 65.1667
*IF (STDCL 23) AVGMH = 81.6667
*IF (STDCL 32) AVGMH = 20.7917
*IF (STDCL 33) AVGMH = 71.1875
*IF (STDCL 34) AVGMH = 57.7500
*IF (STDCL 35) AVGMH = 67.0000
*IF (STDCL 37) AVGMH = 15.6500
*IF (STDCL 38) AVGMH = 40.5000
*IF (STDCL 39) AVGMH = 20.5000
*IF (STDCL 40) AVGMH = 30.2500
*IF (STDCL 41) AVGMH = 79.1111
*IF (STDCL 50) AVGMH = 25.0000
*IF (STDCL 51) AVGMH = 13.4800
*IF (YEAR 81) FLAG = 1
*IF (YEAR 82) FLAG = 1
*IF (YEAR 83) FLAG = 1
*IF (VTYP 1) FLAG2 = 2
*IF (VTYP 2) FLAG2 = 2
*IF (VTYP 3) FLAG2 = 2
*IF (VTYP 4) FLAG2 = 2
*IF (ITYP 1) FLAG3 = 3
*IF (ITYP 3) FLAG3 = 3
*SELECT IF (FLAG 1)
*SELECT IF (FLAG2 2)
*SELECT IF (FLAG3 3)
*COMPUTE EFF = (ACTRK - 2.986) * 100
SCATTERGRAM EFF WITH ACTRK (2,4)
CPTIONS 1,7,8
STATISTICS
ALL
*IF (STDCL 10) AVGMH = 18.1667
*IF (STDCL 11) AVGMH = 31.8261
*IF (STDCL 20) AVGMH = 57.0652
*IF (STDCL 21) AVGMH = 71.8333
*IF (STDCL 22) AVGMH = 65.1667
*IF (STDCL 23) AVGMH = 81.6667
*IF (STDCL 32) AVGMH = 20.7917
*IF (STDCL 33) AVGMH = 71.1875
*IF (STDCL 34) AVGMH = 57.7500
*IF (STDCL 35) AVGMH = 67.0000
*IF (STDCL 37) AVGMH = 15.6500
*IF (STDCL 38) AVGMH = 40.5000
*IF (STDCL 39) AVGMH = 20.5000
*IF (STDCL 40) AVGMH = 30.2500
*IF (STDCL 41) AVGMH = 79.1111
*IF (STDCL 50) AVGMH = 25.0000
*IF (STDCL 51) AVGMH = 13.4800
*IF (YEAR 81) FLAG = 1
*IF (YEAR 82) FLAG = 1
*IF (YEAR 83) FLAG = 1
*IF (VTYP 1) FLAG2 = 2
*IF (VTYP 2) FLAG2 = 2
*IF (VTYP 3) FLAG2 = 2
*IF (VTYP 4) FLAG2 = 2
*IF (ITYP 1) FLAG3 = 3
*IF (ITYP 3) FLAG3 = 3
*SELECT IF (FLAG 1)
*SELECT IF (FLAG2 2)
*SELECT IF (FLAG3 3)
*COMPUTE EFF = (INSCR) * 100
SCATTERGRAM EFF WITH INSCR (-1,1)/EFF WITH NUISP (0,7)/
CPTIONS 1,7,8
STATISTICS
ALL
*IF (STDCL 10) AVGMH = 18.1667
*IF (STDCL 11) AVGMH = 31.8261
*IF (STDCL 20) AVGMH = 57.0652
*IF (STDCL 21) AVGMH = 71.8333
*IF (STDCL 22) AVGMH = 65.1667

```


FILE: DATAEFF SPSS A NAVAL POSTGRADUATE SCHOOL

```

*IF      (STDCL E 23) AVGMH = 81.6667
*IF      (STDCL E 32) AVGMH = 20.7517
*IF      (STDCL E 33) AVGMH = 71.1875
*IF      (STDCL E 34) AVGMH = 57.1300
*IF      (STDCL E 35) AVGMH = 67.0000
*IF      (STDCL E 37) AVGMH = 15.65000
*IF      (STDCL E 38) AVGMH = 40.50000
*IF      (STDCL E 39) AVGMH = 20.50000
*IF      (STDCL E 40) AVGMH = 30.25000
*IF      (STDCL E 41) AVGMH = 19.1111
*IF      (STDCL E 50) AVGMH = 25.00000
*IF      (STDCL E 51) AVGMH = 13.48000
*IF      (YEAR E 81) FLAG = 1
*IF      (YEAR E 82) FLAG = 1
*IF      (YEAR E 83) FLAG = 1
*IF      (VTYP E 1) FLAG2 = 2
*IF      (VTYP E 2) FLAG2 = 2
*IF      (VTYP E 3) FLAG2 = 2
*IF      (VTYP E 4) FLAG2 = 2
*IF      (ITYP E 1) FLAG3 = 3
*IF      (ITYP E 3) FLAG3 = 3
*IF      (FLAG E 1)
*IF      (FLAG2 E 2)
*IF      (FLAG3 E 3)
*SELECT IF
*SELECT IF
*SELECT IF
*COMPUTE EFF = (LG10(NU835/5.932))*100
SCATTERGRAM EFF WITH NU835/
CPTICNS 1,7,8
STATISTICS ALL
FINISH

```


APPENDIX C: COMPUTER TABLES AND LISTINGS

SPSS BATCH SYSTEM APPENDIX C

11/30/83

FILE - MASTER1 - CREATED 11/30/83

RANK RANK OF INSPECTOR (FY-81)

CATEGORY LABEL	CODE	ABSOLUTE FREQ	RELATIVE FREQ (PCT)	ADJUSTED FREQ (PCT)	CUM FREQ (PCT)
	2.	41	9.8	9.8	9.8
	3.	154	36.9	36.9	46.8
	4.	113	27.1	27.1	73.9
	5.	31	7.4	7.4	81.3
	6.	8	1.9	1.9	83.2
	11.	1	0.2	0.2	83.5
	12.	2	0.5	0.5	83.9
	22.	20	4.8	4.8	88.7
	23.	24	5.8	5.8	94.5
	24.	23	5.5	5.5	100.0
TOTAL		417	100.0	100.0	

11/3C/83

FILE - MASTER1 - CREATED 11/30/83

RANK RANK CF INSPECTOR (FY-81)

```

2.  **** ( 41)
3.  **** ( 154)
4.  **** ( 113)
5.  **** ( 31)
6.  *** ( 8)
11. * ( 1)
12. ** ( 2)
22. ***** ( 20)
23. **** ( 24)
24. ***** ( 23)

```

.....I.....I.....I.....I.....I
 C 40 80 120 160 200
 FREQUENCY

MEAN	6.662	STD. ERR.	0.356	MEDIAN	3.619
MODE	3.000	STD. DEV.	7.263	VARIANCE	52.758
KURTOSIS	3.316	SKEWNESS	1.784	RANGE	22.000
MINIMUM	2.000	MAXIMUM	24.000		
VALID CASES	417	MISSING CASES	0		

SPSS BATCH SYSTEM

11/30/83

FILE - MASTER1 - CREATED 11/30/83

RANK RANK OF INSPECTOR (FY-82)

CATEGORY LABEL	CODE	ABSOLUTE FREQ	RELATIVE FREQ (PCT)	ADJUSTED FREQ (PCT)	CUM FREQ (PCT)
	1.	2	0.4	0.4	0.4
	2.	33	6.5	6.5	6.9
	3.	217	43.0	43.0	49.9
	4.	115	22.8	22.8	72.7
	5.	11	2.2	2.2	74.9
	6.	31	6.1	6.1	81.0
	12.	3	0.6	0.6	81.6
	17.	2	0.4	0.4	82.0
	22.	43	8.5	8.5	90.5
	23.	21	4.2	4.2	94.7
	24.	27	5.3	5.3	100.0
TOTAL		<u>505</u>	<u>100.0</u>	<u>100.0</u>	

SPSS BATCH SYSTEM

11/30/83

FILE - MASTER1 - CREATED 11/30/83

RANK RANK OF INSPECTOR (FY-82)

CODE

```

1. * ( 2)
2. **** ( 33)
3. *****( 217)
4. *****( 115)
5. ** ( 11)
6. *** ( 31)
12. * ( 3)
17. * ( 2)
22. **** ( 43)
23. *** ( 21)
24. **** ( 27)
0.....I.....I.....I.....I.....I.....I.....I
FREQUENCY 100 200 300 400 500

```

MEAN	7.063	STD. ERR	0.335	MEDIAN	3.504
MODE	3.000	STD. DEV	7.534	VARIANCE	56.758
KURTOSIS	0.617	SKEWNESS	1.580	RANGE	23.000
MINIMUM	1.000	MAXIMUM	24.000		
VALID CASES	505	MISSING CASES	0		

SPSS BATCH SYSTEM

11/30/83

FILE - MASTER1 - CREATED 11/30/83

RANK RANK OF INSPECTOR (FY-83)

CATEGORY LABEL	CODE	ABSOLUTE FREQ	RELATIVE FREQ (PCT)	ADJUSTED FREQ (PCT)	CUM FREQ (PCT)
	1.	10	3.3	3.3	3.3
	2.	48	15.6	15.6	18.9
	3.	119	38.8	38.8	57.7
	4.	28	9.1	9.1	66.8
	5.	3	1.0	1.0	67.8
	12.	1	0.3	0.3	68.1
	13.	1	0.3	0.3	68.4
	17.	7	2.3	2.3	70.7
	22.	60	19.5	19.5	90.2
	23.	18	5.9	5.9	96.1
	24.	12	3.9	3.9	100.0
	TOTAL	<u>307</u>	<u>100.0</u>	<u>100.0</u>	

SPSS BATCH SYSTEM

11/30/83

FILE - MASTER1 - CREATED 11/30/83

RANK RANK OF INSPECTOR (FY-83)

CODE

```

1. I
   I **** ( 10)
   I
2. I
   I **** ( 48)
   I
3. I
   I **** ( 119)
   I
4. I
   I **** ( 28)
   I
5. I
   I ** ( 3)
   I
12. I
   I * ( 1)
   I
13. I
   I * ( 1)
   I
17. I
   I ** ( 7)
   I
22. I
   I **** ( 60)
   I
23. I
   I **** ( 18)
   I
24. I
   I **** ( 12)
   I
   I .....I.....I.....I.....I.....I
   I 0.....40.....80.....120.....160.....200
   I FREQUENCY

```

MEAN	8.977	STD ERR	0.514	MEDIAN	2.303
MCDE	3.000	STD DEV	9.014	VARIANCE	81.256
KURTCSIS	-1.320	SKEWNESS	0.791	RANGE	23.000
MINIMUM	1.000	MAXIMUM	24.000		
VALID CASES	307	MISSING CASES	C		

SPSS BATCH SYSTEM

11/20/83

FILE - MASTER1 - CREATED 11/20/83

RANK RANK OF INSPECTOR (CUMULATIVE FY 81-83)

CATEGORY LABEL	CODE	ABSOLUTE FREQ	RELATIVE FREQ (PCT)	ADJUSTED FREQ (PCT)	CUM FREQ (PCT)
	1.	12	1.0	1.0	1.0
	2.	122	9.9	9.9	10.9
	3.	490	39.9	39.9	50.8
	4.	256	20.8	20.8	71.6
	5.	45	3.7	3.7	75.3
	6.	39	3.2	3.2	78.4
	11.	1	0.1	0.1	78.5
	12.	6	0.5	0.5	79.0
	13.	1	0.1	0.1	79.1
	17.	9	0.7	0.7	79.8
	22.	123	10.0	10.0	89.8
	23.	63	5.1	5.1	95.0
	24.	62	5.0	5.0	100.0
	TOTAL	1229	100.0	100.0	

SPSS BATCH SYSTEM

11/30/83

FILE - MASTER1 - CREATED 11/30/83

RANK RANK OF INSPECTOR (CUMULATIVE FY 81-83)

CCDE

```

1.  I
    I* ( 12)
    I
2.  I***** ( 122)
    I
3.  I***** ( 490)
    I
4.  I***** ( 256)
    I
5.  I***** ( 45)
    I
6.  I***** ( 39)
    I
11. I* ( 1)
    I
12. I* ( 6)
    I
13. I* ( 1)
    I
17. I* ( 9)
    I
22. I***** ( 123)
    I
23. I***** ( 63)
    I
24. I***** ( 62)
    I
    I.....I.....I.....I.....I.....I.....I
    O.....100.....200.....300.....400.....500
    FREQUENCY

```


SPSS BATCH SYSTEM

11/30/83

FILE MASTER1 (CREATION DATE = 11/30/83)

CRITERION VARIABLE MMW# DESCRIPTION OF SUBPOPULATIONS

BROKEN DOWN BY QTR QUARTER AND FISCAL YEAR
BY CIST LAST GUARD DISTRICT

VARIABLE	CODE	VALUE LABEL	SUM	MEAN	STD DEV	VARIANCE	N
FOR ENTIRE POPULATION			192988.0794	251.5228	315.9034	108636.2676	1002
CTR	181.		4717.0455	217.8512	257.0965	6407.4754	40
ENTIRE POPULATION	1.		524.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	2.		464.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	3.		174.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	4.		174.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	5.		174.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	6.		174.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	7.		174.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	8.		174.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	9.		174.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	10.		174.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	11.		174.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	12.		174.7755	230.4755	274.7771	7550.4450	2
CTR	182.		14607.1995	213.1409	216.6536	46375.1437	60
ENTIRE POPULATION	1.		443.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	2.		443.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	3.		443.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	4.		443.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	5.		443.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	6.		443.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	7.		443.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	8.		443.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	9.		443.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	10.		443.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	11.		443.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	12.		443.7755	230.4755	274.7771	7550.4450	2
CTR	183.		32105.1482	401.3144	762.7646	161977.7593	80
ENTIRE POPULATION	1.		443.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	2.		443.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	3.		443.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	4.		443.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	5.		443.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	6.		443.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	7.		443.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	8.		443.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	9.		443.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	10.		443.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	11.		443.7755	230.4755	274.7771	7550.4450	2
ENTIRE POPULATION	12.		443.7755	230.4755	274.7771	7550.4450	2

CRITERION VARIABLE MMAN

CRITERION VARIABLE MMAN

178

11/30/83

VARIABLE

[illegible]

SPSS BATCH SYSTEM

11/30/82

CRITERION VARIABLE MMW

VARIABLE	CODE	VALUE LABEL	SUM	MEAN	STD DEV	VARIANCE	N
DIST	11.		3785.6998	378.5500	305.1224	52095.6818	(10)
DIST	12.		2416.6997	201.3833	4055.5886		(9)
DIST	13.		1701.6996	133.4454	152885.2917		(12)
DIST	30.		279.6999	27.96999	6.0		(1)
TOTAL CASES =		662					

11/30/82

DESCRIPTION OF SUBPOPULATIONS			
CRITERION VARIABLE	MIN	MAX	COURSE AVAILABLE FOR WORK
BROKEN DOWN BY	YR	FISCAL YEAR	INSPECTOR
BY	DIST	COAST GUARD	DISTRICT

[illegible]

SPSS BATCH SYSTEM

11/30/82

CRITERION VARIABLE MHAN

VARIABLE	CODE	VALUE LABEL	SUM	MEAN	STD DEV	VARIANCE	N
1	1	2730	2730	2730	376.9	137507.3999	31
2	2	2730	2730	2730	376.9	137507.3999	31
3	3	2730	2730	2730	376.9	137507.3999	31
4	4	2730	2730	2730	376.9	137507.3999	31
5	5	2730	2730	2730	376.9	137507.3999	31
6	6	2730	2730	2730	376.9	137507.3999	31
7	7	2730	2730	2730	376.9	137507.3999	31
8	8	2730	2730	2730	376.9	137507.3999	31
9	9	2730	2730	2730	376.9	137507.3999	31
10	10	2730	2730	2730	376.9	137507.3999	31
11	11	2730	2730	2730	376.9	137507.3999	31
12	12	2730	2730	2730	376.9	137507.3999	31
13	13	2730	2730	2730	376.9	137507.3999	31
14	14	2730	2730	2730	376.9	137507.3999	31
15	15	2730	2730	2730	376.9	137507.3999	31
16	16	2730	2730	2730	376.9	137507.3999	31
17	17	2730	2730	2730	376.9	137507.3999	31
18	18	2730	2730	2730	376.9	137507.3999	31
19	19	2730	2730	2730	376.9	137507.3999	31
20	20	2730	2730	2730	376.9	137507.3999	31
21	21	2730	2730	2730	376.9	137507.3999	31
22	22	2730	2730	2730	376.9	137507.3999	31
23	23	2730	2730	2730	376.9	137507.3999	31
24	24	2730	2730	2730	376.9	137507.3999	31
25	25	2730	2730	2730	376.9	137507.3999	31
26	26	2730	2730	2730	376.9	137507.3999	31
27	27	2730	2730	2730	376.9	137507.3999	31
28	28	2730	2730	2730	376.9	137507.3999	31
29	29	2730	2730	2730	376.9	137507.3999	31
30	30	2730	2730	2730	376.9	137507.3999	31
31	31	2730	2730	2730	376.9	137507.3999	31
32	32	2730	2730	2730	376.9	137507.3999	31
33	33	2730	2730	2730	376.9	137507.3999	31
34	34	2730	2730	2730	376.9	137507.3999	31
35	35	2730	2730	2730	376.9	137507.3999	31
36	36	2730	2730	2730	376.9	137507.3999	31
37	37	2730	2730	2730	376.9	137507.3999	31
38	38	2730	2730	2730	376.9	137507.3999	31
39	39	2730	2730	2730	376.9	137507.3999	31
40	40	2730	2730	2730	376.9	137507.3999	31
41	41	2730	2730	2730	376.9	137507.3999	31
42	42	2730	2730	2730	376.9	137507.3999	31
43	43	2730	2730	2730	376.9	137507.3999	31
44	44	2730	2730	2730	376.9	137507.3999	31
45	45	2730	2730	2730	376.9	137507.3999	31
46	46	2730	2730	2730	376.9	137507.3999	31
47	47	2730	2730	2730	376.9	137507.3999	31
48	48	2730	2730	2730	376.9	137507.3999	31
49	49	2730	2730	2730	376.9	137507.3999	31
50	50	2730	2730	2730	376.9	137507.3999	31
51	51	2730	2730	2730	376.9	137507.3999	31
52	52	2730	2730	2730	376.9	137507.3999	31
53	53	2730	2730	2730	376.9	137507.3999	31
54	54	2730	2730	2730	376.9	137507.3999	31
55	55	2730	2730	2730	376.9	137507.3999	31
56	56	2730	2730	2730	376.9	137507.3999	31
57	57	2730	2730	2730	376.9	137507.3999	31
58	58	2730	2730	2730	376.9	137507.3999	31
59	59	2730	2730	2730	376.9	137507.3999	31
60	60	2730	2730	2730	376.9	137507.3999	31
61	61	2730	2730	2730	376.9	137507.3999	31
62	62	2730	2730	2730	376.9	137507.3999	31
TOTAL CASES =	662						

SPSS BATCH SYSTEM

11/30/83

FILE MASTER1 (CREATION DATE = 11/30/83)

----- DE S C R I P T I O N O F S U B P O P U L A T I O N S -----

CRITERION VARIABLE HMLT MANHEUS LOST IC TRAVE
BROKEN DOWN BY CTE CLARIER AND FISCAL YEAR
DIST DIST COAST GUARD DISTRICT

VARIABLE	CODE	VALUE LABEL	SUM	MEAN	STD DEV	VARIANCE	N
FOR ENTIRE POPULATION			33754.5577	50.9888	61.8532	7031.3537	6621
CTR	181.		1147.1999	28.6800	24.3085	1177.0980	401
DIST	0.		23.6000	17.3000	24.3085	103.3400	21
DIST	1.		68.0000	43.0000	24.3085	103.3400	21
DIST	2.		240.0000	120.0000	24.3085	213.113600	21
DIST	3.		81.7000	40.8500	24.3085	54.2513	21
DIST	4.		48.0000	19.0000	24.3085	0.00	21
DIST	5.		48.0000	19.0000	24.3085	0.00	21
DIST	6.		57.7000	28.0000	24.3085	3.2813	21
DIST	7.		246.0000	117.5000	24.3085	21.8112	21
DIST	8.		246.0000	117.5000	24.3085	21.8112	21
CTR	182.		2616.3998	42.6727	32.7673	1073.6936	601
DIST	0.		18.0000	12.0000	32.7673	0.00	21
DIST	1.		18.0000	12.0000	32.7673	0.00	21
DIST	2.		1430.3999	67.4000	32.7673	1270.2348	101
DIST	3.		413.0000	62.0000	32.7673	413.0000	21
DIST	4.		138.0000	18.0000	32.7673	0.00	21
DIST	5.		138.0000	18.0000	32.7673	0.00	21
DIST	6.		333.0000	33.0000	32.7673	0.00	21
DIST	7.		333.0000	33.0000	32.7673	0.00	21
DIST	8.		197.0000	19.0000	32.7673	0.00	21
DIST	9.		155.0000	15.0000	32.7673	0.00	21
DIST	10.		92.0000	9.0000	32.7673	0.00	21
CTR	183.		5118.0496	63.9756	64.0565	4103.2408	801
DIST	0.		151.0000	10.0000	64.0565	119.7903	21
DIST	1.		1470.0000	70.0000	64.0565	0.00	21
DIST	2.		1470.0000	70.0000	64.0565	225.62747	21
DIST	3.		374.0000	37.0000	64.0565	0.00	21
DIST	4.		88.0000	8.0000	64.0565	0.00	21
DIST	5.		48.0000	7.0000	64.0565	0.00	21
DIST	6.		71.0000	7.0000	64.0565	0.00	21
DIST	7.		56.0000	5.0000	64.0565	0.00	21
DIST	8.		40.0000	4.0000	64.0565	0.00	21
DIST	9.		40.0000	4.0000	64.0565	0.00	21
DIST	10.		140.0000	14.0000	64.0565	0.00	21
DIST	11.		77.0000	7.0000	64.0565	0.00	21

SPSS BATCH SYSTEM

11/30/83

CRITERIA VARIABLE MHLT

VARIABLE	CODE	VALUE LABEL	SUM	MEAN	STD DEV	VARIANCE	N
CIST	3.		383.7500	76.7500	45.2085	2033.8438	51
CIST	165.		165.0000	165.0000	42.0175	52.0580	91
CIST	297.		297.0000	297.0000	40.4101	1632.9758	51
CIST	120.		120.0000	120.0000	0.0000	0.0000	11
CIST	120.		120.0000	120.0000	6.0000	450.0000	21
CIST	120.		120.0000	120.0000	12.0000	144.0000	31
CIST	120.		120.0000	120.0000	18.0000	324.0000	31
CIST	120.		120.0000	120.0000	24.0000	576.0000	31
CIST	120.		120.0000	120.0000	30.0000	900.0000	21
CIST	120.		120.0000	120.0000	36.0000	1296.0000	21
CIST	120.		120.0000	120.0000	42.0000	1764.0000	21
CIST	120.		120.0000	120.0000	48.0000	2304.0000	21
CIST	120.		120.0000	120.0000	54.0000	2916.0000	21
CIST	120.		120.0000	120.0000	60.0000	3600.0000	21
CIST	120.		120.0000	120.0000	66.0000	4356.0000	21
CIST	120.		120.0000	120.0000	72.0000	5184.0000	21
CIST	120.		120.0000	120.0000	78.0000	6084.0000	21
CIST	120.		120.0000	120.0000	84.0000	7056.0000	21
CIST	120.		120.0000	120.0000	90.0000	8100.0000	21
CIST	120.		120.0000	120.0000	96.0000	9216.0000	21
CIST	120.		120.0000	120.0000	102.0000	10404.0000	21
CIST	120.		120.0000	120.0000	108.0000	11664.0000	21
CIST	120.		120.0000	120.0000	114.0000	12996.0000	21
CIST	120.		120.0000	120.0000	120.0000	14400.0000	21
CIST	120.		120.0000	120.0000	126.0000	15876.0000	21
CIST	120.		120.0000	120.0000	132.0000	17424.0000	21
CIST	120.		120.0000	120.0000	138.0000	19044.0000	21
CIST	120.		120.0000	120.0000	144.0000	20736.0000	21
CIST	120.		120.0000	120.0000	150.0000	22500.0000	21
CIST	120.		120.0000	120.0000	156.0000	24336.0000	21
CIST	120.		120.0000	120.0000	162.0000	26244.0000	21
CIST	120.		120.0000	120.0000	168.0000	28224.0000	21
CIST	120.		120.0000	120.0000	174.0000	30276.0000	21
CIST	120.		120.0000	120.0000	180.0000	32400.0000	21
CIST	120.		120.0000	120.0000	186.0000	34596.0000	21
CIST	120.		120.0000	120.0000	192.0000	36864.0000	21
CIST	120.		120.0000	120.0000	198.0000	39204.0000	21
CIST	120.		120.0000	120.0000	204.0000	41616.0000	21
CIST	120.		120.0000	120.0000	210.0000	44100.0000	21
CIST	120.		120.0000	120.0000	216.0000	46656.0000	21
CIST	120.		120.0000	120.0000	222.0000	49284.0000	21
CIST	120.		120.0000	120.0000	228.0000	51984.0000	21
CIST	120.		120.0000	120.0000	234.0000	54756.0000	21
CIST	120.		120.0000	120.0000	240.0000	57600.0000	21
CIST	120.		120.0000	120.0000	246.0000	60516.0000	21
CIST	120.		120.0000	120.0000	252.0000	63504.0000	21
CIST	120.		120.0000	120.0000	258.0000	66564.0000	21
CIST	120.		120.0000	120.0000	264.0000	69696.0000	21
CIST	120.		120.0000	120.0000	270.0000	72900.0000	21
CIST	120.		120.0000	120.0000	276.0000	76176.0000	21
CIST	120.		120.0000	120.0000	282.0000	79524.0000	21
CIST	120.		120.0000	120.0000	288.0000	82944.0000	21
CIST	120.		120.0000	120.0000	294.0000	86436.0000	21
CIST	120.		120.0000	120.0000	300.0000	90000.0000	21
CIST	120.		120.0000	120.0000	306.0000	93636.0000	21
CIST	120.		120.0000	120.0000	312.0000	97344.0000	21
CIST	120.		120.0000	120.0000	318.0000	101124.0000	21
CIST	120.		120.0000	120.0000	324.0000	104976.0000	21
CIST	120.		120.0000	120.0000	330.0000	108900.0000	21
CIST	120.		120.0000	120.0000	336.0000	112896.0000	21
CIST	120.		120.0000	120.0000	342.0000	116964.0000	21
CIST	120.		120.0000	120.0000	348.0000	121104.0000	21
CIST	120.		120.0000	120.0000	354.0000	125316.0000	21
CIST	120.		120.0000	120.0000	360.0000	129600.0000	21
CIST	120.		120.0000	120.0000	366.0000	133956.0000	21
CIST	120.		120.0000	120.0000	372.0000	138384.0000	21
CIST	120.		120.0000	120.0000	378.0000	142884.0000	21
CIST	120.		120.0000	120.0000	384.0000	147456.0000	21
CIST	120.		120.0000	120.0000	390.0000	152100.0000	21
CIST	120.		120.0000	120.0000	396.0000	156816.0000	21
CIST	120.		120.0000	120.0000	402.0000	161604.0000	21
CIST	120.		120.0000	120.0000	408.0000	166464.0000	21
CIST	120.		120.0000	120.0000	414.0000	171396.0000	21
CIST	120.		120.0000	120.0000	420.0000	176400.0000	21
CIST	120.		120.0000	120.0000	426.0000	181476.0000	21
CIST	120.		120.0000	120.0000	432.0000	186624.0000	21
CIST	120.		120.0000	120.0000	438.0000	191844.0000	21
CIST	120.		120.0000	120.0000	444.0000	197136.0000	21
CIST	120.		120.0000	120.0000	450.0000	202500.0000	21
CIST	120.		120.0000	120.0000	456.0000	207936.0000	21
CIST	120.		120.0000	120.0000	462.0000	213444.0000	21
CIST	120.		120.0000	120.0000	468.0000	219024.0000	21
CIST	120.		120.0000	120.0000	474.0000	224676.0000	21
CIST	120.		120.0000	120.0000	480.0000	230400.0000	21
CIST	120.		120.0000	120.0000	486.0000	236196.0000	21
CIST	120.		120.0000	120.0000	492.0000	242064.0000	21
CIST	120.		120.0000	120.0000	498.0000	248004.0000	21
CIST	120.		120.0000	120.0000	504.0000	254016.0000	21
CIST	120.		120.0000	120.0000	510.0000	260096.0000	21
CIST	120.		120.0000	120.0000	516.0000	266244.0000	21
CIST	120.		120.0000	120.0000	522.0000	272460.0000	21
CIST	120.		120.0000	120.0000	528.0000	278744.0000	21
CIST	120.		120.0000	120.0000	534.0000	285096.0000	21
CIST	120.		120.0000	120.0000	540.0000	291516.0000	21
CIST	120.		120.0000	120.0000	546.0000	298004.0000	21
CIST	120.		120.0000	120.0000	552.0000	304560.0000	21
CIST	120.		120.0000	120.0000	558.0000	311184.0000	21
CIST	120.		120.0000	120.0000	564.0000	317876.0000	21
CIST	120.		120.0000	120.0000	570.0000	324636.0000	21
CIST	120.		120.0000	120.0000	576.0000	331464.0000	21
CIST	120.		120.0000	120.0000	582.0000	338360.0000	21
CIST	120.		120.0000	120.0000	588.0000	345324.0000	21
CIST	120.		120.0000	120.0000	594.0000	352356.0000	21
CIST	120.		120.0000	120.0000	600.0000	359456.0000	21
CIST	120.		120.0000	120.0000	606.0000	366624.0000	21
CIST	120.		120.0000	120.0000	612.0000	373860.0000	21
CIST	120.		120.0000	120.0000	618.0000	381164.0000	21
CIST	120.		120.0000	120.0000	624.0000	388536.0000	21
CIST	120.		120.0000	120.0000	630.0000	395976.0000	21
CIST	120.		120.0000	120.0000	636.0000	403484.0000	21
CIST	120.		120.0000	120.0000	642.0000	411060.0000	21
CIST	120.		120.0000	120.0000	648.0000	418704.0000	21
CIST	120.		120.0000	120.0000	654.0000	426416.0000	21
CIST	120.		120.0000	120.0000	660.0000	434196.0000	21
CIST	120.		120.0000	120.0000	666.0000	442044.0000	21
CIST	120.		120.0000	120.0000	672.0000	449960.0000	21
CIST	120.		120.0000	120.0000	678.0000	457944.0000	21
CIST	120.		120.0000	120.0000	684.0000	465996.0000	21
CIST	120.		120.0000	120.0000	690.0000	474116.0000	21
CIST	120.		120.0000	120.0000	696.0000	482304.0000	21
CIST	120.		120.0000	120.0000	702.0000	490560.0000	21
CIST	120.		120.0000	120.0000	708.0000	498884.0000	21
CIST	120.		120.0000	120.0000	714.0000	507276.0000	21
CIST	120.		120.0000	120.0000	720.0000	515736.0000	21
CIST	120.		120.0000	120.0000	726.0000	524264.0000	21
CIST	120.		120.0000	120.0000	732.0000	532860.0000	21
CIST	120.		120.0000	120.0000	738.0000	541524.0000	21
CIST	120.		120.0000	120.0000	744.0000	550256.0000	21
CIST	120.		120.0000	120.0000	750.0000	559056.0000	21
CIST	120.		120.0000	120.0000	756.0000	567924.0000	21
CIST	120.		120.0000	120.0000	762.0000	576860.0000	21
CIST	120.		120.0000	120.0000	768.0000	585864.0000	21
CIST	120.		120.0000	120.0000	774.0000	594936.0000	21
CIST	120.		120.0000	120.0000	780.0000	604076.0000	21
CIST	120.		120.0000	120.0000	786.0000	613284.0000	21
CIST	120.		120.0000	120.0000	792.0000	622560.0000	21
CIST	120.		120.0000	120.0000	798.0000	631904.0000	21
CIST	120.		120.0000	120.0000	804.0000	641316.0000	21
CIST	120.		120.0000	120.0000	810.0000	650796.0000	21
CIST	120.		120.0000	120.0000	816.0000	660344.0000	21
CIST	120.		120.0000	120.0000	822.0000	669960.0000	21
CIST	120.		120.0000	120.0000	828.0000	679644.0000	21
CIST	120.		120.0000	120.0000	834.0000	689396.0000	21

SPSS BATCH SYSTEM

11/30/82

CRITERION VARIABLE MHLT

VARIABLE	CODE	VALUE LABEL	SUM	MEAN	STD DEV	VARIANCE	N
DIST	12.	809.4500	80.9450	42.5667	1898.0547	(10)	
DIST	13.	222.4500	22.2450	44.7583	2003.2085	(4)	
DIST	14.	225.4997	22.5499	44.5522	2221.7226	(11)	
DIST	30.	110.4500	11.0450	6.0	0.0	(1)	
TOTAL CASES =		662					

SPSS BATCH SYSTEM

11/30/83

FILE MASTER1 (CREATION DATE = 11/30/83)

----- D E S C R I P T O R S L B P O P U L A T I O N S -----
 CRITERION VARIABLE MHLT MAHJURS LOST TO CRIMINALS
 BROKEN DOWN BY YR FISCAL YEAR OF CRIMINAL ACT
 BY DIST DIST DIST GUARD DIST

VARIABLE	CODE	VALUE LABEL	SUM	MEAN	STD DEV	VARIANCE	N
FOR ENTIRE POPULATION			33754.2577	50.9838	62.8532	7031.3537	(662)
YR	81.		10137.2455	40.7122	26.4794	6310.9708	(249)
CRIMINALS	1.		258.6500	25.8650	31.6728	2331.2472	(10)
CRIMINALS	2.		1049.1000	52.4550	40.8133	4931.2968	(12)
CRIMINALS	3.		260.2000	26.0200	4.7828	1811.3784	(8)
CRIMINALS	4.		339.5000	33.9500	11.2601	2882.0734	(10)
CRIMINALS	5.		2161.2495	43.2249	41.5633	5633.4177	(20)
CRIMINALS	6.		78.1000	7.8100	10.0000	21.1500	(2)
CRIMINALS	7.		408.1000	40.8100	10.4881	192.1050	(7)
CRIMINALS	8.		1110.0000	46.1000	41.7338	771.0633	(24)
CRIMINALS	9.		133.5000	13.3500	10.1800	236.4010	(2)
CRIMINALS	10.		109.5000	10.9500	10.9097	62.3034	(3)
CRIMINALS	11.		238.5000	23.8500	10.5633	789.0631	(3)
CRIMINALS	12.		282.5000	23.5417	10.2255	401.0711	(8)
CRIMINALS	13.		1139.0000	21.3182	10.2350	401.6340	(7)
CRIMINALS	14.		33.5000	3.3500	10.0000	80.0000	(1)
CRIMINALS	15.		127.5000	8.5000	10.0000	178.2500	(52)
CRIMINALS	16.		189.0000	11.8125	10.7571	432.5162	(8)
YR	82.		12409.6489	51.5525	73.4725	3398.2047	(264)
CRIMINALS	1.		133.5000	13.3500	10.1800	236.4010	(2)
CRIMINALS	2.		260.2000	26.0200	4.7828	1811.3784	(8)
CRIMINALS	3.		209.5995	20.9599	10.0000	493.2410	(4)
CRIMINALS	4.		36.0000	3.6000	0.0000	0.0000	(1)
CRIMINALS	5.		47.1000	9.4200	10.0000	309.0000	(3)
CRIMINALS	6.		301.5000	50.2500	10.5992	899.9544	(54)
CRIMINALS	7.		175.2000	25.0286	10.0000	282.0000	(3)
CRIMINALS	8.		174.7998	21.8499	10.0000	100.0000	(20)
CRIMINALS	9.		287.5000	31.9444	10.0000	1491.0733	(7)
CRIMINALS	10.		352.7777	35.2778	10.0000	1723.4444	(28)
CRIMINALS	11.		18.5000	1.8500	10.0000	22.1000	(3)
CRIMINALS	12.		95.5000	7.9583	10.0000	74.1000	(30)
CRIMINALS	13.		125.5000	9.6538	10.0000	130.0000	(4)
CRIMINALS	14.		12.1995	1.2199	10.0000	20.0000	(10)
CRIMINALS	15.		30.0000	3.0000	10.0000	87.0000	(10)
CRIMINALS	16.		184.0000	11.5000	10.0000	335.4093	(16)
YR	83.		10007.3593	67.1635	103.8459	10783.9635	(149)
CRIMINALS	1.		364.0000	22.0000	12.3156	335.4093	(16)

SPSS BATCH SYSTEM

11/30/83

CRITERION VARIABLE MMLT

[illegible]

CODE

VALLE LABEL

SUM

MEAN

430 013

VARIANCE

4

[illegible]

11/30/82

11/30/82

11/3C/82

VARIABLE	CODE	VALUE LABEL	SUM	MEAN	STD DEV	VARIANCE	N
FOR ENTIRE POPULATION			226752.5912	342.5266	355.3372	126264.5186	6621
CTR	181		5601.2499	246.5312	242.6760	58998.4963	401
01ST			1600.0000	160.0000	0.0000	25600.0000	1
02ST			1400.0000	140.0000	0.0000	19600.0000	1
03ST			1300.0000	130.0000	0.0000	16900.0000	1
04ST			1200.0000	120.0000	0.0000	14400.0000	1
05ST			1100.0000	110.0000	0.0000	12100.0000	1
06ST			1000.0000	100.0000	0.0000	10000.0000	1
07ST			900.0000	90.0000	0.0000	8100.0000	1
08ST			800.0000	80.0000	0.0000	6400.0000	1
09ST			700.0000	70.0000	0.0000	4900.0000	1
10ST			600.0000	60.0000	0.0000	3600.0000	1
11ST			500.0000	50.0000	0.0000	2500.0000	1
12ST			400.0000	40.0000	0.0000	1600.0000	1
13ST			300.0000	30.0000	0.0000	900.0000	1
14ST			200.0000	20.0000	0.0000	400.0000	1
15ST			100.0000	10.0000	0.0000	100.0000	1
CTR	182		16683.4952	255.2136	215.4503	47738.0048	661
01ST			1457.5000	145.7500	145.7500	21250.0000	1
02ST			1350.0000	135.0000	135.0000	18225.0000	1
03ST			1250.0000	125.0000	125.0000	15625.0000	1
04ST			1150.0000	115.0000	115.0000	13225.0000	1
05ST			1050.0000	105.0000	105.0000	11025.0000	1
06ST			950.0000	95.0000	95.0000	9025.0000	1
07ST			850.0000	85.0000	85.0000	7225.0000	1
08ST			750.0000	75.0000	75.0000	5625.0000	1
09ST			650.0000	65.0000	65.0000	4225.0000	1
10ST			550.0000	55.0000	55.0000	3025.0000	1
11ST			450.0000	45.0000	45.0000	2025.0000	1
12ST			350.0000	35.0000	35.0000	1225.0000	1
13ST			250.0000	25.0000	25.0000	625.0000	1
14ST			150.0000	15.0000	15.0000	225.0000	1
15ST			50.0000	5.0000	5.0000	25.0000	1
CTR	183		37233.1491	465.4144	44.2505	156476.9832	801
01ST			1413.7500	141.3750	141.3750	20000.0000	1
02ST			1310.0000	131.0000	131.0000	17200.0000	1
03ST			1210.0000	121.0000	121.0000	14500.0000	1
04ST			1110.0000	111.0000	111.0000	12300.0000	1
05ST			1010.0000	101.0000	101.0000	10200.0000	1
06ST			910.0000	91.0000	91.0000	8200.0000	1
07ST			810.0000	81.0000	81.0000	6500.0000	1
08ST			710.0000	71.0000	71.0000	5000.0000	1
09ST			610.0000	61.0000	61.0000	3700.0000	1
10ST			510.0000	51.0000	51.0000	2600.0000	1
11ST			410.0000	41.0000	41.0000	1680.0000	1
12ST			310.0000	31.0000	31.0000	960.0000	1
13ST			210.0000	21.0000	21.0000	440.0000	1
14ST			110.0000	11.0000	11.0000	120.0000	1
15ST			10.0000	1.0000	1.0000	1.0000	1

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VARIABLE

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SPSS BATCH SYSTEM

11/30/93

CRITERION VARIABLE MHTOT

VARIABLE	CODE	VALUE LABEL	SUM	MEAN	STD DEV	VARIANCE	N
0	1	0	944.7500	188.9500	62.3835	6787.0438	(5)
1	2	1	1237.5000	123.7500	42.4007	4240.0007	(5)
2	3	2	1009.5000	100.9500	52.7779	600.7533	(5)
3	4	3	1000.0000	100.0000	0.0	0.0	(1)
4	5	4	854.0000	42.7000	52.0723	2763.5025	(2)
5	6	5	715.0000	71.5000	42.0723	4790.7011	(2)
6	7	6	624.0000	62.4000	42.0723	4790.7011	(2)
7	8	7	524.0000	52.4000	42.0723	4790.7011	(2)
8	9	8	424.0000	42.4000	42.0723	4790.7011	(2)
9	10	9	324.0000	32.4000	42.0723	4790.7011	(2)
10	11	10	224.0000	22.4000	42.0723	4790.7011	(2)
11	12	11	124.0000	12.4000	42.0723	4790.7011	(2)
12	13	12	24.0000	2.4000	42.0723	4790.7011	(2)
13	14	13	4.0000	.4000	42.0723	4790.7011	(2)
14	15	14	0.0000	0.0000	42.0723	4790.7011	(2)
15	16	15	0.0000	0.0000	42.0723	4790.7011	(2)
16	17	16	0.0000	0.0000	42.0723	4790.7011	(2)
17	18	17	0.0000	0.0000	42.0723	4790.7011	(2)
18	19	18	0.0000	0.0000	42.0723	4790.7011	(2)
19	20	19	0.0000	0.0000	42.0723	4790.7011	(2)
20	21	20	0.0000	0.0000	42.0723	4790.7011	(2)
21	22	21	0.0000	0.0000	42.0723	4790.7011	(2)
22	23	22	0.0000	0.0000	42.0723	4790.7011	(2)
23	24	23	0.0000	0.0000	42.0723	4790.7011	(2)
24	25	24	0.0000	0.0000	42.0723	4790.7011	(2)
25	26	25	0.0000	0.0000	42.0723	4790.7011	(2)
26	27	26	0.0000	0.0000	42.0723	4790.7011	(2)
27	28	27	0.0000	0.0000	42.0723	4790.7011	(2)
28	29	28	0.0000	0.0000	42.0723	4790.7011	(2)
29	30	29	0.0000	0.0000	42.0723	4790.7011	(2)
30	31	30	0.0000	0.0000	42.0723	4790.7011	(2)
31	32	31	0.0000	0.0000	42.0723	4790.7011	(2)
32	33	32	0.0000	0.0000	42.0723	4790.7011	(2)
33	34	33	0.0000	0.0000	42.0723	4790.7011	(2)
34	35	34	0.0000	0.0000	42.0723	4790.7011	(2)
35	36	35	0.0000	0.0000	42.0723	4790.7011	(2)
36	37	36	0.0000	0.0000	42.0723	4790.7011	(2)
37	38	37	0.0000	0.0000	42.0723	4790.7011	(2)
38	39	38	0.0000	0.0000	42.0723	4790.7011	(2)
39	40	39	0.0000	0.0000	42.0723	4790.7011	(2)
40	41	40	0.0000	0.0000	42.0723	4790.7011	(2)
41	42	41	0.0000	0.0000	42.0723	4790.7011	(2)
42	43	42	0.0000	0.0000	42.0723	4790.7011	(2)
43	44	43	0.0000	0.0000	42.0723	4790.7011	(2)
44	45	44	0.0000	0.0000	42.0723	4790.7011	(2)
45	46	45	0.0000	0.0000	42.0723	4790.7011	(2)
46	47	46	0.0000	0.0000	42.0723	4790.7011	(2)
47	48	47	0.0000	0.0000	42.0723	4790.7011	(2)
48	49	48	0.0000	0.0000	42.0723	4790.7011	(2)
49	50	49	0.0000	0.0000	42.0723	4790.7011	(2)
50	51	50	0.0000	0.0000	42.0723	4790.7011	(2)
51	52	51	0.0000	0.0000	42.0723	4790.7011	(2)
52	53	52	0.0000	0.0000	42.0723	4790.7011	(2)
53	54	53	0.0000	0.0000	42.0723	4790.7011	(2)
54	55	54	0.0000	0.0000	42.0723	4790.7011	(2)
55	56	55	0.0000	0.0000	42.0723	4790.7011	(2)
56	57	56	0.0000	0.0000	42.0723	4790.7011	(2)
57	58	57	0.0000	0.0000	42.0723	4790.7011	(2)
58	59	58	0.0000	0.0000	42.0723	4790.7011	(2)
59	60	59	0.0000	0.0000	42.0723	4790.7011	(2)
60	61	60	0.0000	0.0000	42.0723	4790.7011	(2)
61	62	61	0.0000	0.0000	42.0723	4790.7011	(2)
62	63	62	0.0000	0.0000	42.0723	4790.7011	(2)
63	64	63	0.0000	0.0000	42.0723	4790.7011	(2)
64	65	64	0.0000	0.0000	42.0723	4790.7011	(2)
65	66	65	0.0000	0.0000	42.0723	4790.7011	(2)
66	67	66	0.0000	0.0000	42.0723	4790.7011	(2)
67	68	67	0.0000	0.0000	42.0723	4790.7011	(2)
68	69	68	0.0000	0.0000	42.0723	4790.7011	(2)
69	70	69	0.0000	0.0000	42.0723	4790.7011	(2)
70	71	70	0.0000	0.0000	42.0723	4790.7011	(2)
71	72	71	0.0000	0.0000	42.0723	4790.7011	(2)
72	73	72	0.0000	0.0000	42.0723	4790.7011	(2)
73	74	73	0.0000	0.0000	42.0723	4790.7011	(2)
74	75	74	0.0000	0.0000	42.0723	4790.7011	(2)
75	76	75	0.0000	0.0000	42.0723	4790.7011	(2)
76	77	76	0.0000	0.0000	42.0723	4790.7011	(2)
77	78	77	0.0000	0.0000	42.0723	4790.7011	(2)
78	79	78	0.0000	0.0000	42.0723	4790.7011	(2)
79	80	79	0.0000	0.0000	42.0723	4790.7011	(2)
80	81	80	0.0000	0.0000	42.0723	4790.7011	(2)
81	82	81	0.0000	0.0000	42.0723	4790.7011	(2)
82	83	82	0.0000	0.0000	42.0723	4790.7011	(2)
83	84	83	0.0000	0.0000	42.0723	4790.7011	(2)
84	85	84	0.0000	0.0000	42.0723	4790.7011	(2)
85	86	85	0.0000	0.0000	42.0723	4790.7011	(2)
86	87	86	0.0000	0.0000	42.0723	4790.7011	(2)
87	88	87	0.0000	0.0000	42.0723	4790.7011	(2)
88	89	88	0.0000	0.0000	42.0723	4790.7011	(2)
89	90	89	0.0000	0.0000	42.0723	4790.7011	(2)
90	91	90	0.0000	0.0000	42.0723	4790.7011	(2)
91	92	91	0.0000	0.0000	42.0723	4790.7011	(2)
92	93	92	0.0000	0.0000	42.0723	4790.7011	(2)
93	94	93	0.0000	0.0000	42.0723	4790.7011	(2)
94	95	94	0.0000	0.0000	42.0723	4790.7011	(2)
95	96	95	0.0000	0.0000	42.0723	4790.7011	(2)
96	97	96	0.0000	0.0000	42.0723	4790.7011	(2)
97	98	97	0.0000	0.0000	42.0723	4790.7011	(2)
98	99	98	0.0000	0.0000	42.0723	4790.7011	(2)
99	100	99	0.0000	0.0000	42.0723	4790.7011	(2)
100	101	100	0.0000	0.0000	42.0723	4790.7011	(2)
101	102	101	0.0000	0.0000	42.0723	4790.7011	(2)
102	103	102	0.0000	0.0000	42.0723	4790.7011	(2)
103	104	103	0.0000	0.0000	42.0723	4790.7011	(2)
104	105	104	0.0000	0.0000	42.0723	4790.7011	(2)
105	106	105	0.0000	0.0000	42.0723	4790.7011	(2)
106	107	106	0.0000	0.0000	42.0723	4790.7011	(2)
107	108	107	0.0000	0.0000	42.0723	4790.7011	(2)
108	109	108	0.0000	0.0000	42.0723	4790.7011	(2)
109	110	109	0.0000	0.0000	42.0723	4790.7011	(2)
110	111	110	0.0000	0.0000	42.0723	4790.7011	(2)
111	112	111	0.0000	0.0000	42.0723	4790.7011	(2)
112	113	112	0.0000	0.0000	42.0723	4790.7011	(2)
113	114	113	0.0000	0.0000	42.0723	4790.7011	(2)
114	115	114	0.0000	0.0000	42.0723	4790.7011	(2)
115	116	115	0.0000	0.0000	42.0723	4790.7011	(2)
116	117	116	0.0000	0.0000	42.0723	4790.7011	(2)
117	118	117	0.0000	0.0000	42.0723	4790.7011	(2)
118	119	118	0.0000	0.0000	42.0723	4790.7011	(2)
119	120	119	0.0000	0.0000	42.0723	4790.7011	(2)
120	121	120	0.0000	0.0000	42.0723	4790.7011	(2)
121	122	121	0.0000	0.0000	42.0723	4790.7011	(2)
122	123	122	0.0000	0.0000	42.0723	4790.7011	(2)
123	124	123	0.0000	0.0000	42.0723	4790.7011	(2)
124	125	124	0.0000	0.0000	42.0723	4790.7011	(2)
125	126	125	0.0000	0.0000	42.0723	4790.7011	(2)
126	127	126	0.0000	0.0000	42.0723	4790.7011	(2)
127	128	127	0.0000	0.0000	42.0723	4790.7011	(2)
128	129	128	0.0000	0.0000	42.0723	4790.7011	(2)
129	130	129	0.0000	0.0000	42.0723	4790.7011	(2)
130	131	130	0.0000	0.0000	42.0723	4790.7011	(2)
131	132	131	0.0000	0.0000	42.0723	4790.7011	(2)
132	133	132	0.0000	0.0000	42.0723	4790.7011	(2)
133	134	133	0.0000	0.0000	42.0723	4790.7011	(2)
134	135	134	0.0000	0.0000	42.0723	4790.7011	(2)
135	136	135	0.0000	0.0000	42.0723	4790.7011	(2)
136	137	136	0.0000	0.0000	42.0723	4790.7011	(2)
137	138	137	0.0000	0.0000	42.0723	4790.7011	(2)
138	139	138	0.0000	0.0000	42.0723	4790.7011	(2)
139	140	139	0.0000	0.0000	42.0723	4790.7011	(2)
140	141	140	0.0000	0.0000	42.0723	4790.7011	(2)
141	142	141	0.0000	0.0000	42.0723	4790.7011	(2)
142	143	142	0.0000	0.0000	42.0723	4790.7011	(2)
143	144	143	0.0000	0.0000	42.0723	4790.7011	(2)
144	145	144	0.0000	0.0000	42.0723	4790.7011	(2)
145	146	145	0.0000	0.0000	42.0723	4790.7011	(2)
146	147	146	0.0000	0.0000	42.0723	4790.7011	(

SPSS BATCH SYSTEM
CRITERION VARIABLE MHTOT

11/30/83

VARIABLE	CODE	VALUE LABEL	SUM	MEAN	STD DEV	VARIANCE	N
LIST	12.		4595.2499	459.5250	316.6448	101534.4876	(10)
LIST	15.		4535.2496	453.5250	316.6448	101534.4876	(7)
LIST	19.		10012.7948	910.2545	403.1456	162520.3743	(11)
LIST	30.		490.2500	490.2500	0.00	0.00	(1)

TOTAL CASES = 662

11/30/83

CRITERION VARIABLE		DESCRIPTION OF SUBPOPULATIONS	
BROKEN CCN BY	MMICST	TOTAL MAINTENANCE PER	OVERSEAS TRIP
BY	YR	FISCAL YEAR OF INSPECTION	
	OIST	COAST GUARD DISTRICT	

VARIABLE	CODE	VALUE LABEL	SUM	MEAN	STD DEV	VARIANCE	N
FOR ENTIRE PCULATION			226752.5912	342.5260	355.3372	126204.3186	6021
YR	81		76723.4470	282.6663	316.7656	114775.6832	2491
01ST			391.4371	2.6649	41.2774	1718.24	151
02ST			159.0000	1.0000	2.0000	4.0000	151
03ST			101.6999	0.6333	1.7758	3.1530	151
04ST			144.6999	0.9333	2.6667	7.1111	151
05ST			144.6998	0.9333	2.6667	7.1111	151
06ST			230.0000	1.5333	4.2165	17.7778	151
07ST			1366.8159	9.0454	81.8159	6699.3333	151
08ST			154.0000	1.0000	2.0000	4.0000	151
09ST			1634.0000	10.8277	117.8277	13829.3333	151
10ST			1339.6998	8.8682	78.6998	6173.3333	151
11ST			1361.6997	9.0777	80.6997	6511.3333	151
12ST			1170.0000	7.7999	61.0000	3721.3333	151
13ST			1100.0000	7.3333	53.3333	2844.3333	151
14ST			1610.0000	10.6667	106.6667	11377.3333	151
15ST			6104.6999	40.3633	1629.6999	26596.3333	151
YR	82		87120.4941	331.5170	321.0672	103084.1200	2641
01ST			113.3333	0.9333	3.5556	12.6667	121
02ST			297.0000	2.4667	6.0000	36.0000	121
03ST			1297.6999	10.7288	21.9387	5633.3333	121
04ST			873.0000	7.2500	10.0000	100.0000	121
05ST			1554.6999	12.8396	29.6880	882.3333	121
06ST			1433.3333	11.8611	16.2667	264.6667	121
07ST			1598.9998	13.3217	28.9998	833.3333	121
08ST			1595.6999	13.2166	28.6999	823.3333	121
09ST			1734.6999	14.4165	33.6999	1133.3333	121
10ST			1948.6999	16.1459	45.6999	2077.3333	121
11ST			1885.6999	15.5808	44.6999	1987.3333	121
12ST			1673.6999	13.9165	39.6999	1575.3333	121
13ST			918.6999	7.6516	20.6999	428.3333	121
14ST			918.6999	7.6516	20.6999	428.3333	121
15ST			227.6998	1.8975	1.6998	2.8975	121
YR	83		68406.4481	459.7889	416.0669	16596.0063	1491
01ST			409.0000	3.3673	41.4942	1721.3333	1491

SPSS BATCH SYSTEM

11/30/83

CRITERION VARIABLE MHTOT

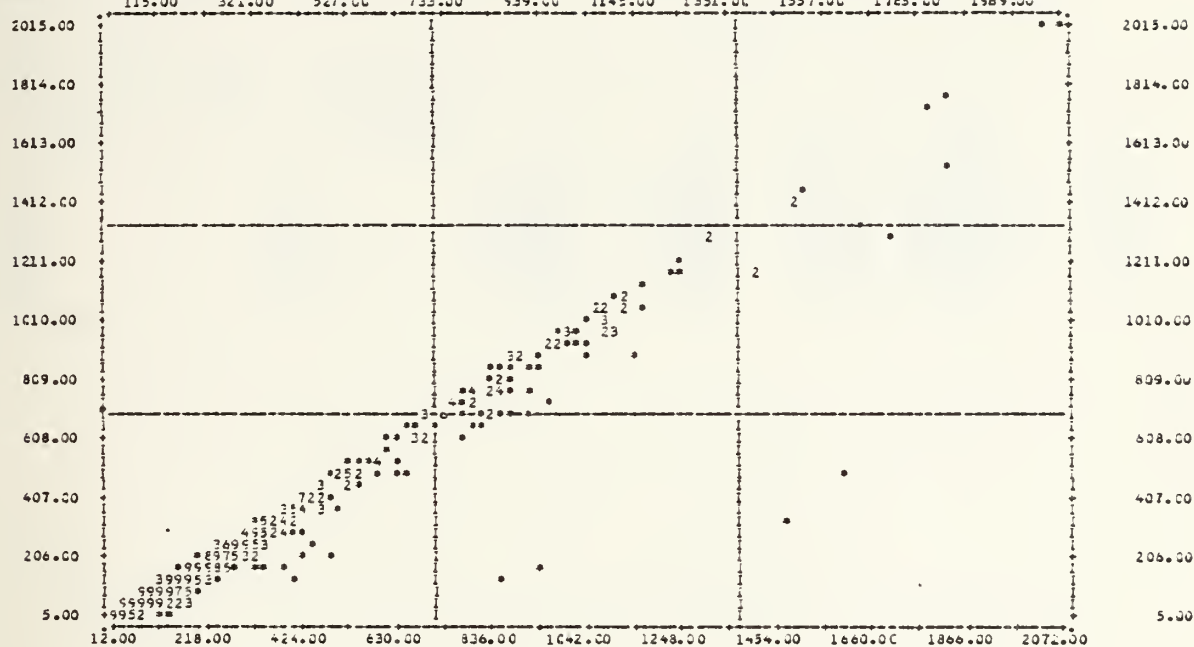
VARIABLE	CODE	VALUE LABEL	SUM	MEAN	STD DEV	VARIANCE
1ST	1	1	62	97.5	9.77	1421.5
2ND	2	2	62	97.5	9.77	1421.5
3RD	3	3	62	97.5	9.77	1421.5
4TH	4	4	62	97.5	9.77	1421.5
5TH	5	5	62	97.5	9.77	1421.5
6TH	6	6	62	97.5	9.77	1421.5
7TH	7	7	62	97.5	9.77	1421.5
8TH	8	8	62	97.5	9.77	1421.5
9TH	9	9	62	97.5	9.77	1421.5
10TH	10	10	62	97.5	9.77	1421.5
11TH	11	11	62	97.5	9.77	1421.5
12TH	12	12	62	97.5	9.77	1421.5
13TH	13	13	62	97.5	9.77	1421.5
14TH	14	14	62	97.5	9.77	1421.5
15TH	15	15	62	97.5	9.77	1421.5
16TH	16	16	62	97.5	9.77	1421.5
17TH	17	17	62	97.5	9.77	1421.5
18TH	18	18	62	97.5	9.77	1421.5
19TH	19	19	62	97.5	9.77	1421.5
20TH	20	20	62	97.5	9.77	1421.5
21TH	21	21	62	97.5	9.77	1421.5
22TH	22	22	62	97.5	9.77	1421.5
23TH	23	23	62	97.5	9.77	1421.5
24TH	24	24	62	97.5	9.77	1421.5
25TH	25	25	62	97.5	9.77	1421.5
26TH	26	26	62	97.5	9.77	1421.5
27TH	27	27	62	97.5	9.77	1421.5
28TH	28	28	62	97.5	9.77	1421.5
29TH	29	29	62	97.5	9.77	1421.5
30TH	30	30	62	97.5	9.77	1421.5
31TH	31	31	62	97.5	9.77	1421.5
32TH	32	32	62	97.5	9.77	1421.5
33TH	33	33	62	97.5	9.77	1421.5
34TH	34	34	62	97.5	9.77	1421.5
35TH	35	35	62	97.5	9.77	1421.5
36TH	36	36	62	97.5	9.77	1421.5
37TH	37	37	62	97.5	9.77	1421.5
38TH	38	38	62	97.5	9.77	1421.5
39TH	39	39	62	97.5	9.77	1421.5
40TH	40	40	62	97.5	9.77	1421.5
41TH	41	41	62	97.5	9.77	1421.5
42TH	42	42	62	97.5	9.77	1421.5
43TH	43	43	62	97.5	9.77	1421.5
44TH	44	44	62	97.5	9.77	1421.5
45TH	45	45	62	97.5	9.77	1421.5
46TH	46	46	62	97.5	9.77	1421.5
47TH	47	47	62	97.5	9.77	1421.5
48TH	48	48	62	97.5	9.77	1421.5
49TH	49	49	62	97.5	9.77	1421.5
50TH	50	50	62	97.5	9.77	1421.5
51TH	51	51	62	97.5	9.77	1421.5
52TH	52	52	62	97.5	9.77	1421.5
53TH	53	53	62	97.5	9.77	1421.5
54TH	54	54	62	97.5	9.77	1421.5
55TH	55	55	62	97.5	9.77	1421.5
56TH	56	56	62	97.5	9.77	1421.5
57TH	57	57	62	97.5	9.77	1421.5
58TH	58	58	62	97.5	9.77	1421.5
59TH	59	59	62	97.5	9.77	1421.5
60TH	60	60	62	97.5	9.77	1421.5
61TH	61	61	62	97.5	9.77	1421.5
62TH	62	62	62	97.5	9.77	1421.5

TOTAL CASES = 662

SPSS BATCH SYSTEM

11/30/83

FILE PASTER1 (CREATION DATE = 11/30/83)
SCATTERGRAM CF (COUNT) PHAN MANHOURS AVAILABLE FOR WORK (ACROSS) MTOT TOTAL MANHOURS PER OVERSEAS TRIP



SPSS BATCH SYSTEM

11/30/83

FILE PASTER1 (CREATION DATE = 11/30/83)

CRITERION VARIABLE		DESCR OF SUB POPULATIONS					
BROKEN DOWN BY	QTR	AMT8	AMOUNT BILLED	QTR	QTR	QTR	QTR
VARIABLE	CODE	VALUE LABEL	SUM	MEAN	STD DEV	VARIANCE	N
FOR ENTIRE PCPULATION			908830.1331	739.4875	971.7388	944276.2-78	(1229)
CTR	181.	45575.1251	665.3675	881.4077	778875.4714	(70)	
CTR	192.	70512.1040	532.6875	661.2574	500885.5675	(122)	
CTR	203.	11435.7240	73.4750	855.8784	861174.0384	(17)	
CTR	221.	32154.4520	735.7400	1017.7559	1035827.0971	(117)	
CTR	232.	91150.7217	559.1210	722.4662	521903.4470	(103)	
CTR	250.	101330.4565	706.0397	842.3403	709284.1720	(150)	
CTR	261.	106843.4369	823.0371	1011.3443	1022759.7080	(104)	
CTR	302.	7444.6311	840.9397	1011.3443	1022759.7080	(69)	
CTR	401.	10161.7165	934.1065	1011.3443	1022759.7080	(109)	
CTR	482.	114407.5895	744.3602	941.7000	885750.8709	(221)	
TOTAL CASES =			1229				

SPSS BATCH SYSTEM

11/30/83

FILE MASTER1 (CREATION DATE = 11/30/83)

CRITERION VARIABLE		DESCRIPTION	SUBPOPULATIONS			
ERKEN CCAN BY	AM78	PERCENT BILLED FISCAL YEAR OF INSPECTION	SUM	MEAN	STD DEV	VARIANCE
VARIABLE		CODE	VALUE LABEL			
FOR ENTIRE PCPUATION				908830.1331	739.4875	971.7388
YR	81.		337396.5056	809.1043	1146.2658	1255004.3562
YR	82.		409590.4403	694.1133	874.2015	753469.8965
YR	83.		20873.5812	719.4579	969.2507	823035.1831

TOTAL CASES = 1225

SPSS BATCH SYSTEM

11/30/83

FILE MASTER1 (CREATION DATE = 11/30/83)

CRITERION VARIABLE		DESCRIPTION OF SUBPOPULATIONS					
BROKEN DOWN BY	YR	ENDING DATE	BEGINNING DATE	FISCAL YEAR	CF INSPECTION		
VARIABLE	CODE	VALUE LABEL	SUM	MEAN	STD DEV	VARIANCE	N
FOR ENTIRE POPULATION							
			243757.0000	198.3377	107.0464	11458.9290	1229
YR	81.		84313.0000	202.1894	101.0675	10336.2785	417
YR	82.		101067.0000	200.1207	115.3144	13295.7204	503
YR	83.		58380.0000	190.1629	91.0167	8293.0270	309
TOTAL CASES =			1229				

SPSS BATCH SYSTEM

11/30/83

FILE MASTER1 (CREATION DATE = 11/30/83)

CRITERION VARIABLE	8DEC	DESCRIPTION	CF	SL	POPULATION			
BROKEN CCN BY	0157	FILLING DATE BEGINNING DATE						
		CLASH GUARD DISTRICT						
VARIABLE	CODE	VALUE LABEL	SUM	MEAN	STD DEV	VARIANCE	n	
FOR ENTIRE POPULATION			243757.0000	198.3377	107.0464	11458.9290	1229	
1	1	1	8716.0000	155.6429	60.0172	2501.7247	56	
2	2	2	2422.0000	145.5556	55.0172	1406.6497	16	
3	3	3	2542.0000	150.1600	71.0338	4773.0018	15	
4	4	4	4757.0000	250.5667	121.7281	16213.5769	19	
5	5	5	2433.0000	207.3660	111.7100	5907.0560	11	
6	6	6	2176.0000	169.4000	114.7004	5996.1467	12	
7	7	7	1533.0000	125.3333	53.3388	2223.4067	10	
8	8	8	1442.0000	115.3333	56.3378	2463.7984	10	
9	9	9	2438.0000	195.2000	111.7100	1220.4704	10	
10	10	10	543.0000	159.7500	60.7100	831.1702	3	
11	11	11	644.0000	300.2100	111.7100	1220.4704	2	
12	12	12	1511.0000	125.9167	56.3378	2463.7984	10	
13	13	13	1522.0000	110.3333	53.3388	2223.4067	10	
14	14	14	1524.0000	190.5714	107.0464	11458.9290	7	
15	15	15	286.0000	170.0000	50.0000	500.0000	2	
16	16	16	470.0000	181.0000	60.0000	720.0000	2	
17	17	17	1518.0000	117.0000	50.0000	1039.6692	10	

TOTAL CASES = 1229

SPSS BATCH SYSTEM

11/30/83

FILE MASTER1 (CREATION DATE = 11/30/83)

 CRITERION VARIABLE BDCD DESCRIPTION OF SUBPOPULATIONS
 BROKEN DOWN BY YR BILLING DATE COMPLETION DATE
 FISCAL YEAR OF INSPECTION

VARIABLE	CODE	VALUE LABEL	SUM	MEAN	STD DEV	VARIANCE	N
FOR ENTIRE POPULATION			214258.0000	174.3352	102.1214	10428.7845	1229
YR	81.		75626.0000	181.8309	95.7367	9165.5214	417
YR	82.		89210.0000	176.8235	114.0402	13009.7269	505
YR	83.		49422.0000	160.3322	61.8416	3811.0788	307

TOTAL CASES = 1229

11/30/82

11/30/82

CRITERION VARIABLE		DESCRIPTION OF SUBPOPULATIONS
BROKEN DOWN BY	BOGO DIST	ELLING DATE COMPLETION DATE COAST GUARD DISTRICT

VARIABLE	CODE	VALUE LABEL	SUM	MEAN	STD DEV	VARIANCE	N
FOR ENTIRE PCPLATION			214258.0000	174.3352	10244.14	10428.7495	1229
1	1	7761.0000	138.5693	476.6725	2272.7192	561	
2	2	1521.0000	402.1500	259.4233	6729.3300	181	
3	3	2044.0000	444.1500	259.4233	6729.3300	181	
4	4	1111.0000	411.3004	246.0039	6051.1947	159	
5	5	1577.0000	407.7000	249.8111	6240.3220	131	
6	6	1898.0000	484.9000	291.5301	8498.5301	125	
7	7	679.0000	113.1607	264.9000	1364.5067	52	
8	8	1158.0000	224.1923	294.3124	8667.8250	52	
9	9	1474.0000	450.8875	264.9124	7014.4474	109	
10	10	429.0000	123.4233	234.9728	7304.7280	209	
11	11	5628.0000	629.3004	106.3300	1529.0338	171	
12	12	1293.0000	822.2971	65.7280	4313.2206	123	
13	13	1207.0000	189.8030	65.0000	4225.0000	123	
14	14	1371.0000	137.1000	106.3300	1130.3300	123	
15	15	3540.0000	161.0000	146.2242	1045.3039	219	
16	16	73.0000	1.750000	6.500000	72.500000	20	
17	17	1743.0000	224.3000	804.4234	6471.4234	47	

TOTAL CASES = 1225

APPENDIX D: DATA VALIDATION COMPUTER PROGRAM

FILE: VALPRCC WATFIV APPENDIX D

\$JOB

```
*****
* LT ASHLEY LT THOMPSON *
* THESIS PROJECT *
* DATA VALIDATION PROGRAM *
* 23 SEPT. 1983 *
*****
```

```
**** PURPOSE ****
THE PURPOSE OF THIS PROGRAM IS TO AID IN VALIDATION OF THE DATA
CONTAINED IN THE CYBERSEAS MARINE INSPECTION BILLING DATA FILE.
THIS PROGRAM USES THE VARIABLE DEFINITIONS USED IN THAT FILE.
EACH LINE OF DATA IS READ IN, CHECKED SEPARATELY AND PRINTED IF
AN ERROR IS FOUND WITHIN THE LINE.
```

```
**** VARIABLE DECLARATIONS ****
INTEGER DIST,YR,QTR,MNTH,RANK,DUMA,BDBC,BCCD,CUMB
REAL AMTB,MHAW,MHLT,MHTOT,MHTEST,TESTA,TESTB,TESTC,TESTD
REAL TESTE,TESTF,TESTG,TESTH,TESTI,TESTJ,TESTK,TESTL,TESTM,TESTN
```

```
WRITE (6,500)
PRINT, 'THE FOLLOWING DATA LINES ARE IN ERROR:'
PRINT, ' '
READ IN THE INPUT DATA PER LINE
READ (5,1000) DIST,YR,QTR,MNTH,RANK,AMTB,DUMA,BDBC,BCCD,MHAW,
1 MHLT,MHTOT,CUMB
```

```
IF (DIST.EQ.99) GO TO 200
THE FOLLOWING IFS VERIFY THAT MHAW AND MHLT SUM TO MHTOT.
THE TEST VARIABLES ARE USED TO CORRECT FOR ROUNDING ERROR WITHIN
THE COMPUTER.
```

```
MHTEST = MHAW + MHLT
TESTA = MHTEST + .001
TESTB = MHTEST + .002
TESTC = MHTEST + .0001
TESTD = MHTEST + .0002
TESTE = MHTEST + .0003
TESTF = MHTEST + .00001
TESTG = MHTEST + .00002
TESTH = MHTEST + .00003
TESTI = MHTEST - .001
TESTJ = MHTEST - .0001
TESTK = MHTEST - .00001
TESTL = MHTEST - .000001
TESTM = MHTEST - .0000001
TESTN = MHTEST - .00000001
```

```
IF (MHTEST.EQ. MHTOT) GO TC 10
IF (TESTA.EQ. MHTOT) GO TC 10
IF (TESTB.EQ. MHTOT) GO TC 10
IF (TESTC.EQ. MHTOT) GO TC 10
IF (TESTD.EQ. MHTOT) GO TC 10
IF (TESTE.EQ. MHTOT) GO TC 10
IF (TESTF.EQ. MHTOT) GO TC 10
IF (TESTG.EQ. MHTOT) GO TC 10
IF (TESTH.EQ. MHTOT) GO TC 10
IF (TESTI.EQ. MHTOT) GO TC 10
IF (TESTJ.EQ. MHTOT) GO TC 10
IF (TESTK.EQ. MHTOT) GO TC 10
IF (TESTL.EQ. MHTOT) GO TC 10
IF (TESTM.EQ. MHTOT) GO TC 10
IF (TESTN.EQ. MHTOT) GO TC 10
GO TC 100
```

```
THE FOLLOWING IFS VERIFY THAT THE DUMMY VARIABLES ARE ASSIGNED
PROPER VALUES.
```

```
IF ((DUMA.NE.0).AND.(DUMA.NE.1)) GO TC 100
```


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```
IF ((DLMB.NE.0).AND.(DLMB.NE.2)) GO TC 100
IF ((AMTB.EQ.0).AND.(DLMA.NE.0)) GO TO 100
IF ((DLMA.EQ.0).AND.(AMTB.NE.0)) GO TC 100
IF ((MTOT.EQ.0).AND.(DUMB.NE.0)) GO TO 100
IF ((DLMB.EQ.0).AND.(MTOT.NE.0)) GO TO 100
```

THE FOLLOWING IFS VERIFY THAT THE VARIABLE 'RANK' IS WITHIN THE PROPER RANGE OF VALUES.

```
IF (RANK.GT.24) GO TO 100
IF (RANK.LT.0) GO TC 100
IF ((RANK.EQ.7).OR.(RANK.EC.8)) GO TO 100
IF ((RANK.EQ.9).OR.(RANK.EC.10)) GO TO 100
IF ((RANK.EQ.14).CR.(RANK.EQ.15)) GO TC 100
IF (RANK.EC.16) GO TO 100
IF ((RANK.EQ.20).CR.(RANK.EC.21)) GO TC 100
```

THE FOLLOWING IFS VERIFY THAT THE VARIABLE 'DIST' IS WITHIN THE PROPER RANGE OF VALUES.

```
IF (DIST.LT.0) GO TO 100
IF (DIST.GT.37) GO TO 100
IF ((DIST.EQ.4).OR.(DIST.EC.6)) GO TO 100
IF ((DIST.EQ.10).CR.(DIST.EC.15)) GO TO 100
IF (DIST.EQ.16) GO TO 100
IF ((DIST.GE.18).AND.(DIST.LE.29)) GO TO 100
```

THE FOLLOWING IF VERIFIES THAT 'BCCD' IS LESS THAN OR EQUALS BCCD.

```
IF (BCCD.GT.BCCD) GO TC 100
```

THE FOLLOWING IFS VERIFY THE CONSISTENCY OF THE VARIABLES 'MONTH' AND 'CTR' WITH EACH OTHER AND THEIR PROPER RANGE OF VALUES.

```
IF ((MCNTH.EQ.1080).AND.(CTR.EC.181)) GO TO 50
IF ((MCNTH.EQ.1180).AND.(CTR.EC.181)) GO TC 50
IF ((MCNTH.EQ.1280).AND.(CTR.EC.181)) GO TO 50
IF ((MCNTH.EQ.181).AND.(CTR.EC.281)) GO TO 50
IF ((MCNTH.EQ.281).AND.(CTR.EC.281)) GO TC 50
IF ((MCNTH.EQ.381).AND.(CTR.EC.281)) GO TO 50
IF ((MCNTH.EQ.481).AND.(CTR.EC.381)) GO TC 50
IF ((MCNTH.EQ.581).AND.(CTR.EC.381)) GO TO 50
IF ((MCNTH.EQ.681).AND.(CTR.EC.381)) GO TC 50
IF ((MCNTH.EQ.781).AND.(CTR.EC.481)) GO TO 50
IF ((MCNTH.EQ.881).AND.(CTR.EC.481)) GO TC 50
IF ((MCNTH.EQ.981).AND.(CTR.EC.481)) GO TO 50
IF ((MCNTH.EQ.1081).AND.(CTR.EC.182)) GO TC 50
IF ((MCNTH.EQ.1181).AND.(CTR.EC.182)) GO TO 50
IF ((MCNTH.EQ.1281).AND.(CTR.EC.182)) GO TC 50
IF ((MCNTH.EQ.182).AND.(CTR.EC.282)) GO TO 50
IF ((MCNTH.EQ.282).AND.(CTR.EC.282)) GO TC 50
IF ((MCNTH.EQ.382).AND.(CTR.EC.282)) GO TO 50
IF ((MCNTH.EQ.482).AND.(CTR.EC.382)) GO TC 50
IF ((MCNTH.EQ.582).AND.(CTR.EC.382)) GO TO 50
IF ((MCNTH.EQ.682).AND.(CTR.EC.382)) GO TC 50
IF ((MCNTH.EQ.782).AND.(CTR.EC.482)) GO TO 50
IF ((MCNTH.EQ.882).AND.(CTR.EC.482)) GO TC 50
IF ((MCNTH.EQ.982).AND.(CTR.EC.482)) GO TO 50
IF ((MCNTH.EQ.1082).AND.(CTR.EC.183)) GO TC 50
IF ((MCNTH.EQ.1182).AND.(CTR.EC.183)) GO TO 50
IF ((MCNTH.EQ.1282).AND.(CTR.EC.183)) GO TC 50
IF ((MCNTH.EQ.183).AND.(CTR.EC.283)) GO TO 50
IF ((MCNTH.EQ.283).AND.(CTR.EC.283)) GO TC 50
IF ((MCNTH.EQ.383).AND.(CTR.EC.283)) GO TO 50
IF ((MCNTH.EQ.483).AND.(CTR.EC.383)) GO TC 50
IF ((MCNTH.EQ.583).AND.(CTR.EC.383)) GO TO 50
IF ((MCNTH.EQ.683).AND.(CTR.EC.383)) GO TC 50
GO TC 100
```

THE FOLLOWING IFS VERIFY THE CONSISTENCY OF THE VARIABLES 'QTR' AND 'YR' WITH EACH OTHER AND THEIR PROPER RANGE OF VALUES.

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```

50  IF (QTR.EC.181.ANC.YR.EQ.81) GC TO 5
    IF (QTR.EC.281.ANC.YR.EQ.81) GC TO 5
    IF (QTR.EC.381.ANC.YR.EQ.81) GC TO 5
    IF (QTR.EC.481.ANC.YR.EQ.81) GC TO 5
    IF (QTR.EC.182.ANC.YR.EQ.82) GC TO 5
    IF (QTR.EC.282.ANC.YR.EQ.82) GC TO 5
    IF (QTR.EC.382.ANC.YR.EQ.82) GC TO 5
    IF (QTR.EC.482.ANC.YR.EQ.82) GC TO 5
    IF (QTR.EC.183.ANC.YR.EQ.83) GC TO 5
    IF (QTR.EC.283.ANC.YR.EQ.83) GC TO 5
    IF (QTR.EC.383.ANC.YR.EQ.83) GC TO 5
100  WRITE (6,2000) DIST,YR,QTR,MONTH,RANK,AMTB,DUMA,BDBD,BCCD,MHAW,
    1 MHLT,MHTOT,DUMB
    PRINT, MHTCT, MHTEST
    GO TO 5
200  STOP
500  FORMAT ('1',2X)
1000  FORMAT (I2,2X,I2,2X,I3,2X,I4,2X,I2,2X,F8.2,1X,I1,1X,I3,1X,I3,2X,
1  F7.2,2X,F7.2,2X,F7.2,2X,I1)
2000  FORMAT ('0',I2,2X,I2,2X,I3,2X,I4,2X,I2,2X,F8.2,1X,I1,1X,I3,1X,I3,
12X,F7.2,2X,F7.2,2X,F7.2,2X,I1)
-----
ENC

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